

# RECTANGULAR LATTICES AS GEOMETRIC SHAPES

E. TAMÁS SCHMIDT

## 1. RECTANGULAR LATTICES

Rectangular lattices were introduced by Grätzer-Knapp [3] for planar semimodular lattices. This notion is an important tool by the description of planar semimodular lattices.  $\mathbf{J}(L)$  denotes the order of all nonzero join-irreducible elements of  $L$  and  $\mathbf{J}_0(K)$  is  $\mathbf{J}(L) \cup 0$ .

Let  $X, Y$  be posets. The *disjoint sum*  $X+Y$  of  $X$  and  $Y$  is the set of all elements in  $X$  and  $Y$  considered as disjoint. The relation  $\leq$  keeps its meaning in  $X$  and in  $Y$ , while neither  $x \geq y$  nor  $x \leq y$  for all  $x \in X, y \in Y$ .

If  $R$  is a rectangular slim semimodular lattice then  $\mathbf{J}(R)$  is the disjoint sum of two chains  $C_1$  and  $C_2$  wich means that that  $x \in C_1, x \in C_2$  are incomparable. The *width*  $w(P)$  of a (finite) order  $P$  is defined to be  $\max\{n: P \text{ has an } n\text{-element antichain}\}$ . The width of  $\mathbf{J}(L)$  is called the *dimension* of a semimodular lattice  $L$  and will be denoted by  $\mathbf{dim}(R)$ . An other dimension concept is  $\mathbf{Dim}(L)$ .  $n = \mathbf{Dim}(L)$  is the greatest integer such that  $L$  contains a sublattice isomorphic to the  $2^n$ -element boolean lattice. If  $L$  is a distributive lattice then  $\mathbf{dim}(L) = \mathbf{Dim}(L)$ . On the other hand  $\mathbf{Dim}(M_3) = 2$  and  $\mathbf{dim}(M_3) = 3$ .

$C_n$  denotes an  $n$ -element chain. By G. Czédli, E. T. Schmidt, [2]  $\mathbf{dim}(L) = 3$  is equivalent to the condition: there 3 disjoint chains  $C_n, C_m$  and  $C_k$ ,  $\mathbf{J}(R) = C_n \cup C_m \cup C_k$ ,  $n \leq m \leq k$  such that  $R$  is the cover-preserving join-homomorphism of  $G = G_R = C_n \times C_m \times C_k$ . In this case we say that  $R$  is of type  $(n, m, k)$ . Two dimensional semimodular lattices are the slim lattices.  $G_R$  is called the (lower) *grid* of  $R$ .

**Remark:** the upper grid of a semimodular lattice  $L$  is  $\overline{G} = C^3$ , where  $C$  is a chain which has the same length as  $L$ . By [2]  $L$  is the cover-preserving join-homomorphic image of  $\overline{G}$ .

Regularity can be defined for arbitrary dimension:

**Definition 1.** A rectangular lattice  $L$  is a finite semimodular lattice in which  $\mathbf{J}(L)$  is the disjoint sum of chains.

If you has a slim rectangular lattice  $R$  visually, this looks like to Figure 1, i.e. – properly drawn – we see the contour in Figure 2.

If you has a planar semimodular lattice and if you draw properly then you get Figure 2, in the non slim case the dimension is grater then 2, the lattice in Figure 2 has dimesion 5

Let  $R$  be a 3-dimensional rectangular semimodular lattice. If we have 3 disjoint chains  $C_n, C_m$  and  $C_k$  then rectangular means that  $\mathbf{J}(R)$  is the disjoint sum of

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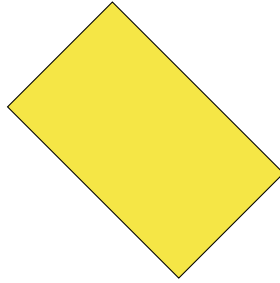


FIGURE 1. A rectangular slim semimodular lattice.

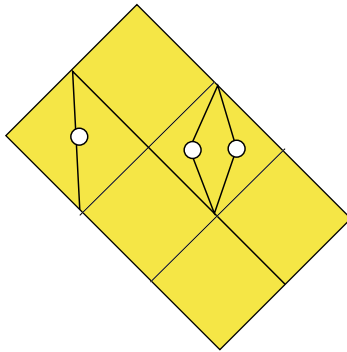


FIGURE 2. A rectangular planar semimodular lattice

these chains. How does it look like  $R$ ? The first answer is, visually we see Figure 3, if you draw "properly". The direct product  $G = C_n \times C_m \times C_k$  is such a lattice, which looks like to Figure 3. There is an other lattice of this type: this is  $M_3[C_n]$ , see Figure 5 (here as patchwork of covering squares and  $M_3$ -s). It is interesting that this is modular.

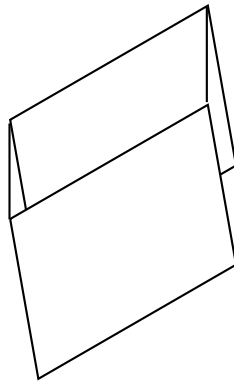


FIGURE 3. The contour of a 3D rectangular semimodular lattice, a cuboid.

The expression "it looks like" is not an exact property, to define this exactly we introduce the following concept:

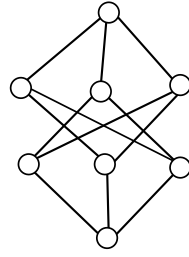
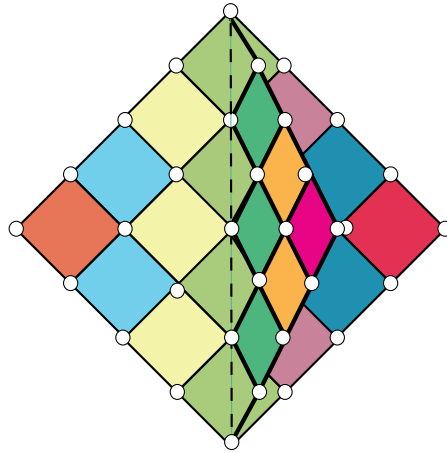


FIGURE 4. Improperly drawn 8-element boolean lattice.

FIGURE 5.  $M_3[C_4]$  as patchwork.

**Definition 2.** *The skeleton of a 3D semimodular lattice is an eight-element boolean lattice which contains 0 and 1.*

The skeleton of  $L$  will be denoted by  $\mathbf{Sk}(L)$ . The skeleton of a 2D semimodular lattice is a four-element boolean lattice which contains 0 and 1.

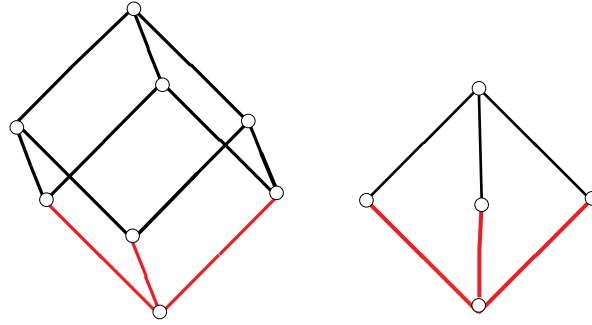
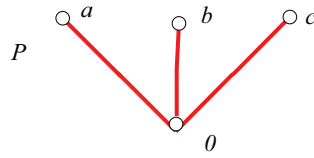
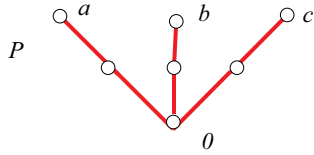
That the 3-dimensional lattice  $R$  looks like to Figure 3 means that  $R$  contains a skeleton, in this case this means that  $\mathbf{dim}(R) = \mathbf{Dim}(R)$ . It is easy to see that  $\mathbf{Sk}(R) = \mathbf{Sk}(G_R) = \mathbf{Sk}(\overline{G}_R)$ . In this paper we would like to describe the 3-dimensional rectangular lattices especially those which don't contains a skeleton.

## 2. EXAMPLES

We consider first, some exemplars. The simplest case is that  $\mathbf{J}(L)$  is the 3-element antichain, i.e.  $L$  is join-generated by the following order  $P$ , see in Figure 6;

Then we have a lattice of type  $(1, 1, 1)$ . Take the order  $P$  in Figure 6 this is a chopped lattice, where  $a \wedge b = a \wedge c = b \wedge c = 0$ . The semimodular lattices join-generated by  $P$  are the eight-element boolean lattice and  $M_3$  the diamond.

If  $L$  is of type  $(2, 2, 2)$  the we have the order  $Q$ , see in Figure 7. The cover-preserving join-homomorphic images of  $C_2^3$  (with more then 2 elements) are the eight-element boolean lattice and  $M_3$ .

FIGURE 6. The poset  $P$  and the join generated semimodular lattices.FIGURE 7. The poset  $Q$ .

Then  $Q$  is a chopped lattice which join generates in the class of semimodular lattices either  $C_3^3$  this is a **cube** or  $M_3[C_3]$ .

**Remark.** This is equivalent to the following:  $C_2^3$  has only one non trivial cover-preserving join-congruence, which is where the dual atoms with 1 form a congruence class.

These generates either  $C_2^3$  or  $M_3[C_3]$ .

$M_3[C_3]$  is a cover-preserving join-homomorphic image of  $C_2^3$ . You can see this lattice in the cover of Algebra Universalis. On the home page of E. T. Schmidt there is a rotary example.

It follows that we can consider  $M_3[C_3]$  as the **”modular cube”** .

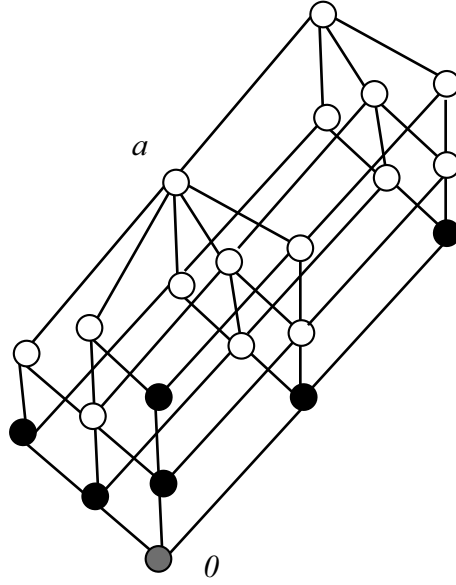
$C_2^3$  and  $M_3[C_3]$ - as geometric shapes have 6-6 flaps.

In Figure 5. we have a 3-dimensional patchwork of 8 monochromatic cubes.

### 3. THE SOURCE

To describe the cover-preserving join- congruences of  $C_n^3$  we need the notion of source elements.

Let  $\Theta$  be a cover-preserving join-congruence of a distributive lattice  $G$  (which is not necessarily the grid).

FIGURE 8. A semimodular lattice of type  $(2, 2, 2)$  with  $\mathbf{J}_0(K)$ .

**Definition 3.** An element  $s \in G$  is called a source element of  $\Theta$  if there is a  $t, t \prec s$  such that  $s \equiv t \pmod{\Theta}$  and for every prime quotient  $u/v$  if  $s/t \searrow u/v, s \neq u$  imply  $u \not\equiv v \pmod{\Theta}$ . The set  $\mathcal{S}_\Theta$  of all source elements of  $\Theta$  is the source of  $\Theta$ .

**Lemma 1.** Let  $x$  be an arbitrary lower cover of a source element  $s$  of  $\Theta$ . Then  $x \equiv s \pmod{\Theta}$ . If  $s/x \searrow v/z, s \neq v$ , then  $v \not\equiv z \pmod{\Theta}$ .

*Proof.* Let  $s$  be a source element of  $\Theta$  then  $s \equiv t \pmod{\Theta}$  for some  $t, t \prec s$ . If  $x \prec s$  and  $x \neq t$  then  $\{x \wedge t, x, t, s\}$  form a covering square. Then  $x \not\equiv x \wedge t \pmod{\Theta}$ . This implies  $x \wedge t \not\equiv t \pmod{\Theta}$ . By Lemma 1 we have  $x \equiv s \pmod{\Theta}$ .

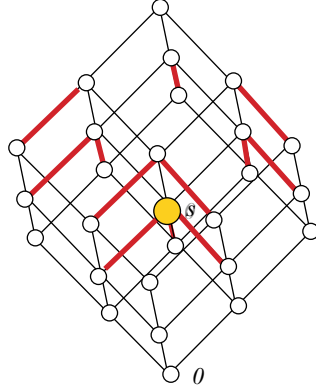
To prove that  $v \not\equiv z \pmod{\Theta}$ , we may assume that  $v \prec s$ . Take  $t, t \prec s$ , then we have three (pairwise different) lower covers of  $s$ , namely  $x, v, t$ . These generate an eight-element boolean lattice in which  $s \equiv t \pmod{\Theta}$ ,  $s \equiv x \pmod{\Theta}$  and  $s \equiv v \pmod{\Theta}$ . By the choice of  $t$  we know that  $v \not\equiv v \wedge t \pmod{\Theta}$ ,  $x \not\equiv x \wedge t \pmod{\Theta}$  and  $z \not\equiv x \wedge t \wedge v \pmod{\Theta}$ . It follows that  $x \not\equiv t \pmod{\Theta}$ , otherwise by the transitivity  $x \equiv v \pmod{\Theta}$ . This implies  $t \wedge x \not\equiv t \wedge x \wedge v \pmod{\Theta}$ . Take the covering square  $\{x \wedge v \wedge t, z, t \wedge x, x\}$  then by Lemma 1  $z \not\equiv x \pmod{\Theta}$ , which implies  $z \not\equiv v \pmod{\Theta}$ .  $\square$

The following results are proved in [4]. The source  $\mathcal{S}$  satisfies an independence property:

**Definition 4.** Two elements  $s_1$  and  $s_2$  of a distributive lattice are  $s$ -independent if  $x \prec s_1, y \prec s_2$  then  $s_1/x, s_2/y$  are not perspective,  $s_1/x \not\sim s_2/y$ . A subset  $S$  is  $s$ -independent iff every pair  $\{s_1, s_2\}$  is  $s$ -independent.

$G = C_n \times C_m \times C_k$  can be considered as a 3D hypermatrix, this has a row and two columns.  $G$  contains covering cubes, these are called cells. the source elements are top element of the sources., see Figure 9.

**Lemma 2.** Every row/column contains at most one source element.

FIGURE 9. The representation of a  $(2, 2, 2)$ - type lattice

**Lemma 3.** *Two elements  $s_1$  and  $s_2$  of a distributive lattice are  $s$ -independent if one of the following is satisfied:*

- (1)  $s_1$  and  $s_2$  are incomparable,
- (2)  $s_1 < s_2$  and  $t \prec s_2$  implies  $t \geq s_1$ , i.e.  $s_1 \leq s_2^*$ .

*Proof.* It is clear that for an incomparable pair  $s_1, s_2$  if  $u \prec s_1$  and  $v \prec s_2$  then  $s_1/u$  and  $s_2/v$  cannot be projective. On the other case, if  $s_1 < s_2$  then  $t \parallel s_1$  would imply that  $s_2/t$  and  $s_1/t \wedge s_1$  are perspective. This means that  $t \geq s_1$ .  $\square$

It is easy to prove that every  $s$ -independent subset  $\mathcal{S}$  generate a cover-preserving join-congruence  $\Theta$ . The semimodular lattice  $L$  is characterized by  $(G, \Theta)$  or  $(G, \mathcal{S})$ , where  $\mathcal{S}$  is an  $s$ -independent subset. We write:

$$L = \mathcal{L}(G, \mathcal{S}).$$

**Theorem.** *A rectangular 3D semimodular lattice  $R$  of type  $(n, m, k)$  has a 3-skeleton if and only if the source  $S$  of  $R$  has less than  $n$  elements.*

*Proof.* Let  $R$  be a rectangular 3D semimodular lattice of type  $(n, m, k)$ , ( $n \leq m \leq k$ ).

Then we have the grid  $G = C_n \times C_m \times C_k$  a cover-preserving join-congruence  $\Theta$  and the source  $S$  of  $\Theta$  such that  $R \cong G/\Theta$ . Then  $G$  has a skeleton  $\mathbf{Sk}(G) = \{0, a, b, c, p, q, r, 1\}$ , see in Figure 10 (in this example the type is of type  $(2, 2, 2)$ ). Take the ideal generated by  $\mathbf{a} = (c_n, 0, 0)$  of  $G$  (in Figure 10 the yellow line, this is the leading line).  $[(c_{n-1}, 0, 0), (c_n, c_m, c_k)]$  is the first row of the "matrix"  $G$ .

If every row contains a source element, i.e. we have  $n$  source elements then  $p \equiv 1(\Theta)$ . This implies that  $\mathbf{Sk}(G)/\Theta$  is not a boolean lattice, i.e.  $R$  has no skeleton.

Assume that we have rows without source elements. then  $p \not\equiv 1(\Theta)$ . Then the image of  $\mathbf{Sk}(G)$  by the cover-preserving join-homomorphism  $\varphi : G \rightarrow R$  is the skeleton  $\mathbf{Sk}(R)$  of  $R$ .  $\square$

Take  $M_3$ , then  $\mathbf{J}(M_3)$  is the three-element antichain, i.e.  $G = C_2^3$ .  $G$  has only one cell, the unit element is a source element. Let  $\Theta$  be the corresponding cover-preserving join-congruence. The factor lattice is  $G/\Theta = M_3$ . If  $R = N_7$  then the grid is  $G = C_3^2$  the matrix is not invertible and  $R$  and  $R$  has a skeleton.

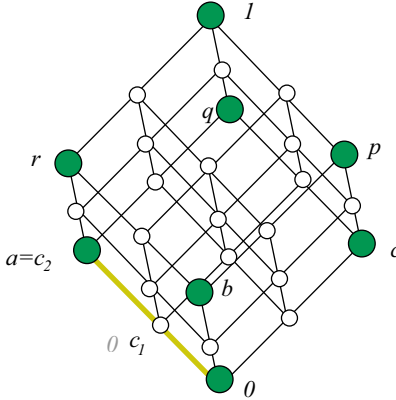


FIGURE 10. The skeleton of a  $G$ .

4. SOME MORE EXAMPLES

**Example 1.**

We would like to describe all lattices of type  $(1, 2, 2)$ . If  $R$  is such a lattice, then the grid is  $G = C_2 \times C_3 \times C_3$ . This has 4 cells, one row and two columns.

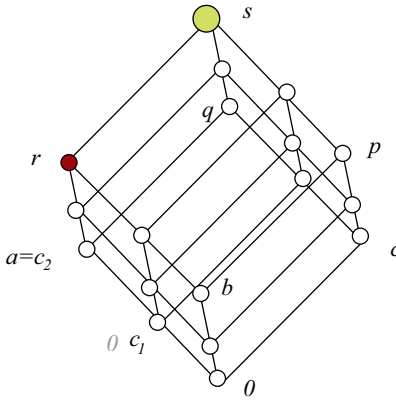


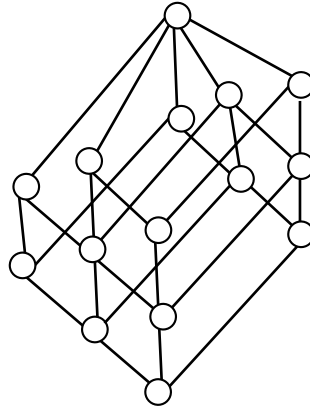
FIGURE 11. The skeleton  $G = C_2 \times C_3 \times C_3$ .

**Example 2.**

5. IMPORTANT RECTANGULAR LATTICES: PATCH LATTICES

5.1. **Patch lattices.** Let  $R$  be a 3D semimodular lattice of type  $(n, n, n)$ .

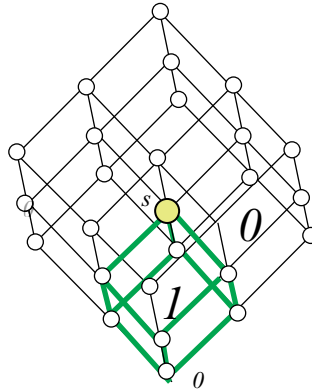
$R$  is called a *patch lattice* if in every row and column  $s$  except the last row and last (two) columns is a source elements. The patch lattices were introduced in [2]

FIGURE 12.  $S_{15}$  of type  $(1, 2, 2)$ 

for the two dimensional semimodular lattices, these are the building stones. Every path lattice  $R$  has a skeleton  $\mathbf{Sk}(R)$ . **The dual atoms of  $\mathbf{Sk}(R)$  are dual atoms of  $R$ .**

**5.2. The matrix representation.** . Take the grid  $G$  of  $R$ . This can be considered as a hypermatrix. If the cell is labeled by a source element then we write as entry 1 into this cell. otherwise we write 0. then we have a  $(0, 1)$ -hypermatrix, see Figure 12.

From Theorem 1 it follows that the condition  $R$  has no skeleton is equivalent to the condition "the hypermatrix is invertible".

FIGURE 13. A cell labeled by a source element  $s$ .

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MATHEMATICAL INSTITUTE OF THE BUDAPEST UNIVERSITY OF TECHNOLOGY AND ECONOMICS,  
MŰEGYETEM RKP. 3, H-1521 BUDAPEST, HUNGARY  
*E-mail address*, E. T. Schmidt: [schmidt@math.bme.hu](mailto:schmidt@math.bme.hu)  
*URL*: <http://www.math.bme.hu/~schmidt/>