

WKB-analysis of Hitchin system in rank 2

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related topics”

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Basic notation

Fix the data

- ▶ $\Sigma = \mathbb{C}P^1$: complex projective line (more generally, a compact Riemann surface),
- ▶ $r = 2$ rank (i.e., $G = \mathrm{Sl}_2(\mathbb{C})$),
- ▶ $t_0, \dots, t_n \in \mathbb{C}P^1$ logarithmic singularities (with local charts z_j),
- ▶ $D = t_0 + \dots + t_n$ parabolic divisor,
- ▶ $\alpha_j^- = \frac{1}{4} < \alpha_j^+ = \frac{3}{4}$ Dolbeault parabolic weights

Hitchin's equations

Let (V, h) be a fixed rank 2 smooth Hermitian vector bundle on a compact Riemann surface Σ . Two-dimensional reduction of the Yang–Mills equations are **Hitchin's equations** (1987)

$$D^{0,1}\theta = 0$$

$$F_D + [\theta, \theta^{\dagger h}] = 0$$

for a unitary connection D and a field $\theta : V \rightarrow V \otimes \Omega_{\mathbb{C}}^{1,0}$.

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for a unitary connection D and a field $\theta : V \rightarrow V \otimes \Omega_{\mathbb{C}}^{1,0}$. The space of solutions of Hitchin's equations is preserved by unitary gauge transformations. Taking solutions up to unitary gauge equivalence $\rightsquigarrow \mathcal{M}_{\text{Hod}}$ **non-abelian Hodge moduli space**, a hyper-Kähler manifold.

Higgs bundles, stability

Set $\bar{\partial}_{\mathcal{E}} = D^{0,1}$ and denote by \mathcal{E} the holomorphic vector bundle structure on V defined by $\bar{\partial}_{\mathcal{E}}$. The couple (\mathcal{E}, θ) is called a **Higgs bundle** if

$$\theta: \mathcal{E} \longrightarrow \mathcal{E} \otimes_{\mathcal{O}} K_{\Sigma}$$

is holomorphic (\Leftrightarrow complex Hitchin equation). For a holomorphic bundle introduce its **slope**

$$\mu(\mathcal{E}) = \frac{\deg(\mathcal{E})}{\text{rank}(\mathcal{E})}.$$

A Higgs bundle is **(semi-)stable** if for any proper holomorphic subbundle $0 \subset \mathcal{F} \subset \mathcal{E}$ preserved by θ we have

$$\mu(\mathcal{F}) < \mu(\mathcal{E}) \quad (\text{respectively, } \mu(\mathcal{F}) \leq \mu(\mathcal{E})).$$

A Higgs bundle is **poly-stable** if it is a direct sum of stable Higgs bundles of fixed slope μ .

Hitchin–Kobayashi correspondence

Theorem (Hitchin, 1987)

Let (\mathcal{E}, θ) be a Higgs bundle of rank 2 and degree 0 on Σ . Then, there exists a Hermitian metric h on V satisfying the real Hitchin equation if and only if (\mathcal{E}, θ) is poly-stable.

Corollary

In one of the complex structures I of the hyper-Kähler family, \mathcal{M}_{Hod} parameterizes complex gauge equivalence classes of poly-stable Higgs bundles (\mathcal{E}, θ) .

The Kähler manifold $(\mathcal{M}_{\text{Hod}}, I)$ is called the **Dolbeault moduli space**, denoted by \mathcal{M}_{Dol} .

Hitchin map

Hitchin, 1987: for \mathcal{M}_{Dol} a Dolbeault moduli space there exists a surjective proper algebraic map of quasi-projective varieties

$$H: \mathcal{M}_{\text{Dol}} \rightarrow Y = \mathbb{C}^N,$$

with $\dim_{\mathbb{C}} \mathcal{M}_{\text{Dol}} = 2N$. For (\mathcal{E}, θ) we set

$$\det(\zeta - \theta) = \zeta^r + s_1 \zeta^{r-1} + \cdots + s_r$$

for the characteristic polynomial of θ . Then,

$$H(\mathcal{E}, \theta) = (s_1, \dots, s_r).$$

The generic fiber of H is an abelian variety, H is a completely integrable system.

Flat connections, holomorphic connections

Define

$$\nabla = D + \theta + \theta^{\dagger h}.$$

Hitchin's equations $\Rightarrow F_{\nabla} = 0$, i.e. ∇ is a flat connection.

Then $\nabla^{0,1} = D^{0,1} + \theta^{\dagger h}$ specifies a holomorphic vector bundle structure on V (in general different from \mathcal{E} !), and $\nabla^{1,0} = D^{1,0} + \theta$ is a holomorphic connection on $(V, \nabla^{0,1})$. There are similar notions of (poly-)stability as for Higgs bundles.

Theorem (Donaldson, 1987)

For a given holomorphic connection $\nabla^{1,0}$ on a holomorphic bundle of rank 2, there exists a Hermitian metric h satisfying Hitchin's equations if and only if $\nabla^{1,0}$ is poly-stable.

de Rham Kähler structure

Corollary

In another complex structure J of the hyper-Kähler family, \mathcal{M}_{Hod} parameterizes S -equivalence classes of stable holomorphic connections.

The Kähler manifold $(\mathcal{M}_{\text{Hod}}, J)$ is called the **de Rham moduli space**, denoted by \mathcal{M}_{dR} .

Non-abelian Hodge theory

Corollary

$\mathcal{M}_{\mathrm{dR}}$ and $\mathcal{M}_{\mathrm{Dol}}$ are diffeomorphic to each other (via $\mathcal{M}_{\mathrm{Hod}}$):

$$\text{NAHT: } \mathcal{M}_{\mathrm{Dol}} \xrightarrow{\sim} \mathcal{M}_{\mathrm{dR}}.$$

Tame harmonic bundles

Let Σ be a noncompact curve of the form

$$\Sigma = \bar{\Sigma} \setminus \{p_1, \dots, p_n\},$$

with $\bar{\Sigma}$ compact. **Tame harmonic bundles** (C. Simpson, 1990): at the parabolic divisor we require

- ▶ θ has first order poles at p_j ,
- ▶ the eigenvalues of $\text{res}_{p_j}(\theta)$ are equal to 0 (strongly parabolic),
- ▶ and with respect to a compatible trivialization,

$$h \approx \text{diag}(|z_j|^{2\alpha_j^-}, |z_j|^{2\alpha_j^+}) = \text{diag}(|z_j|^{\frac{1}{2}}, |z_j|^{\frac{3}{2}})$$

Konno, 1993: solutions up to unitary gauge equivalence form

\mathcal{M}_{Hod} **non-abelian Hodge moduli space**, a hyper-Kähler manifold.

Character variety, Riemann–Hilbert correspondence

Character variety \mathcal{M}_B : moduli space parameterising (filtered) local systems ρ on $\mathbb{C}P^1 \setminus D$, with eigenvalues on a simple positive loop around p_j given by

$$c_j^\pm = \exp(-2\pi\sqrt{-1}\alpha_j^\pm) = \pm\sqrt{-1}.$$

Regular-singular **Riemann–Hilbert correspondence**: bi-analytic map

$$\text{RH}: \mathcal{M}_{\text{dR}} \rightarrow \mathcal{M}_B,$$

obtained by unique analytical continuation of local parallel sections of ∇ .

Conclusion: \mathcal{M}_{dR} , \mathcal{M}_{Dol} and \mathcal{M}_B are all diffeomorphic to each other (and to \mathcal{M}_{Hod}), via

$$\text{RH} \circ \text{NAHT}: \mathcal{M}_{\text{Dol}} \longrightarrow \mathcal{M}_B.$$

Hitchin WKB-problem, $P=W$ conjecture

Hitchin WKB-problem

Katzarkov–Noll–Pandit–Simpson, 2013: understand $RH \circ NAHT$ “close to infinity”, i.e. for (\mathcal{E}, θ) with $|H(\mathcal{E}, \theta)| \gg 1$.

Related to:

the Geometric $P=W$ conjecture, a Geometric version of de Cataldo–Hausel–Migliorini’s cohomological $P=W$ conjecture, 2012.

“Standard” WKB-method

Analogous problem (... , Voros, 1983, ...): asymptotic analysis of solutions ψ of Schrödinger's equation

$$-\hbar^2 \frac{d^2 \psi(q)}{dq^2} + V(q) \psi(q) = 0$$

as $\hbar \rightarrow 0$. This involves expressions of the form

$$\exp \left(\sqrt{-1} \hbar^{-1} \int_{\gamma} u dq \right)$$

for paths $\gamma: [0, 1] \rightarrow \Sigma$ avoiding the ramification points and

$$u(q, \hbar) = \zeta + O(\hbar^2),$$

with $\zeta^2 + V(q) = 0$.

Hitchin base and map

From now on we let $n = 5$, based on arXiv:2103.00932. Let (\mathcal{E}, θ) be a strongly parabolic Higgs bundle of rank 2 with 5 logarithmic points t_0, \dots, t_4 , and set $D = t_0 + \dots + t_4$.

We then have $\text{tr}(\theta) \equiv 0$. Hitchin base:

$$\mathcal{B} = \{q : q(t_j) = 0 \text{ for all } 0 \leq j \leq 4\} \subset H^0(\mathbb{C}P^1, K^{\otimes 2}(2D)) \cong \mathbb{C}^7,$$

so $\dim_{\mathbb{C}}(\mathcal{B}) = 2$. Hitchin map:

$$\begin{aligned} H: \mathcal{M}_{\text{Dol}}(\vec{0}, \vec{\alpha}) &\rightarrow \mathcal{B} \\ (\mathcal{E}, \theta) &\mapsto -\det(\theta). \end{aligned}$$

Spectral curve

For $q \in \mathcal{S}_1^3 \subset \mathcal{B}$ we write $\zeta_{\pm}(Rq, z)$ for the roots of

$$\zeta^2 - Rq = 0,$$

specifically

$$\zeta_{\pm}(Rq, z) = \pm \sqrt{Rq(z, 1)}.$$

Denote the corresponding meromorphic 1-forms by

$$Z_{\pm}(Rq, z) = \pm \sqrt{Rq(z, 1)} \frac{dz}{\prod_{j=0}^4 (z - t_j)}.$$

We denote by

$$X_{Rq} = \{([z : w], \pm \sqrt{Rq(z, w)})\} \rightarrow \mathbb{CP}^1$$

the Riemann surface of the bivalued function $\zeta_{\pm}(Rq, z)$.

Ramification divisor and Hopf fibration

We set

$$\Delta_q = \{z \in \mathbb{C} : q(z) = 0\}$$

for the ramification divisor of X_{Rq} (independent of R). We then have

$$\Delta_q = \{t_0, t_1, t_2, t_3, t_4, t(q)\}$$

for some $t(q) \in \mathbb{C}P^1$. Namely,

$$q(z) = \frac{(az - b)dz^{\otimes 2}}{\prod_{j=0}^4 (z - t_j)}.$$

for some $(a, b) \in \mathbb{C}^2$ with $at(q) - b = 0$. The map

$$\begin{aligned} t: S_1^3 &\rightarrow \mathbb{C}P^1 \\ q &\mapsto t(q) \end{aligned}$$

is the Hopf fibration.

Idea of solution

We fix a generic element $q \in S_1^3$ and consider $(\mathcal{E}, \theta) \in \mathcal{M}_{\text{Dol}}(\vec{0}, \vec{\alpha})$ such that

$$H(\mathcal{E}, \theta) = q.$$

For $R > 0$ we have

$$H(\mathcal{E}, \sqrt{R}\theta) = Rq.$$

It is then possible to express the $R \rightarrow \infty$ asymptotic behaviour of $\text{RH} \circ \text{NAHT}$ in function of $\int_{\gamma} Z_{\pm}(q, z)$ over various paths γ in $\mathbb{C}P^1$, up to factors belonging to $U(1)$.

We then choose a suitable smooth section

$$\sigma: S_1^3 \rightarrow \mathcal{M}_{\text{Dol}}(\vec{0}, \vec{\alpha})$$

of H to get rid of the $U(1)$ factors.

Asymptotic abelianization

Let $h_{\sqrt{R}}$ and $\nabla_{\sqrt{R}}$ denote the Hermite–Einstein metric and integrable connection associated to $(\mathcal{E}, \sqrt{R}\theta)$. Introduce

$$\nabla_{\sqrt{R}}^{\text{model}} = \nabla_{h_{q,\infty}} + \begin{pmatrix} 2\Re Z_+(Rq, z) & 0 \\ 0 & 2\Re Z_-(Rq, z) \end{pmatrix}.$$

where $h_{q,\infty}$ is some explicit abelian solution of Hitchin's equation and $\nabla_{h_{q,\infty}}$ the corresponding unitary connection.

Theorem (T. Mochizuki '16)

Over any simply connected compact set $K \subset \mathbb{C} \setminus \Delta_q$ there exists a gauge transformation $g_{\sqrt{R}}$ such that

$$g_{\sqrt{R}} \cdot \nabla_{\sqrt{R}} - \nabla_{\sqrt{R}}^{\text{model}} \rightarrow 0$$

(measured with respect to $h_{\sqrt{R}}$) as $R \rightarrow \infty$, uniformly over K .

Fiducial solution, Painlevé 3


R. Mazzeo, J. Swoboda, H. Weiss, F. Witt '16 (near the ramification point $t(q)$), L. Fredrickson, R. Mazzeo, J. Swoboda, H. Weiss '20 (near parabolic points D): local models for the $R \gg 0$ behaviour of $h_{\sqrt{R}}$ and $\nabla_{\sqrt{R}}$, called **fiducial solutions**.
Near $t(q)$: let $\ell_{\sqrt{R}}$ be the solution of the Painlevé 3-type equation

$$\left(\frac{d^2}{d\tilde{r}^2} + \frac{1}{\tilde{r}} \frac{d}{d\tilde{r}} \right) \ell_{\sqrt{R}} = 8R\tilde{r} \sinh(2\ell_{\sqrt{R}})$$

satisfying the boundary behaviours

$$\ell_{\sqrt{R}}(\tilde{r}) \approx -\frac{1}{2} \log(\tilde{r}), \quad \tilde{r} \rightarrow 0+$$

$$\ell_{\sqrt{R}}(\tilde{r}) \approx \frac{1}{\pi} K_0 \left(\frac{8}{3} \sqrt{R\tilde{r}^3} \right) \approx \frac{\sqrt{3}}{2\pi\sqrt{2^4 R\tilde{r}^3}} e^{-\frac{8}{3}\sqrt{R\tilde{r}^3}}, \quad \tilde{r} \rightarrow \infty,$$

with K_0 the modified Bessel function of order 0. 

Fiducial solution, approximate solution

Then, for a co-ordinate \tilde{z} on the disc $|\tilde{z}| < 1$ introduce a unitary connection and Higgs field:

$$A_{\sqrt{R}}^{\text{fid}} = \left(\frac{1}{8} + \frac{1}{4} \tilde{r} \partial_{\tilde{r}} \ell_{\sqrt{R}} \right) \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} 2\sqrt{-1} d\tilde{\varphi}$$

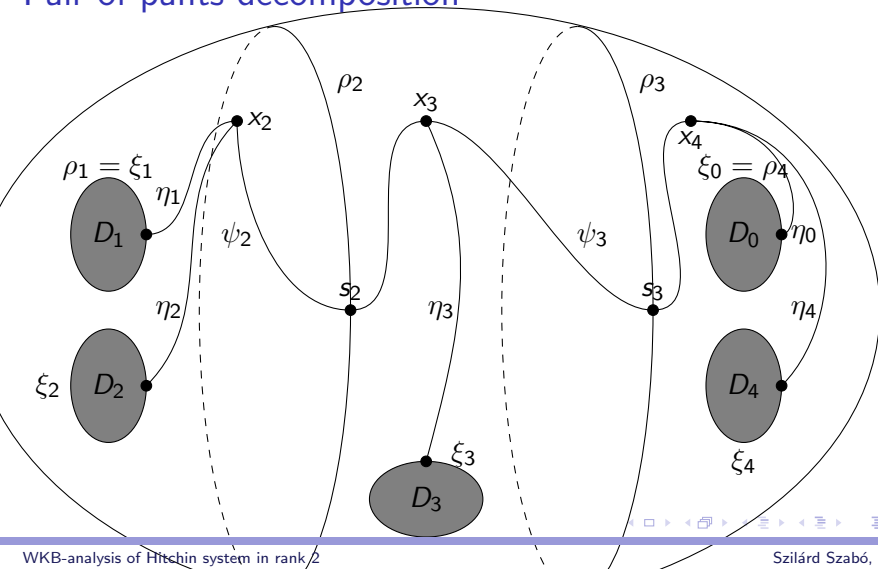
$$\theta_{\sqrt{R}}^{\text{fid}} = \begin{pmatrix} 0 & \tilde{r}^{1/2} e^{\ell_{\sqrt{R}}(\tilde{r})} \\ \tilde{z} \tilde{r}^{-1/2} e^{-\ell_{\sqrt{R}}(\tilde{r})} & 0 \end{pmatrix} d\tilde{z}.$$

Gluing construction of the fiducial solution and Mochizuki's abelian form \rightsquigarrow **approximate solution** $h_{\sqrt{R}}^{\text{appr}}$.

Theorem (MSWW '16, FMSW '20)

Assume that all the zeroes of q are simple. Then, there exists a small perturbation of the Hermitian metric $h_{\sqrt{R}}^{\text{appr}}$ that satisfies Hitchin's equation for $(\mathcal{E}, \sqrt{R}\theta)$.

Pair-of-pants decomposition



Simpson's Fenchel–Nielsen co-ordinates

Simpson '16: (an open subset of) \mathcal{M}_B carries **complex length co-ordinates**

$$t_i = \text{tr RH}(\nabla)[\rho_i] \in \mathbb{C} \quad (i \in \{2, 3\}),$$

and **complex twist co-ordinates**

$$[p_i : q_i] \in \mathbb{C}P^1 \quad (i \in \{2, 3\}),$$

subject to the condition

$$p_i^2 + t_i p_i q_i + q_i^2 \neq 0.$$

Parallel transport map

For any loop γ in $\mathbb{C}P^1 \setminus \Delta_q$ let us write

$$\text{RH}(\nabla_{\sqrt{R}})[\gamma] = \begin{pmatrix} a(\gamma, q, R) & b(\gamma, q, R) \\ c(\gamma, q, R) & d(\gamma, q, R) \end{pmatrix}.$$

For $0 \leq j \leq 2$ introduce

$$\pi_j(q) = \int_{x_2}^{t_j} Z_+(q, z) \in \mathbb{C},$$

$$\tau_j(q) = \frac{at_j - b}{\prod_{0 \leq k \leq 4, k \neq j} (t_j - t_k)} \in \mathbb{C}.$$

Asymptotics of parallel transport around parabolic points

Proposition

Fix any $q \in S_1^3$ and consider the loop $\gamma = \xi_j$, a positively oriented circle around p_j , of radius $r_0 > 0$ in the Euclidean metric.

1. The behaviour of the diagonal entries of $\text{RH}(\nabla_{\sqrt{R}})[\xi_j]$ as $R \rightarrow \infty$ is given by the limits

$$a(\xi_j, q, R) \rightarrow 0$$

$$d(\xi_j, q, R) \rightarrow 0;$$

2. the behaviour of the off-diagonal entries is given by

$$b(\xi_j, q, R)e^{8\Re\sqrt{\tau_j}\sqrt{Rr_0}} \rightarrow \sqrt{-1}$$

$$c(\xi_j, q, R)e^{-8\Re\sqrt{\tau_j}\sqrt{Rr_0}} \rightarrow \sqrt{-1}.$$

Asymptotics of complex length co-ordinates t_2

Theorem

Fix $q \in S_1^3$ and consider the loop $\gamma = \rho_2$. In case $\Re(\pi_1 - \pi_2) \neq 0$ there exists a complex 1-parameter family of sections σ of the Hitchin map H such that as $R \rightarrow \infty$ we have the limit

$$t_2(q, R) = \exp\left(4\sqrt{R}|\Re(\pi_1 - \pi_2)|\right) + o(1).$$

In case $\Re(\pi_1 - \pi_2) = 0$ the limit of $t_2(q, R)$ as $R \rightarrow \infty$ exists and is finite.

Corollary

Fix $q \in S_1^3$ and assume $\pi_1(q) \neq \pi_2(q)$. Then there exists a unique $\varphi_2 \in [0, 2\pi)$ such that $t_2(e^{\sqrt{-1}\varphi_2}q, R)$ is bounded as $R \rightarrow \infty$.

Asymptotics of complex length co-ordinates

Corollary

Let $q \in S_1^3$ satisfy

$$\pi_4(q) - \pi_0(q) \neq 0 \neq \pi_1(q) - \pi_2(q).$$

Then there exists a section σ of H such that we have limits

$$\lim_{R \rightarrow \infty} t_2(q, R) \exp\left(-4\sqrt{R}|\Re(\pi_1(q) - \pi_2(q))|\right) = 1$$

and

$$\lim_{R \rightarrow \infty} t_3(q, R) \exp\left(-4\sqrt{R}|\Re(\pi_4(q) - \pi_0(q))|\right) = 1.$$

Limit of complex twist co-ordinate $[p_2 : q_2]$

Theorem

Fix $q \in S_1^3$ such that $\Re(\pi_2 - \pi_1) \neq 0$. Then, the complex twist co-ordinate $[p_2 : q_2]$ associated to Rq converges to $[0 : 1]$ as $R \rightarrow \infty$ if the conditions

$$\int_{\psi_2} \Re Z_+ < 2\Re(2\sqrt{s_2\tau_2} - \sqrt{s_2\tau_1} - \sqrt{s_3\tau_3})$$

$$|\Re(\pi_1 - \pi_2)| = 2\sqrt{s_2}\Re(\sqrt{\tau_1} - \sqrt{\tau_2})$$

hold for one choice of a square root Z_+ of Q .

On the other hand, $[p_2 : q_2] \rightarrow [1 : 0]$ if

$$|\Re(\pi_1 - \pi_2)| \neq 2\sqrt{s_2}\Re(\sqrt{\tau_1} - \sqrt{\tau_2}).$$

Asymptotics of complex twist co-ordinate $[p_2 : q_2]$

Specifically, in the first situation we have

$$\frac{p_2}{q_2} \approx \exp 4\sqrt{R} \Re \left(\int_{\psi_2} Z_+(q) - 2(2\sqrt{s_2\tau_2}(q) - \sqrt{s_2\tau_1}(q) - \sqrt{s_3\tau_3}(q)) \right).$$

This behaviour follows from some miraculous cancellations.

Conclusion:

- ▶ the behaviour $\frac{p_2}{q_2} \rightarrow \infty$ is generic,
- ▶ the challenge is to find $q \in S_1^3$ such that $\frac{p_2}{q_2} \rightarrow 0$.

Geometry of period integrals

Define the open subset

$$U_2(s_2) \subset S_1^3$$

by the conditions

$$0 \neq \pi_1(q) - \pi_2(q) \neq \pm 2\sqrt{s_2}(\sqrt{\tau_1}(q) - \sqrt{\tau_2}(q)).$$

For every $q \in U_2$ there exists a unique $\varphi^* \in [0, 2\pi)$ such that

$$\Re(\pi_1(e^{\sqrt{-1}\varphi^*} q) - \pi_2(e^{\sqrt{-1}\varphi^*} q)) = 2\sqrt{s_2} \Re(\sqrt{\tau_1}(e^{\sqrt{-1}\varphi^*} q) - \sqrt{\tau_2}(e^{\sqrt{-1}\varphi^*} q))$$

This provides a smooth section of the Hopf fibration

$$\begin{aligned} S_2: t(U_2) &\rightarrow S_1^3 \\ [a : b] &\mapsto e^{\sqrt{-1}\varphi^*(q)} q \end{aligned}$$

Finding small $[p_2 : q_2]$

We make the choices

$$t_0 = -\frac{1}{k}, \quad t_1 = 0, \quad t_2 = 1, \quad t_3 = -1, \quad t_4 = \frac{1}{k}$$

for some $0 < k < 1$.

Proposition

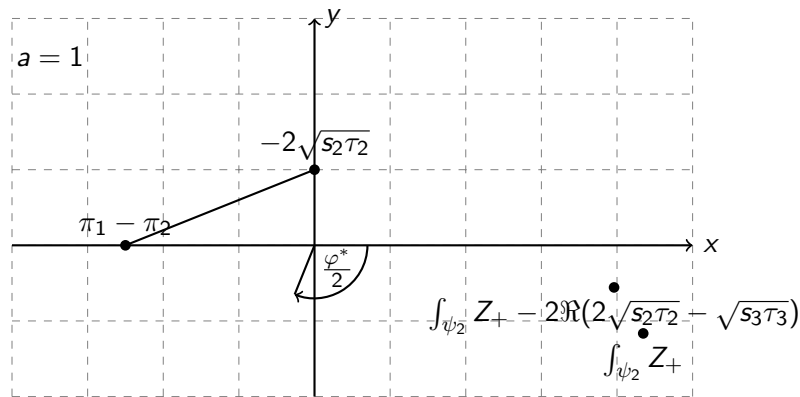
Let $q = S_2(t_1)$. Then q belongs to $U_2(s_2)$ for every $s_2 > 0$, and we have $\Re(\pi_1(q) - \pi_2(q)) \neq 0$. Moreover, there exist distinct points $x_2, x_3 \in \mathbb{C}P^1 \setminus D$ and

$$\rho = \rho(q, t_0, \dots, t_4, x_2, x_3) > 0$$

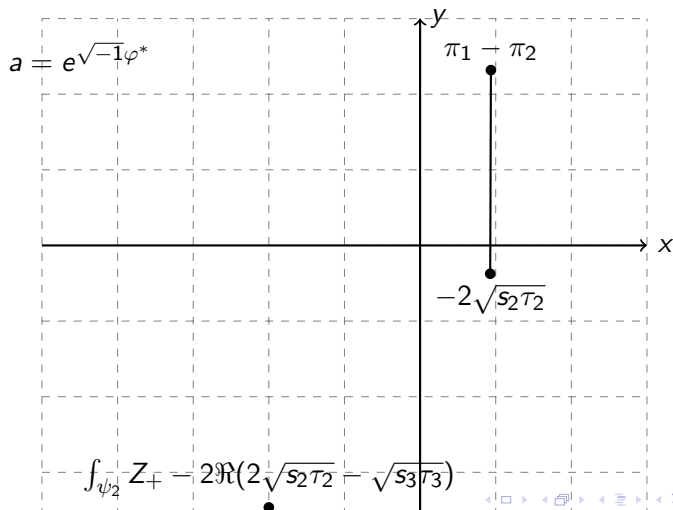
such that for every $0 < s_2, s_3 < \rho$ we have $[p_2 : q_2] \rightarrow [0 : 1]$ as $R \rightarrow \infty$.

Idea of proof to find small $[p_2 : q_2]$

Rotating triangles. Before:



Idea of proof to find small $[p_2 : q_2]$; after:



Finding small $[p_2 : q_2]$ and $[p_3 : q_3]$ simultaneously

Proposition

There exist $0 < s_2, s_3, s_4 < \rho''$ such that $S_2(t_1) = S_3(t_1)$. For the choice $q = S_2(t_1)$, we have $[p_2 : q_2] \rightarrow [0 : 1]$ and $[p_3 : q_3] \rightarrow [0 : 1]$ as $R \rightarrow \infty$.

Further directions

- ▶ Use the above approach to study the (Geometric) $P = W$ conjecture, along the lines of the Painlevé 6 case, Sz. (Adv. Math., 2021).
- ▶ Generalization to higher rank.
- ▶ Generalization to irregular singularities.