

COPING WITH DIVERGENT PERTURBATION SERIES

Péter R. Surján and Zsuzsanna Mihálka

**Eötvös University
Faculty of Science
Institute of Chemistry
Laboratory of Theoretical Chemistry
Budapest, Hungary**

Content

- **The Basic Problem of Quantum Chemistry**
- **Perturbation Theory**
- **Improving Convergence**
- **Determining Convergence: Singularity Analysis**
- **Regularizing Divergent Series**

INTRODUCTION

The Basic Problem of Quantum Chemistry

$$H \Psi_k = E_k \Psi_k \quad k = 0, 1, \dots$$

$$H = -\frac{1}{2} \Delta_{3N} + \sum_{i=1}^N V_i + \sum_{i < j}^N V(i, j)$$

$$\Psi = \Psi(x_1, y_1, z_1, x_2, y_2, \dots, x_N, y_N, z_N)$$

INTRODUCTION

Perturbation Theory

$$H = H^0 + \lambda W$$

$$H^0 \Psi_k^0 = E_k^0 \Psi_k^0 \quad k = 0, 1, \dots$$

$$\Psi = \sum_{\mu=0}^{\infty} \lambda^{\mu} \Psi^{(\mu)}$$

$$E = \sum_{\mu=0}^{\infty} \lambda^{\mu} E^{(\mu)}$$

Rayleigh–Schrödinger

Brillouin–Wigner

Béla Szőkefalvi-Nagy

PT from iterative Schrödinger eq.

$$\begin{aligned}(H^0 + W) \Psi &= (E^0 + \Delta E) \Psi \\ (H^0 - E^0) \Psi &= (\Delta E - W) \Psi\end{aligned}$$

Define Q : $Q (H^0 - E^0) = P := 1 - P^0$
 where $P^0 \Psi = \Psi^0$

$$\underbrace{Q (H^0 - E^0) \Psi}_{(1-P^0)\Psi = \Psi - \Psi^0} = Q (\Delta E - W) \Psi$$

$$\boxed{\Psi = \Psi^0 + Q (\Delta E - W) \Psi}$$

$$\Psi = \Psi^0 + Q (\Delta E - W) \Psi$$

Iterative solution, starting with Ψ^0 :

$$\Psi^1 = -Q W \Psi^0 + \dots$$

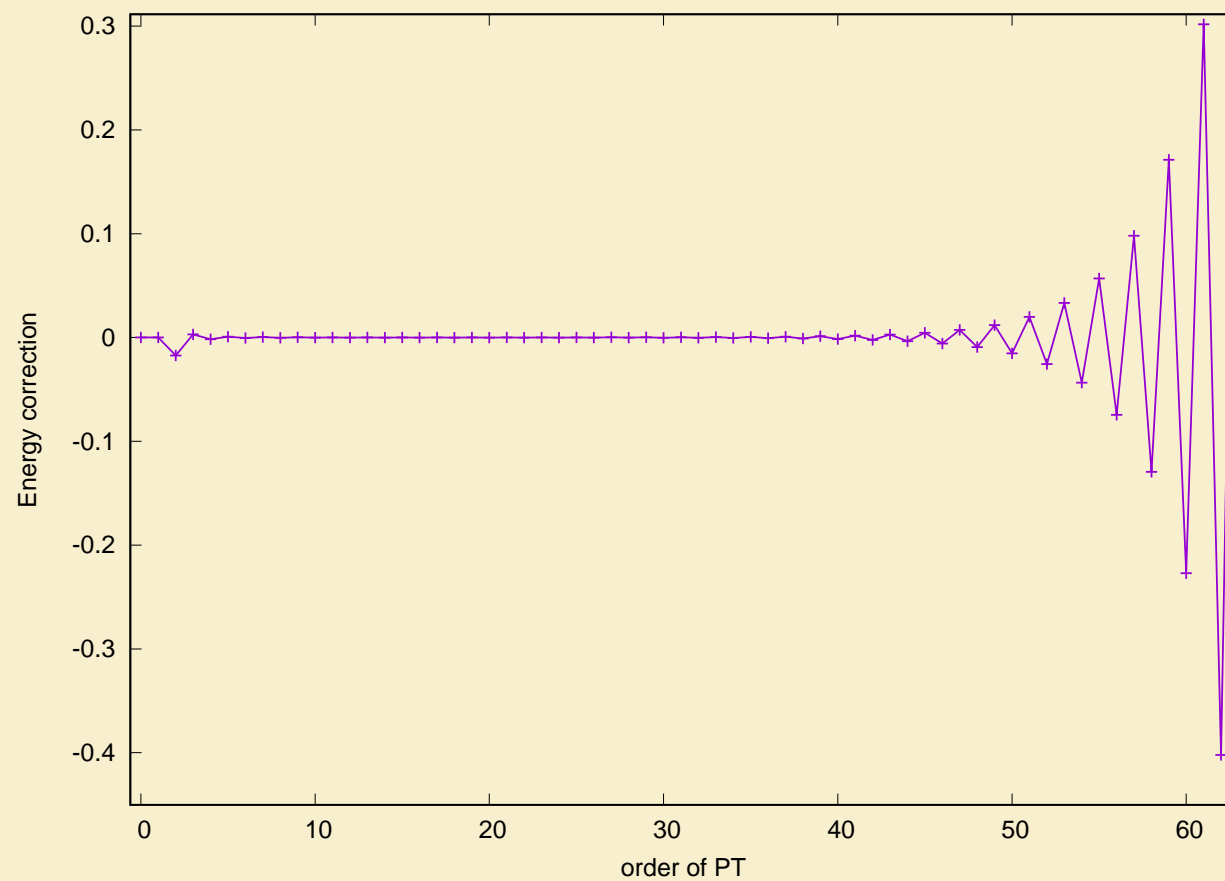
$$\Psi^2 = Q W Q W \Psi^0 + \dots$$

$$\Psi^n = \pm \overset{\underbrace{1}}{Q} \overset{\underbrace{2}}{W} \overset{\underbrace{1}}{Q} \overset{\underbrace{2}}{W} \dots \overset{\underbrace{n}}{Q} \overset{\underbrace{1}}{W} \Psi^0 + \dots$$

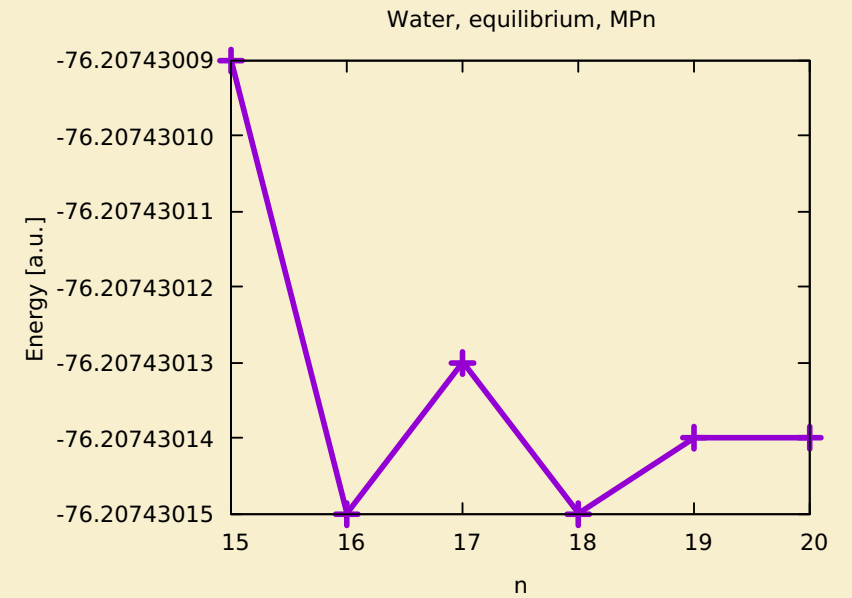
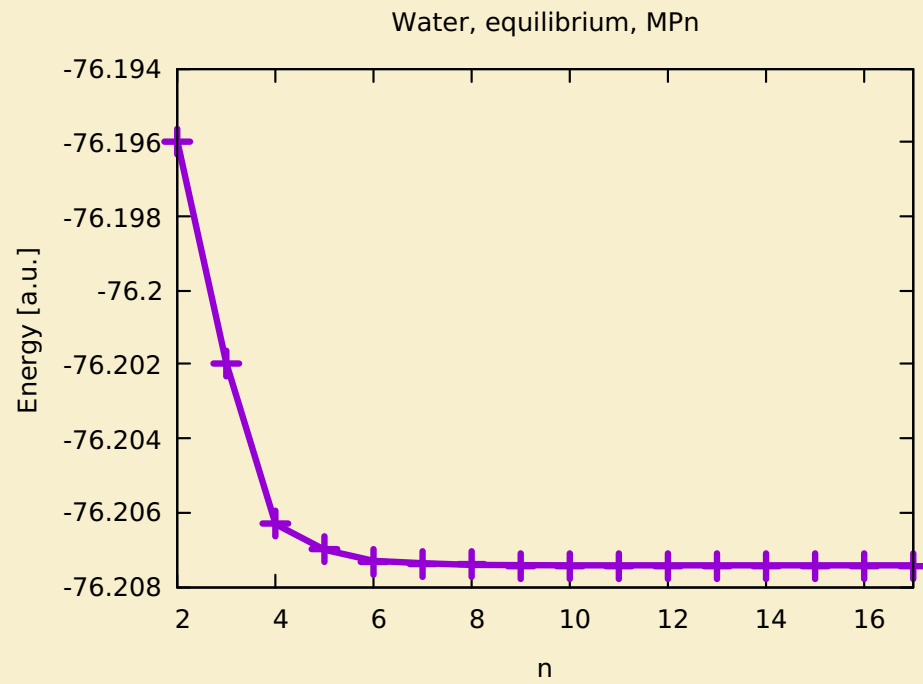
$$E^n = \langle \Psi^0 | W \Psi^{n-1} \rangle$$

Examples

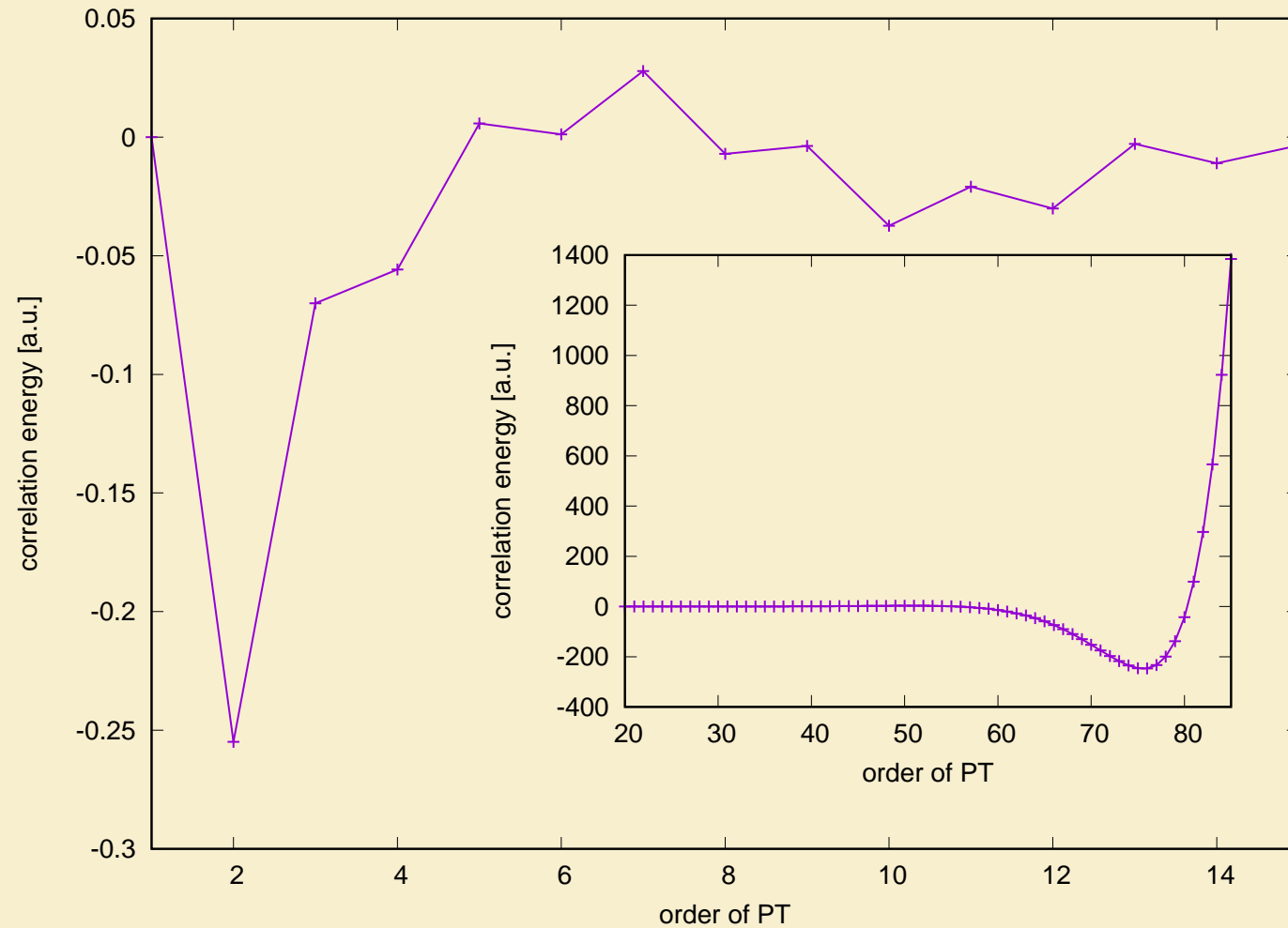
Anharmonic oscillator



Water molecule – at equilibrium



Water molecule – prolonged R(O—H)



**strongly
divergent
after some
maelstrom**

Simple extrapolation/damping techniques

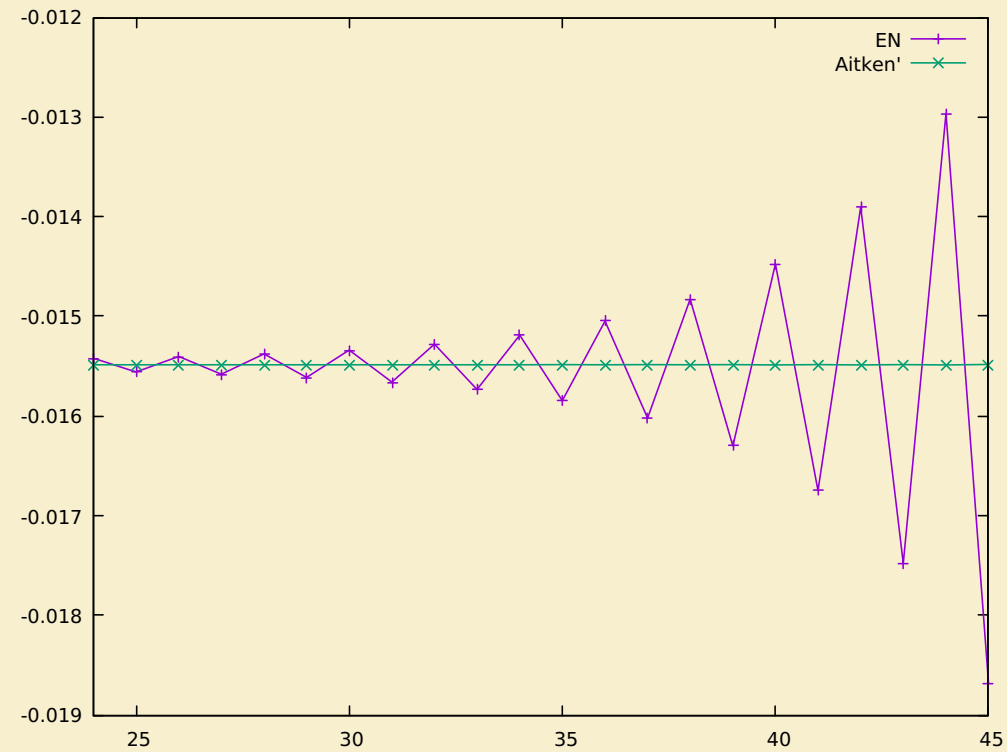
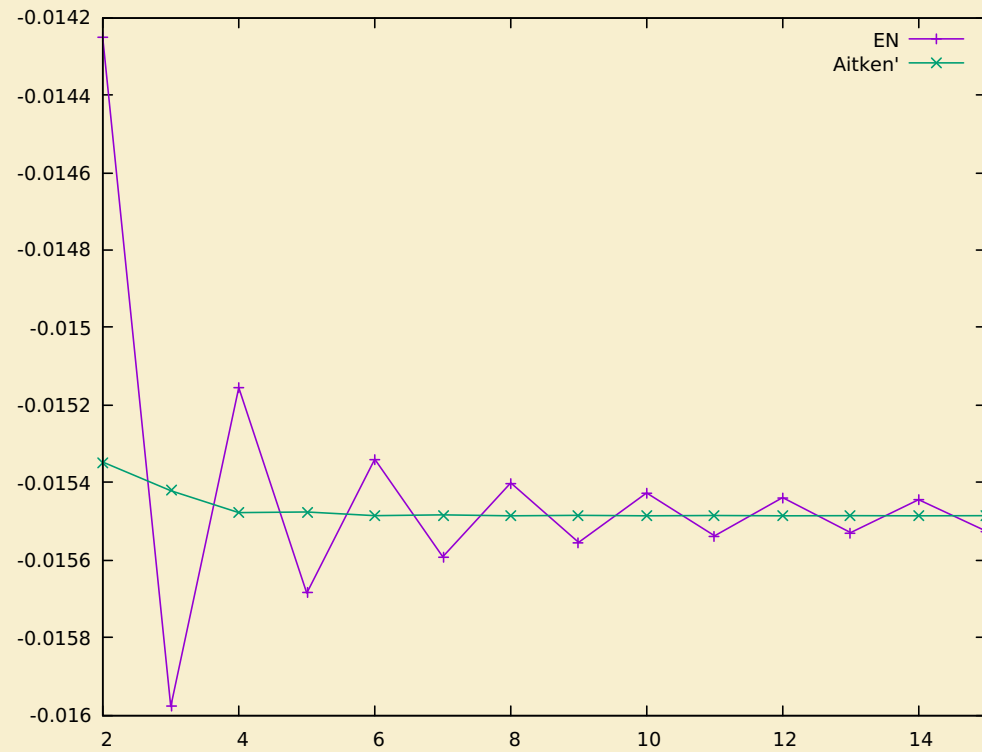
Aitken (Wynn, Shanks) 3-point extrapolation

$$S_n = \sum_{k=0}^n a_k$$

$$T_n = \frac{S_{n+1}S_{n-1} - S_n^2}{S_{n+1} - 2S_n^2 + S_{n-1}}$$

Derivation: geometric convergence is assumed.

Anharmonic oscillator



...helps very rarely

Resummation of Divergent Series: a Toy Example

$$1 + 2 + 4 + 8 + 16 + \dots = ???$$

Resummation of Divergent Series: a Toy Example

$$1 + 2 + 4 + 8 + 16 + \dots = -1.$$

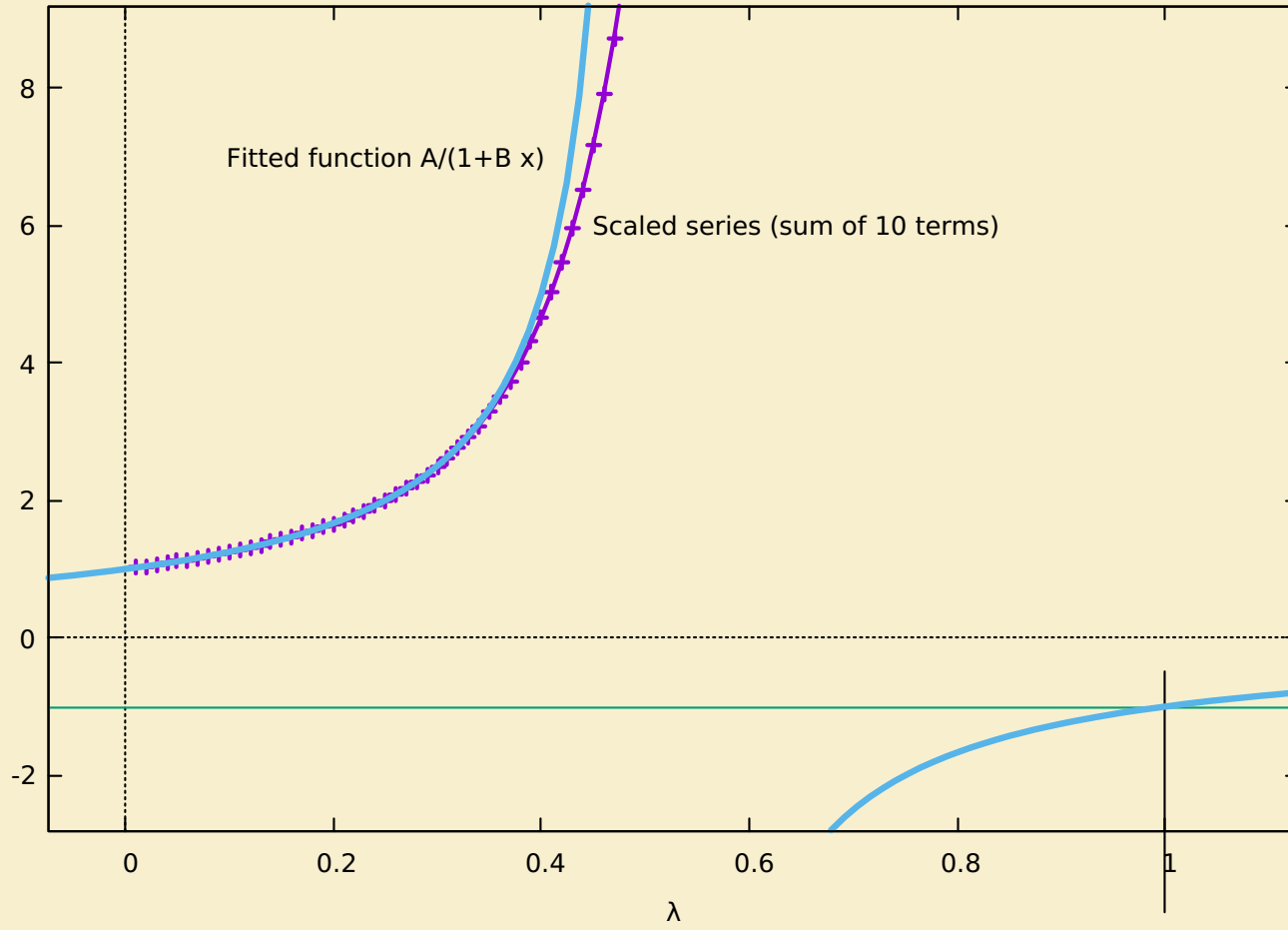
Resummation of Divergent Series: a Toy Example

$$1 + 2 + 4 + 8 + 16 + \dots = \sum_{k=0}^{\infty} 2^k \Rightarrow \sum_{k=0}^{\infty} (2\lambda)^k$$

$$\sum_{k=0}^{\infty} x^k = \frac{1}{1-x} = -1 \quad \text{for } x = 2.$$

$$\sum_{k=0}^{\infty} (2\lambda)^k = \frac{1}{1-2\lambda} = -1 \quad \text{for } \lambda = 1.$$

TOY EXAMPLE



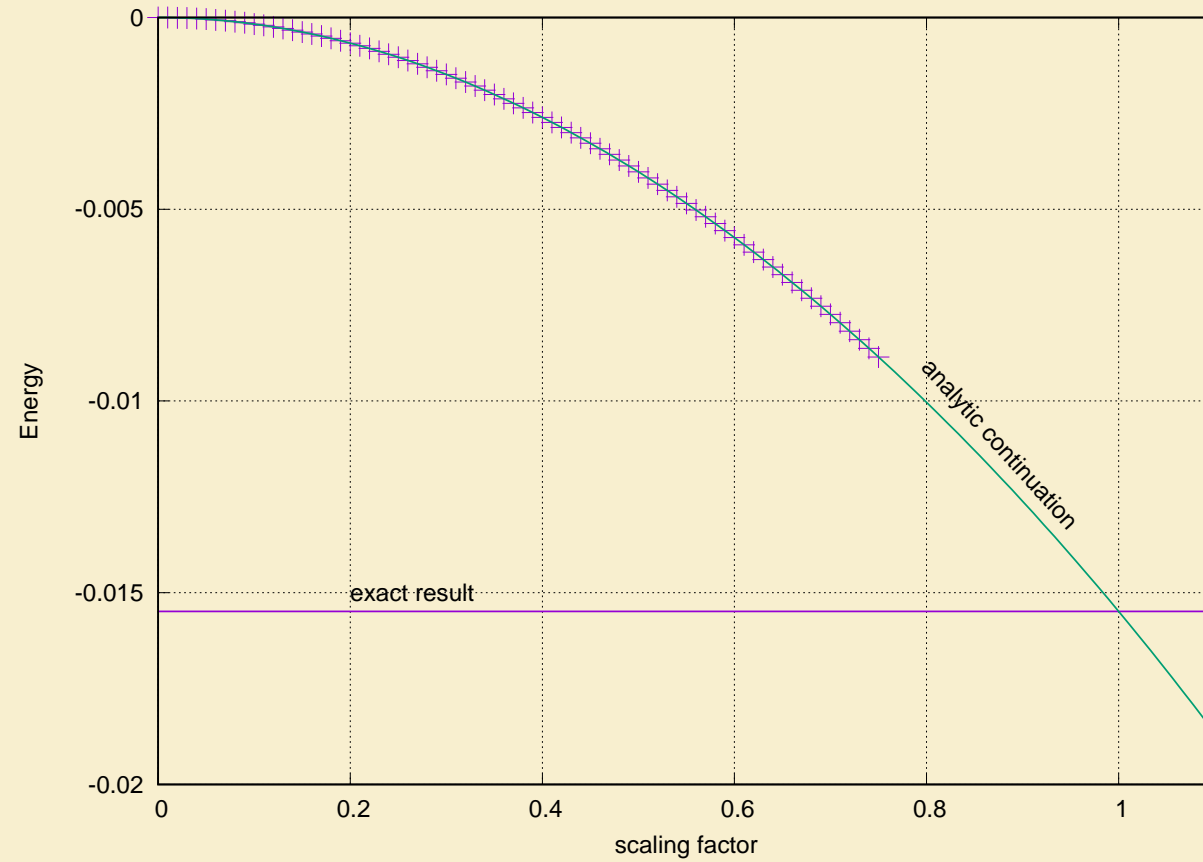
Scaling on the real axis

Anharmonic oscillator

$$H = \underbrace{-\frac{1}{2} \frac{d^2}{dx^2} + \frac{1}{2} \omega x^2}_{H^0} + \lambda x^4$$

Perturbational energy contributions for the quartic anharmonic oscillator

	energy correction [a.u.]			
order	original	scaled		
n	$\lambda = 1.0$	$\lambda = 0.2$	$\lambda = 0.4$	$\lambda = 0.6$
0	0.0	0.000000	0.000000	0.000000
1	0.0	0.000000	0.000000	0.000000
2	-0.017660	-0.000706	-0.002826	-0.006358
3	0.003103	0.000025	0.000199	0.000670
4	-0.001817	-0.000003	-0.000047	-0.000236
5	0.000873	0.000000	0.000009	0.000068
6	-0.000567	-0.000000	-0.000002	-0.000026
7	0.000372	0.000000	0.000001	0.000010
...				
40	-0.001651	-0.000000	-0.000000	-0.000000
...				
50	-0.017227	-0.000000	-0.000000	-0.000000
SUM	∞	-0.000684	-0.002666	-0.005876



Extrapolation — a chapter in numerical mathematics?

Extrapolation techniques

- **simple polynomial fit** $E_N(\lambda) = p_N(\lambda)$
- **Padé approximant** $q_M(\lambda)E_{[NM]}(\lambda) = p_N(\lambda)$

$$E_{[NM]}(\lambda) = \frac{p_N(\lambda)}{q_M(\lambda)} \quad \leftarrow \text{can simulate poles}$$

- **Quadratic Padé approximant** \leftarrow simulates branches

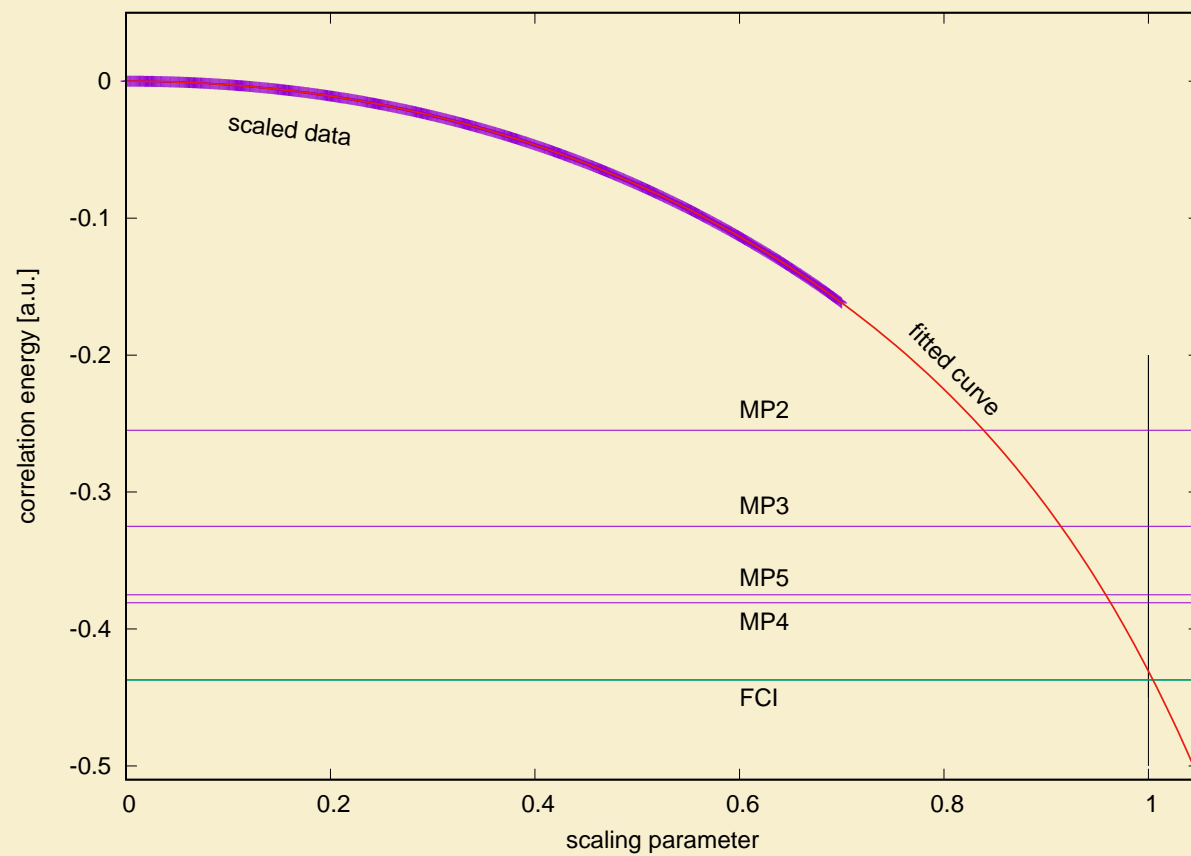
$$r(\lambda)E_{[NMR]}^2(\lambda) + q(\lambda)E_{[NMR]}(\lambda) = p(\lambda)$$

$$E_{[NMR]}(\lambda) = \frac{-q(\lambda) \pm \sqrt{q(\lambda)^2 + 4r(\lambda)p(\lambda)}}{2r(\lambda)}$$

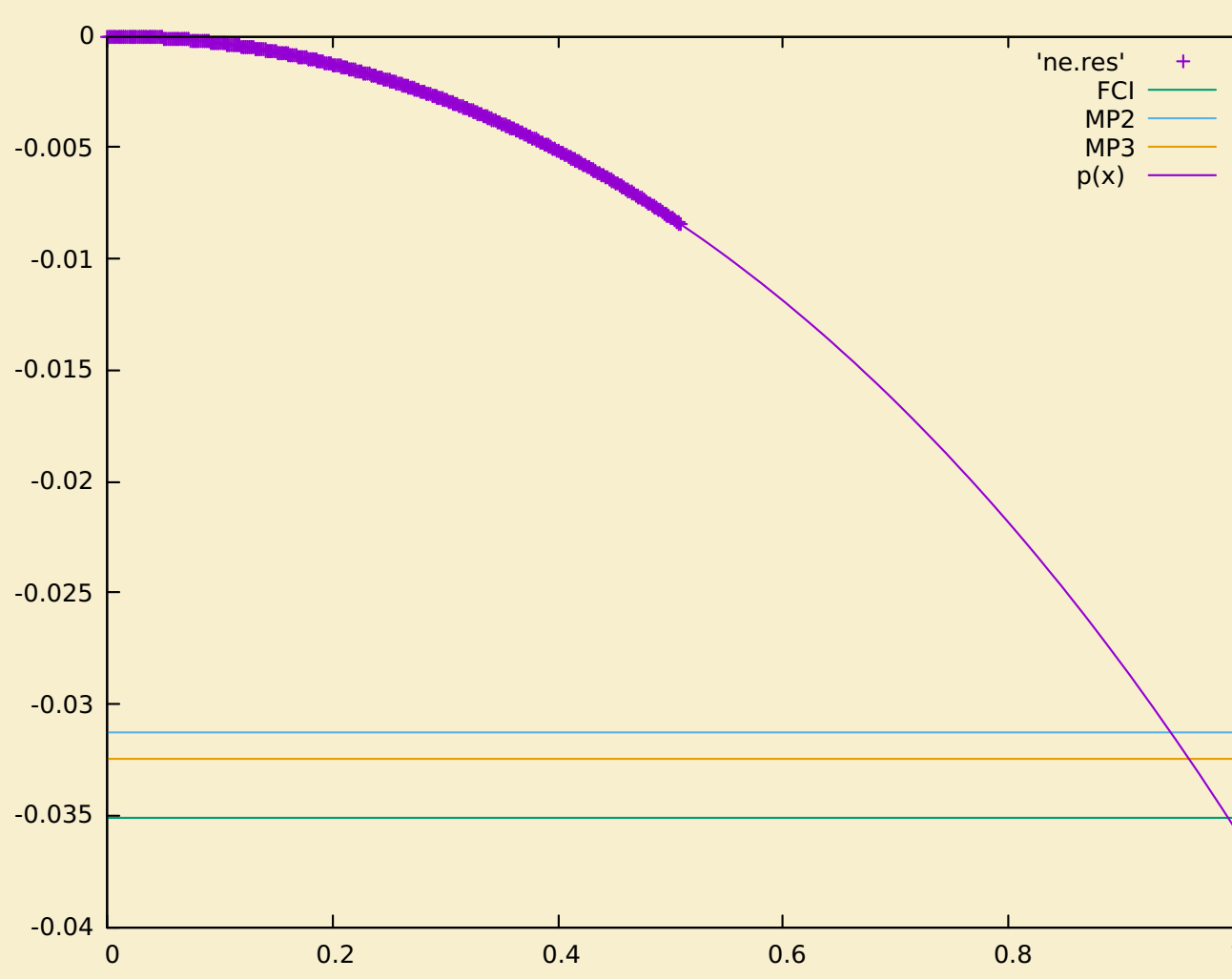
Predicted values for the energy of quartic anharmonic oscillator with coupling constant $\gamma=0.1$ as obtained from analytic continuation.

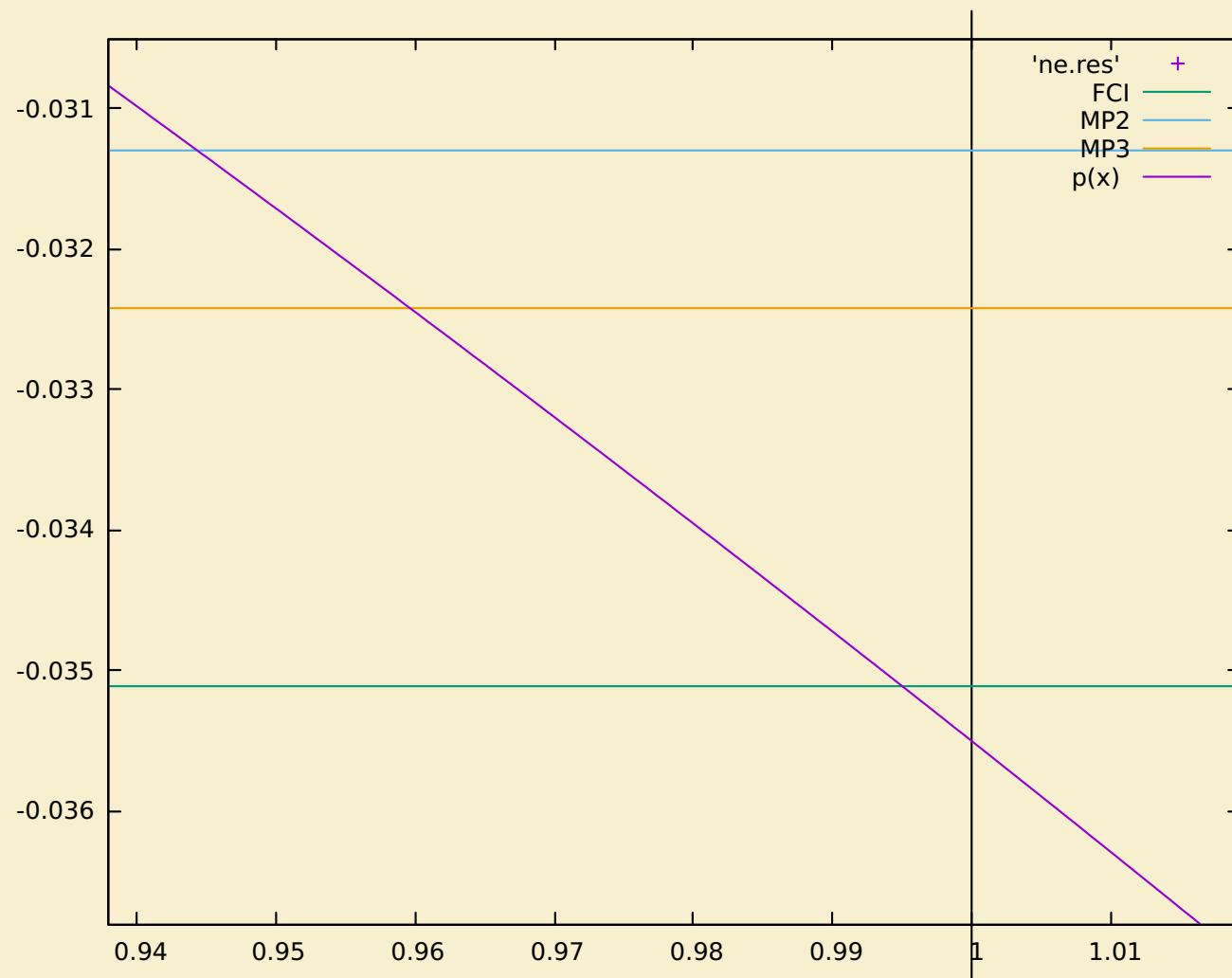
method of continuation	energy [a.u.]
polynomial of order 2	-0.016352
polynomial of order 4	-0.015866
polynomial of order 6	-0.015853
[2, 2] linear Padé approximation	-0.015854
[4, 4] linear Padé approximation	-0.015853
[6, 6] linear Padé approximation	-0.015855
[2, 2, 2] quadratic Padé approximation	-0.015858
[4, 4, 4] quadratic Padé approximation	-0.015853
[6, 6, 6] quadratic Padé approximation	-0.015853
exact solution	-0.015854

Water correlation energy

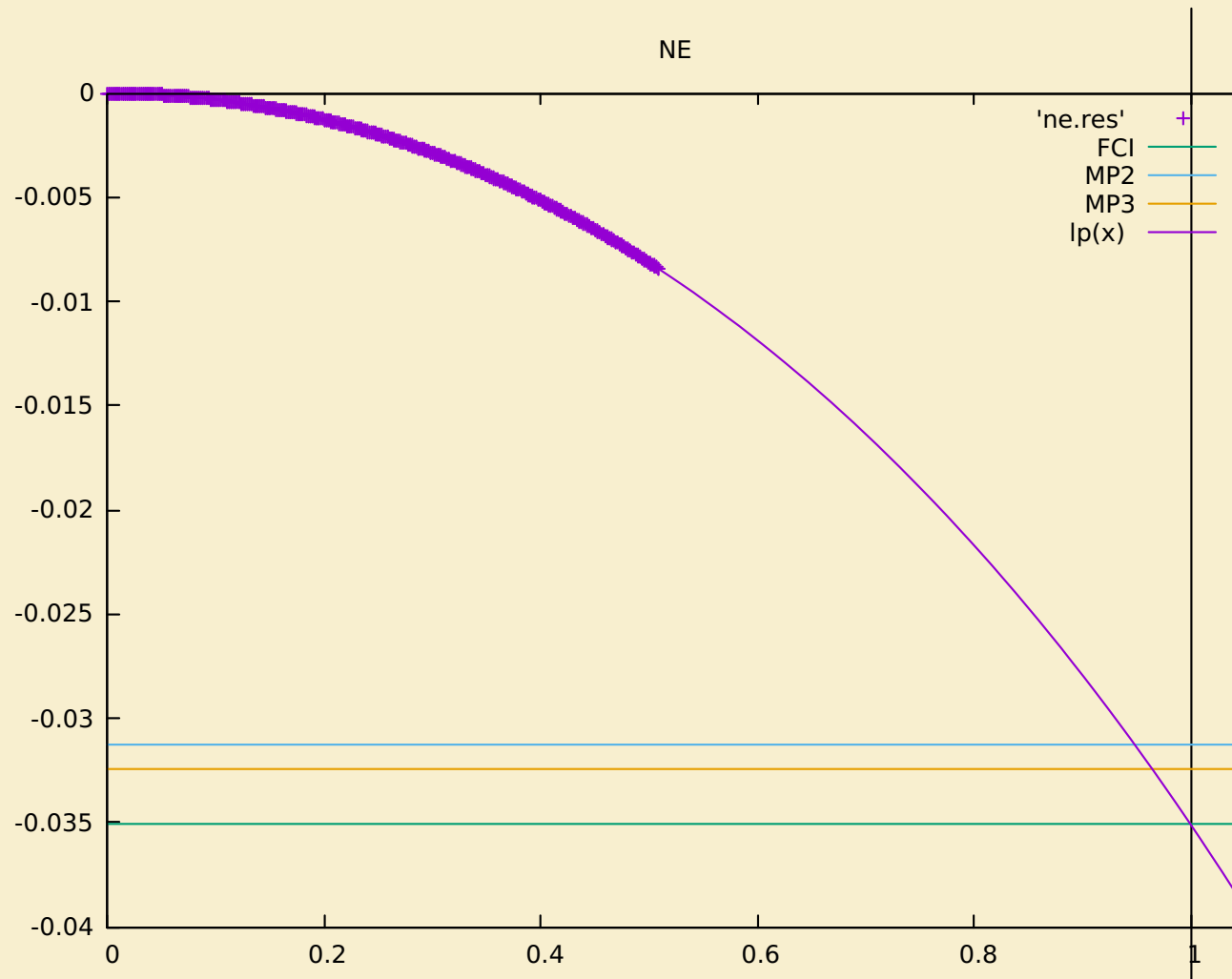


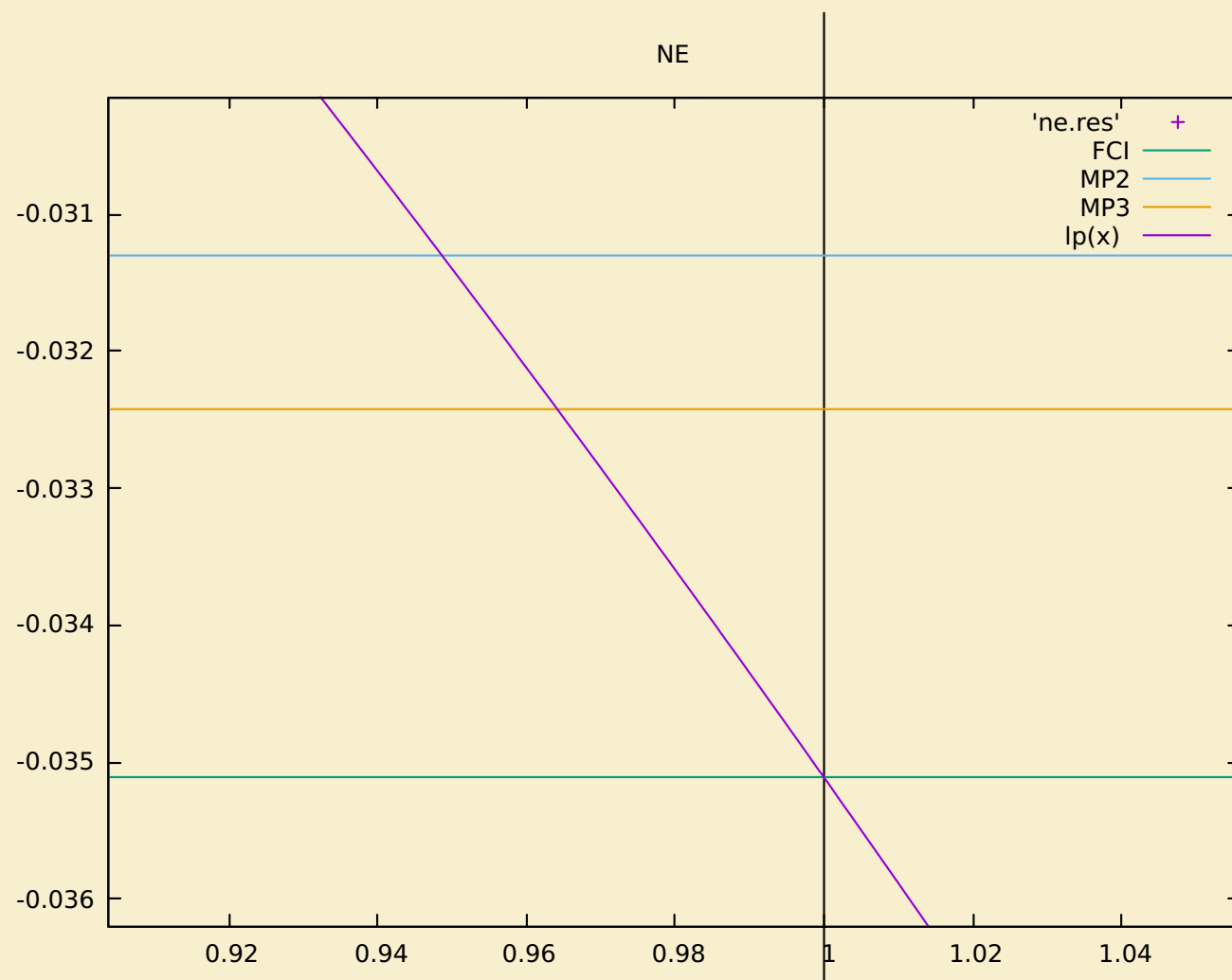
Neon atom: Analytic continuation with polynomials

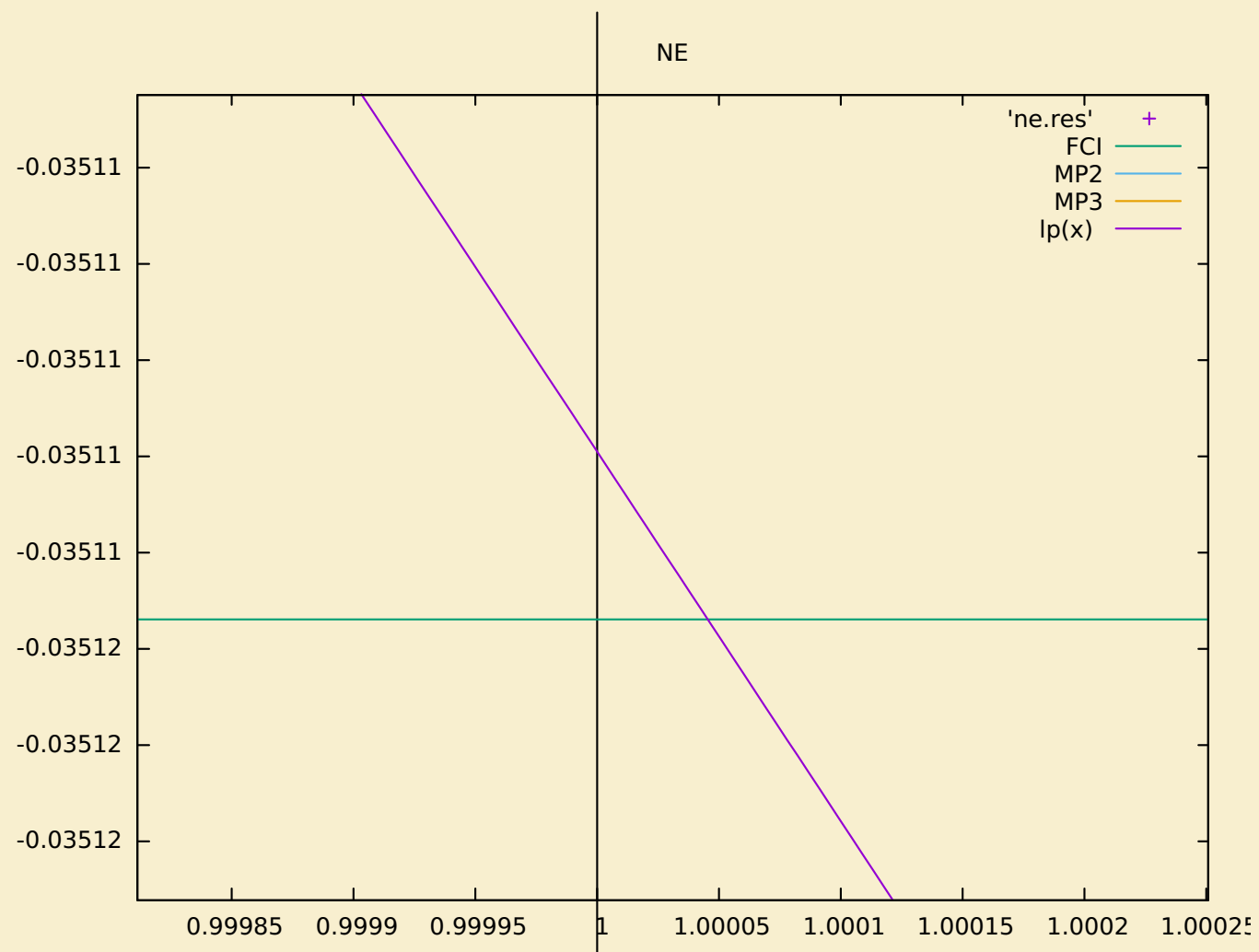




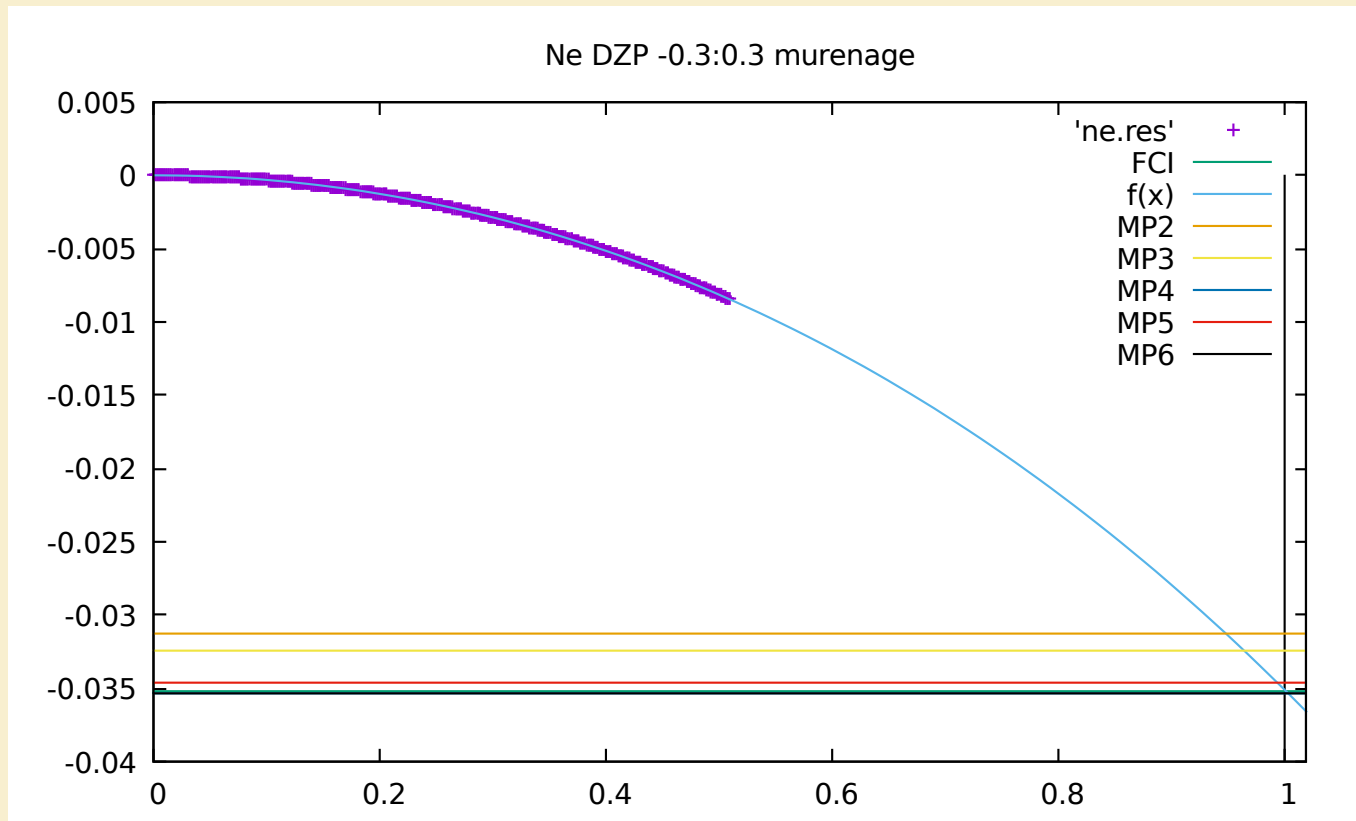
Neon atom: Analytic continuation with linear Padé approximant



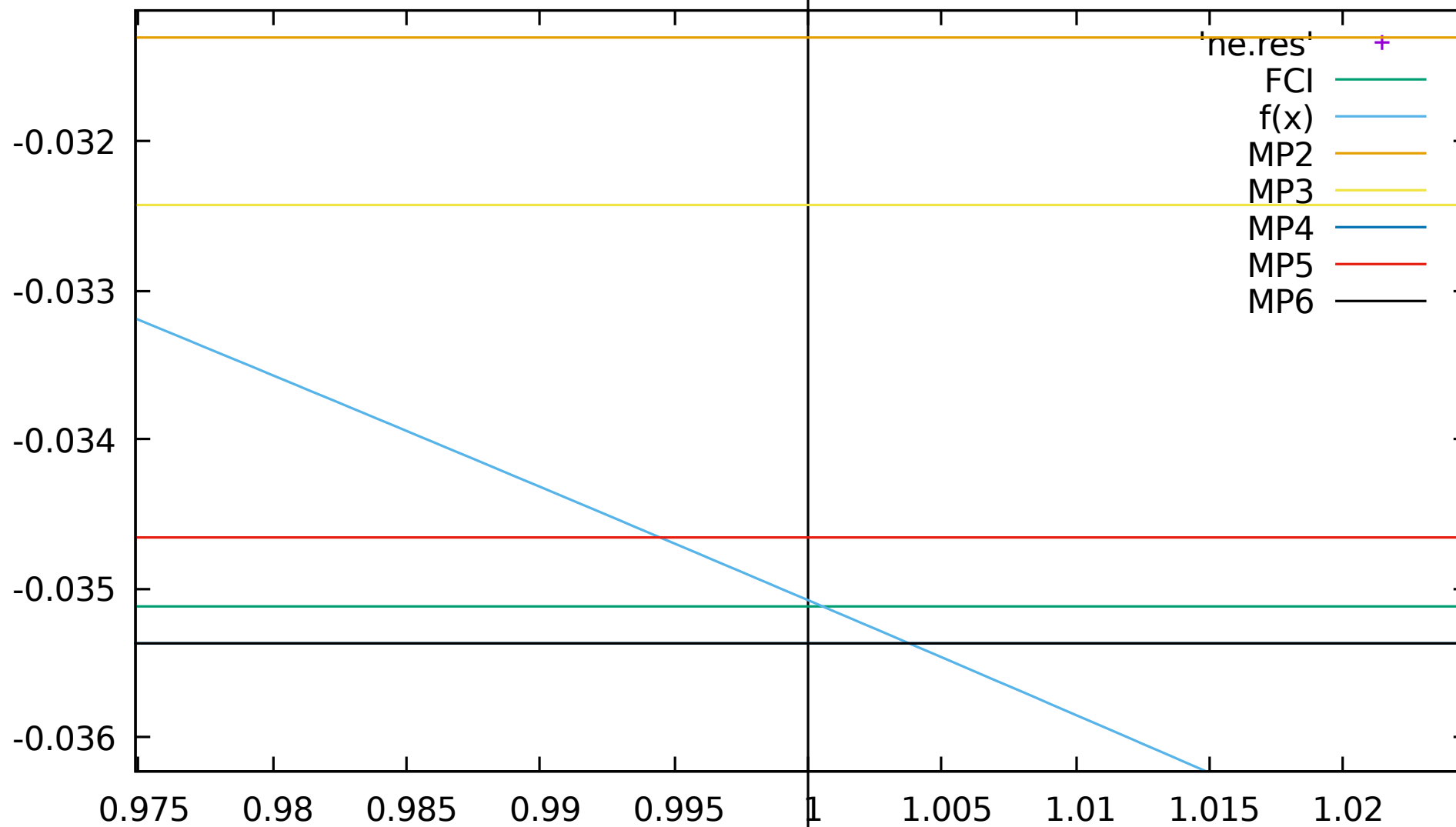




Neon atom: Analytic continuation with quadratic Padé approximant



Ne DZP -0.3:0.3 murenage



Singularity Analysis

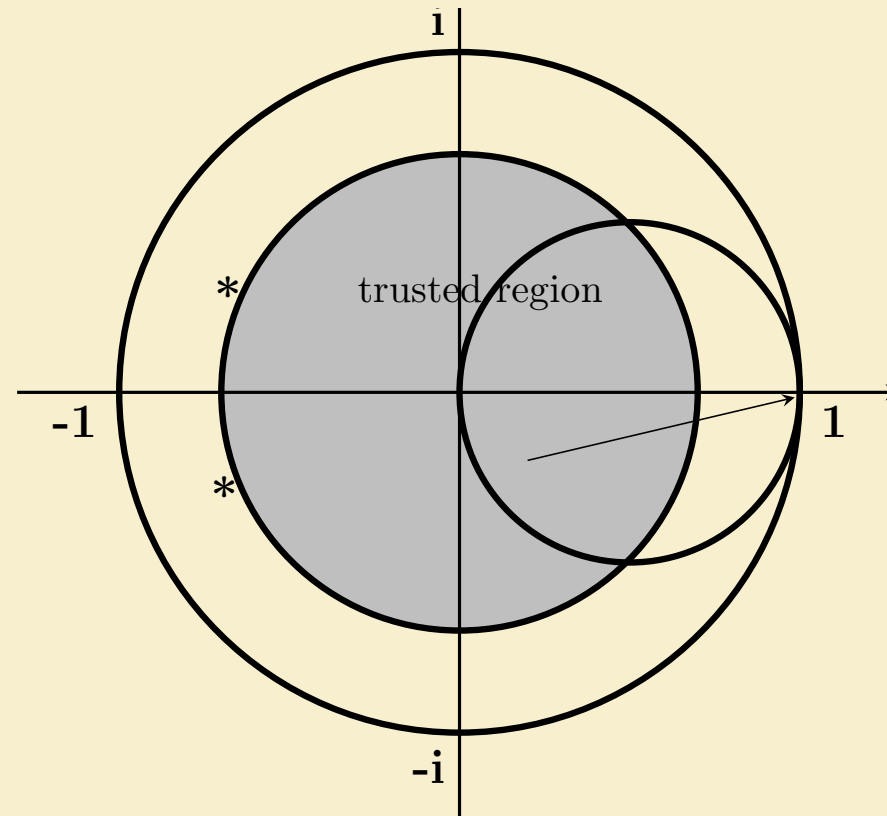
$$H(z) = H^0 + z W \quad z \in \mathbb{C}$$

$$H(z) \Psi(z) = E(z) \Psi(z)$$

$$\text{PT :} \quad E(z) = \sum_{\mu}^{\infty} z^{\mu} E^{\mu}$$

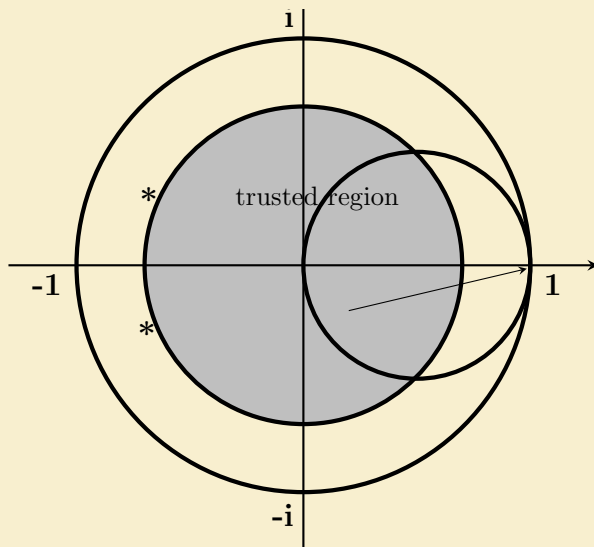
convergent if $E(z)$ is analytic.

Assuming singularities in the complex plane (branch-points)



**Prediction of singularity locations:
quadratic Padé approximants?**

The inverse boundary problem

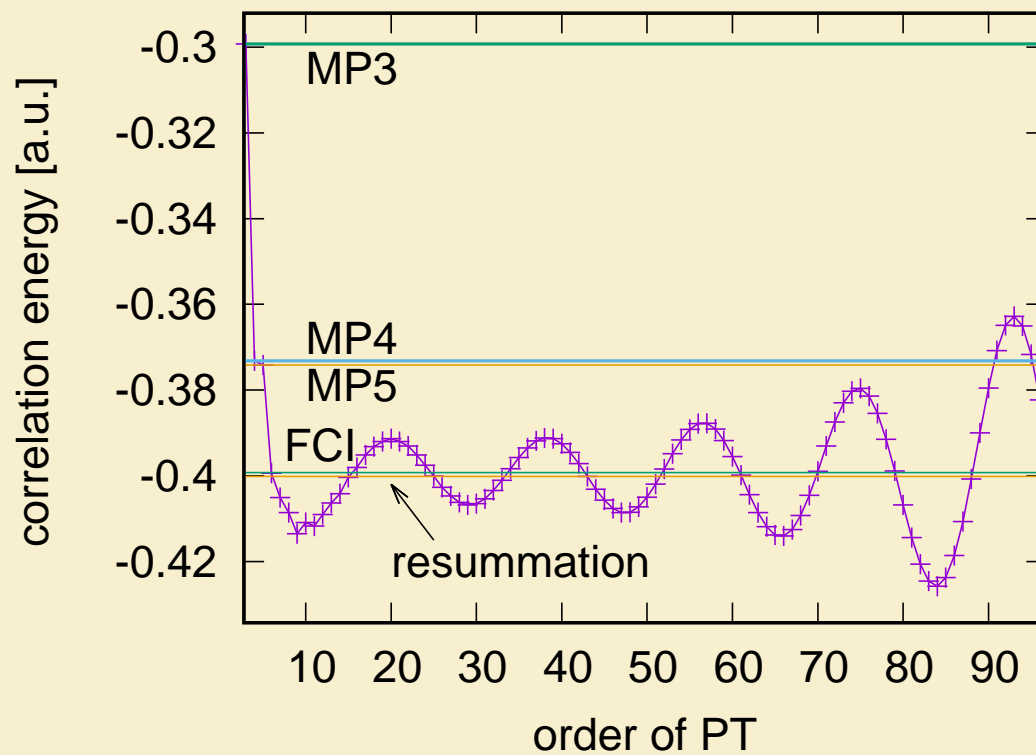


$$E(z) = u(x, y) + i v(x, y)$$

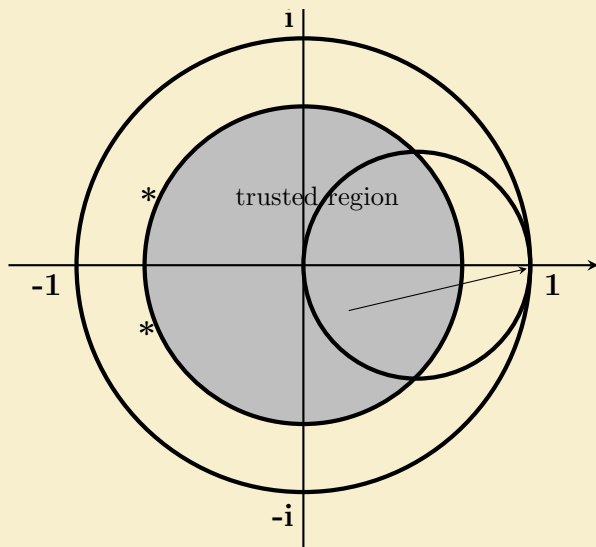
$$\Delta u(x, y) = 0$$

What are the boundary values on the small, displaced circle, by which the Laplace equation reproduces the exact values within the trusted region?

Water, prolonged OH bonds



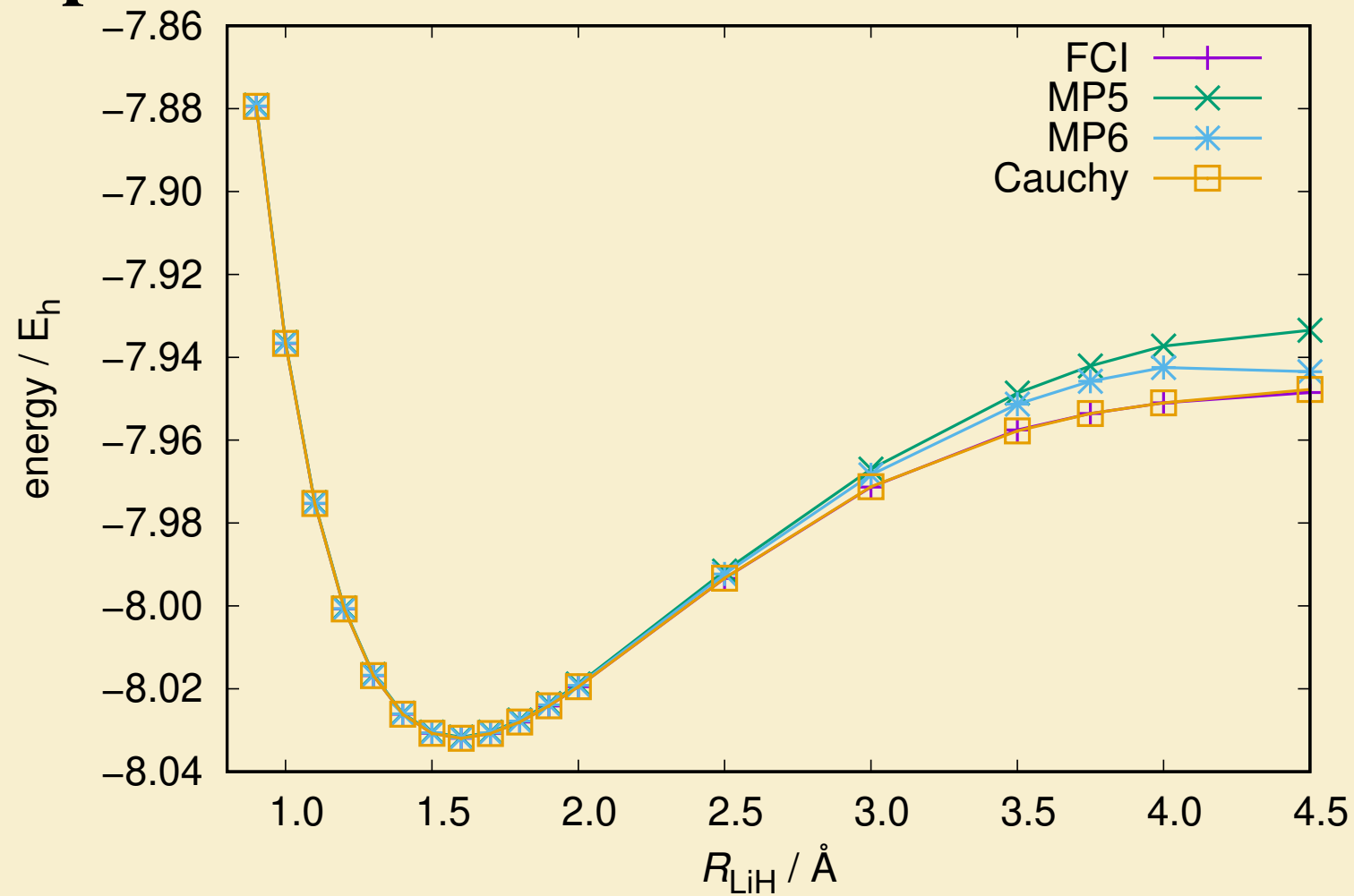
Analytic continuation with the Cauchy integral formula



$$\oint \frac{E(z)}{z - z_0} dz = 2\pi i E(z_0)$$

- compute the integral numerically
- adjust boundary values to reproduce "gray" values z_0
- much cheaper than to solve $\Delta u = 0$

LiH potential curve



Open problems

- **Find a more robust extrapolation or analytic continuation method**
- **Find a method which works with a few low orders only**
- **Avoid finding "trivial" analytic functions (e.g., a finite polynomial)**

An idea: apply penalty functions?

- **Machine learning????**

References

1.
Zsuzsanna É. Mihálka, Ágnes Szabados and Péter R. Surján
Application of the Cauchy integral formula as a tool of analytic continuation for the resummation of divergent perturbation series
J. Chem. Phys. 150 031101 (2019) DOI:10.1063/1.5083191

2.
Péter R. Surján, Zsuzsanna É. Mihálka, Ágnes Szabados
The inverse boundary value problem – application in many-body perturbation theory
Theoretical Chemistry Accounts 137 149 (2018)

3.
Zsuzsanna É. Mihálka, Péter R. Surján
Analytic-continuation approach to the resummation of divergent series in Rayleigh-Schrödinger perturbation theory
PHYSICAL REVIEW A 96, 062106 (2017)

4.
Zs. Mihálka, Á. Szabados, and Péter R. Surján,
Effect of partitioning on the convergence properties of the Rayleigh-Schrödinger Perturbation Series
J.Chem.Phys. 146(12):124121. doi: 10.1063/1.4978898. (2017)

5.
P.R. Surján and Á. Szabados
Convergence enhancement in perturbation theory
(invited paper in a volume Dedicated to Professor Rudolf Zahradník on the occasion of his 70th birthday)
Coll.Czech.Chem.Comm. 69 105 (2004)

Acknowledgments

- **Excellence Program in Material Science**
- **OTKA**
- **Dr. Tamás Pfeil (ELTE)**
- **Prof. Ágnes Szabados (ELTE)**