

Primordial black holes from collapsing antimatter

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September 28, 2023

Abstract

In this paper a simple (i.e. free of fine-tuning, etc.) new mechanism for primordial black hole formation based on the collapse of large antimatter systems in the early Universe is introduced. A peculiarity of this process is that, compared to their material counterparts, the collapse of large antimatter systems takes much less time due to the reversed thermodynamics of antimatter, an idea which has been proposed in our earlier paper [22].

This model has several testable predictions. The first is that the photon-baryon ratio is roughly computable and is equal to 1.95×10^9 which is quite close to its experimentally confirmed value. The second is that the mass of black holes arising from this mechanism is at least 10^5 - $10^6 M_\odot$ hence they contribute to the super- or hypermassive end of the primordial black hole mass spectrum. The third prediction is that these sort of primordial black holes constitute at least 20% of dark matter. Last but not least the observed current asymmetry of matter and antimatter, even if their presence in the Universe was symmetric in the beginning, acquires a natural explanation, too.

PACS numbers: 01.55.+b; 03.75.Hh; 04.70.-s; 05.70.-a

Keywords: *Primordial black holes; Matter-antimatter asymmetry; Second Law*

1 Introduction

Phenomena of the physical world, as immediately given to us, appear in inexhaustible structures and formations of matter. At first sight a simple quantitative comprehension is achieved by understanding how much amount of matter a given fixed spatial region can accommodate. Approaching this way despite the endless possibilities one discovers two limits for matter formation: the lower universal limit is realized by an elementary particle (more precisely a relativistic quantum field) while the upper one is attained by a black hole; then one quickly arrives at the standard traditional and apparently disconnected territories of relativistic quantum field theory and the theory of gravity (general relativity). However this straightforward division into a linear and monotonic scheme extending from the “smallest” (which is something like an atomic thing) towards the “largest” (which is something like a very different celestial thing) is too narrow. While the masses and sizes of elementary particles are indeed very small and are sharply restricted by yet unknown quantization rules such that the formers are below $m_{\text{Planck}} \approx 10^{-5} \text{g}$

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and the latters are above the corresponding Compton wave length $r_{\text{Planck}} \approx 10^{-35}\text{m}$, on the contrary black holes can in principle bear an arbitrary mass and size ranging from m_{Planck} with corresponding Schwarzschild radius r_{Planck} up to $1.2 \times 10^{43}\text{g}$ and $1.8 \times 10^{13}\text{m}$ (the data of the recently directly observed supermassive central black hole in the M87 giant elliptic galaxy) or even higher. Heavy black holes, whose existence has already been experimentally verified, indeed resemble astrophysical objects and have suitable origin however smaller-and-smaller black holes, if exist, exhibit more-and-more particlelike features; therefore the hypothetical borderline entity with mass m_{Planck} and size r_{Planck} can equally well be treated as either an extremely heavy particle or an extremely light black hole. Thus the apparently linear hierarchy of matter organization in Nature with its two limits rather would take a circular shape (if e.g. small black holes indeed exist).

A promising, even experimentally confirmed candidate for a reservoir of small(er) black holes is cosmic dark matter. It is very likely a dark cocktail of various currently only hypothetical physical entities such as primordial black holes (PBHs) including evaporation remnants and yet mainly unknown weakly interacting massive particles (WIMPs) like neutrinos, axions, etc. The idea of a primordial black hole was introduced by *Hawking* 50 years ago [30] and it was recognized soon [17, p. 403] that the majority of matter might exist in the form of (primordial) black holes in the present Universe. There has been an intense debate recently among cosmologists and particle physicists concerning the ratio of the various dark matter candidates (it is impossible to give a complete list of references here therefore we refer here and from now on at other places to the excellent up-to-date review [19] and the hundreds of references therein). Although we are still far from being conclusive according to diverse and accurate observations at least four mass windows are open for a primordial black hole abundance: these are the 10^{-16} - $10^{-10}M_{\odot}$ together with the 10^{-6} - $10^{-5}M_{\odot}$ windows in the small black hole range, the 10 - 10^3M_{\odot} window in the medium range and the larger than $10^{13}M_{\odot}$ spectrum in the hypermassive range, cf. [19, Figure 1]. It is not unreasonable that even our outer Solar System harbours a small black hole [45].

Sudden and violent primordial black hole formations during the course of the evolution of the Universe are usually associated with phase transitions of all kinds, cf. [19] and in particular [5, 18, 26]. The general pattern is that the later the black hole formation occurs the higher the achieved black hole mass is [17]. In this paper a particular late-time phase transition, namely the photon recombination time around 380.000 years after the Big Bang is examined from the point of view of massive primordial black hole formation. Our aim here is to offer a new mechanism based on a reversed thermodynamical behaviour of antimatter introduced in our earlier paper [22].

Already in 1939 *von Weizsäcker* noted that the obvious but subjective difference between the past and future in our temporal experiences gains an objective substantiation by understanding the very content of the second law of thermodynamics [56]. This understanding, among other consequences, would make the artificial division of time i.e. duration into a collection of disjoint and durationless instants, as motivated by the usual set-theoretic model of the continuum and assumed everywhere in physics, doubtful. While contemplating along these lines about the structure of time and its role played in current physical theories (for a survey cf. e.g. [38, 42]), the idea that macroscopic antimatter follows a *reversed* form of the second law of thermodynamics has been proposed [22]. Our suggestion is perhaps not independent of *Feynman's* original ideas around 1947 that antiparticles should be regarded as ordinary (i.e. positive energy) particles but travelling backwards in time [24]. The **Proposal** (see its discussion in Section 2 below) implies that even if the *states* of a macroscopic matter and a macroscopic antimatter system are strictly identical on a “snapshot” taken at a fixed but purely hypothetically existing moment, their observable *temporal behaviour* is yet different and this difference is characterized by the usual and the reversed form of the second law. If one is indeed willing to accept that identical mechanical states might imply different temporal behaviour for matter and antimatter in their thermodynamical limit then one in fact questions a basic concept of Hamiltonian mechanics, namely

the *state*. However the original Hamiltonian notion of a state which works well in traditional (i.e. e.g. antimatter-free) mechanics became already problematic in the 1930-40's (as *Feynman's* idea also indicates) when physicists tried again to work out a model for the classical or the relativistic quantum field theoretic electron which is free of self-energy and other divergence problems.¹ We do not intend to discuss here the deep problems arising from the division of the continuum into disjoint constituents [7]; rather point out that even if the **Proposal** sounds weird it cannot be easily refuted by assuming its validity and then seeking a contradiction with some part of classical mechanics: for the **Proposal** is the logical negation of the usual second law finding such a contradiction would be logically equivalent to a proof of the second law of thermodynamics from the laws of mechanics which is a very difficult (if not impossible) problem since *Boltzmann's* times. In our opinion the validity of the **Proposal** is an experimental question.

Hopefully motivated with these introductory remarks in some extent, in this paper, in the realm of the structure of time we shall revisit the problem of the absence of antimatter from the Universe on macroscopic scales. What we are going to do is simple: instead of trying to derive the second law of thermodynamics from other abstract laws of theoretical physics we shall regard it as an underivable, irreducible, fundamental law expressing a basic *empirical evidence* about the temporal behaviour of macroscopic matter. The consequent application of considering the second law as an empirical evidence imposes at least one non-trivial constraint on its appearance in the physical world namely in its known form it is immediately applicable only to *ordinary* matter for this is the only form of matter which we have direct phenomenological contact with. Then we exhibit one plausible argument, based on various principles of theoretical physics but referring to the aforementioned observational validity of the second law, that the second law continues to hold for large antimatter systems but in a *reversed* form. Their converse thermodynamic behaviour could then lead to their swift confinement behind black hole event horizons hence to the absence of antimatter on macroscopic scales from the Universe (also cf. [14, 20, 51]). Consequently the problem of missing antimatter [21, 48] naturally connects with the formation and frequency of black holes in the early Universe [5, 18, 26]. The mechanism we offer here sounds appealing for it does not require any fine-tuning or new asymmetric mechanism around Big Bang times to explain the macroscopic matter-antimatter asymmetry, as usually assumed in string theoretic and other approaches.

The paper is organized as follows. In Section 2 for completeness and the reader's convenience we recall from [22] the **Proposal** but in a substantially improved form. Then in Section 3 we apply it for the early Universe and introduce a new primordial black hole formation mechanism.

2 A proposal and its consequence

The idea of an elementary antiparticle had quite unexpectedly dropped out from the theoretical efforts to reconcile the basic principles of special relativity and quantum mechanics; shortly thereafter their individual existence was verified by cosmic ray detectors, nuclear reactors and high energy particle colliders. However *no* physical experiment or even any kind of human experience in the broadest sense exists so far which could provide some phenomenological insight into the *macroscopic* i.e., *thermodynamical* properties of pure antimatter built up from bound states of these antiparticles. Even assuming that the basic principles of (classical or quantum) statistical mechanics continue to hold for physical systems consisting of pure antimatter—and confessing that the derivation of the second law of ther-

¹As an aside we remark that the difficulty of assigning intermediate (i.e. non-asymptotic) states to interacting relativistic quantum fields was one of the theoretical—among other, including experimental—reasons why quantum field theory became a theory of scattering instead of the theory of states like traditional quantum mechanics [13].

modynamics from these principles is problematic yet—the thermodynamical behaviour of such alien macroscopic physical systems is, honestly speaking, unknown to us presently. Therefore we are not in contradiction with any element of our contemporary description of physical reality if we make the following bit counterintuitive

Proposal. *Let $\mathcal{S}_{\text{antimatter}}$ be a closed physical system consisting of pure antimatter (in the low energy and thermodynamical limit). Then the entropy S of this system never increases in time i.e., $\Delta S(\mathcal{S}_{\text{antimatter}}) \leq 0$.*

In our opinion the ultimate validity or invalidity of the **Proposal** is an experimental question; it can be surely decided by experiments designed to unfold the dynamics of large antimatter systems.

The property of being (anti)matter is Lorentz invariant i.e., it cannot be switched by Lorentz transformations. Therefore, as an immediate consistency check we note that the **Proposal** is Lorentz invariant as well. This means that for any physical system \mathcal{S} (evolving forward in time) the sign of its entropy change, i.e. $\text{sign}(\Delta S(\mathcal{S})) = \pm 1$ or 0 in case of equilibrium, is invariant under Lorentz transformations despite that the entropy function $S(\mathcal{S})$ itself as usually defined in phenomenological thermodynamics or statistical mechanics is not obviously a Lorentz scalar. Indeed, let \mathcal{S} be a macroscopic physical system evolving along a future-directed non-spacelike congruence in Minkowski space-time and let γ be a (co-moving or nearby, etc.) observer i.e. a future-directed timelike curve; define the *entropy change of \mathcal{S} with respect to γ* as the difference of the entropy of \mathcal{S} at a system-event observed as the *later* event $\gamma(\tau + \varepsilon)$ minus the entropy of \mathcal{S} at a system-event observed as the *earlier* event $\gamma(\tau)$ i.e.,

$$\Delta S(\mathcal{S}, \gamma) := S(\mathcal{S}, \gamma(\tau + \varepsilon)) - S(\mathcal{S}, \gamma(\tau)) .$$

Let γ' be another (perhaps distant) observer and define $\Delta S(\mathcal{S}, \gamma')$ analogously. Since \mathcal{S} evolves causally its two system events above are *not* spacelike separated consequently the observer γ' records them in the same causal order: it observes the system-event corresponding to $\gamma(\tau + \varepsilon)$ later than the system-event corresponding to $\gamma(\tau)$, too. Consequently even if perhaps $\Delta S(\mathcal{S}, \gamma') \neq \Delta S(\mathcal{S}, \gamma)$, we are sure that at least $\text{sign}(\Delta S(\mathcal{S}, \gamma')) = \text{sign}(\Delta S(\mathcal{S}, \gamma))$ i.e. $\text{sign}(\Delta S(\mathcal{S}))$ is well-defined as stated.

Regarding its current experimental status, although as a hint for the **Proposal** it is worth revisiting the already observed time asymmetry in various process governed by the weak interaction [3, 12, 35], one has to acknowledge that we are still very far from a sharp experimental evaluation of the **Proposal**. This is because despite the discovery of antimatter more than a half century ago only a very few types of antielements (namely ${}^1\bar{\text{H}}$, ${}^2\bar{\text{H}}$, ${}^3\bar{\text{H}}$ and ${}^3\bar{\text{He}}$, ${}^4\bar{\text{He}}$) could have been produced so far and typically for very short times and in atomistic amounts only. However after taking an overview of these efforts we can select for our purposes the most relevant one namely the ALPHA experiment at CERN, which is a very exciting ongoing experiment exhibiting lot of new (but on theoretical grounds expected) facts about antihydrogen atoms, to see whether or not the communicated results can be used to support or reject the **Proposal**. Latest results have been reported in [8] however from our point of view, i.e. regarding some technical background details, we shall revisit an older paper [2] from 2011 too. Recall that the original as well as present aim of the ALPHA together with the AEGIS experiment at CERN is to create and trap antihydrogen isotopes in order to carefully compare their physical properties with their ordinary counterparts. These physical properties are their lifetime (i.e. stability), spectrum, moreover soon gravitational characteristics like their mass and gravitational acceleration, too. In other words, and one should keep in mind this, the ALPHA and AEGIS experiments first of all have been technically designed to obtain precise information about properties of *individual* antiatoms. Nevertheless, since during the experiments thermal ensemble of antihydrogen atoms have regularly been produced, one expects to gain at least a marginal insight into their *collective* behaviour, too.

The ALPHA experiment roughly goes as follows [2, Figure 1] and [8, Figure 1]. Using CERN's antiproton and positron accelerators and decelerators, soft antiproton and positron beams are injected into a tube of 280 mm axial length and of 44.35 mm diameter. The interior of this tube is vacuum and kept at low temperature, has optical access, and fulfilled with a strong magnetic field; it is actually a magnetic trap which is capable to confine those antihydrogen atoms which, after the recombination of the antiproton-positron plasma, can sufficiently rapidly cool down via advanced auxiliary laser cooling. Impressively, these atoms then can be trapped for several hours inside the vacuum tube to perform experiments. The vacuum tube is surrounded by silicon detectors to record final annihilations caused by interactions with the environment. This environment contains the tube's boundary, residual gases inside the vacuum tube as well as incoming particles from cosmic radiation and other accidental sources. Thus of course this environment consists of ordinary matter having standard thermodynamical properties. What from our viewpoint relevant is the values of the following three parameters in every *individual attempt* or run of the experiment: the number N of trapped antihydrogen atoms, their temperature T and their confinement time t . Although the cumulative value of N was reported to be about 1000 in [2, 8], its average value in individual attempts (i.e. the situation when antiatoms are under sharp observational control), as summarized in [2, Table 1 and Figure 2] (but not available in [8]), was $N \approx 1$. Regarding the further parameters $T \approx 10\text{-}100$ mK and $t \approx$ several hours. We can now make three observations. Firstly, despite the vacuum tube's macroscopical volume $V \approx 3.95 \times 10^{-5}$ m³ the entropy $S = S(N, T, V)$ of this antihydrogen gas system is practically zero (in accord with the third law of thermodynamics); consequently S is practically constant despite the long observational time t of any attempt. Secondly, the antihydrogen gas as observed in the ALPHA experiment cannot be considered as an ideal gas since its individual antihydrogen atoms are magnetically trapped generating strong correlations between their e.g. speed components (see e.g. [2, Figure 3]). Therefore the truly free random motion of antihydrogen atoms in space, which is essential to study the temporal behaviour of their population's entropy, by design is not guaranteed even during long observational times. Thirdly and perhaps most importantly: the detection of the position of an antihydrogen atom is based on its annihilation with the ordinary matter environment hence the position measurement procedure itself makes a strong ordinary thermodynamical influence on the antimatter system. (From our point of interest a more favourable position measurement protocol should use e.g. low frequency i.e. soft photon scattering on the antihydrogen gas). To summarize: as, in our opinion, nobody could confirm the validity of the second law for normal hydrogen in a situation analogous to the ALPHA experiment, the current stage of this experiment is not suitable to challenge the **Proposal** on an objective basis, too.

Regarding its current theoretical status, proving or disproving the **Proposal** using the apparatus of theoretical physics and mathematics is at least as difficult as proving or disproving the ordinary second law. This is because the **Proposal** is precisely the logical negation of the ordinary second law of thermodynamics; consequently a falsification of the **Proposal** by assuming its validity and then arriving at a contradiction with some part of theoretical physics is logically equivalent to a proof of the second law (that is deriving it from the laws of classical or quantum statistical mechanics) by contradiction.

Having seen that challenging the **Proposal** experimentally or theoretically is not straightforward, we would rather like to offer here one heuristic argument for its validity. In the following derivation of the **Proposal** the validity of the second law as an *empirical evidence* about *macroscopic ordinary matter* systems will play a crucial role. This explains the absence of any kind of microscopic calculations from the considerations below: from the circle of our arguments it follows that the converse thermodynamical properties of antimatter is recognizable only macroscopically i.e. compared to that of ordinary particles, we are not going to modify the microscopic dynamics of antiparticles at all! Putting differently, one can say that the converse second law for antimatter is non-derivable from time-symmetric microscopic physics in exactly the same way as the ordinary second law is not derivable from it (yet).

An argument based on the CPT theorem of relativistic quantum field theories. In light of our accurate experimental evidences, we have no reason to doubt the validity of the basic rules of relativistic quantum field theory when applied to both matter and antimatter. One of the most fundamental results of the relativistic quantum field theoretic description of physical reality is the *CPT* theorem which states that the triple action of charge conjugation C , spatial reflection P and time direction reversal T , when applied to a relativistic particle system, realizes a symmetry of it (cf. e.g. [55, Chapter I.5.8]). Since macroscopic matter is built up from the bound states of these relativistic particles it is reasonable to expect that the *CPT* theorem continues to hold for low energy macroscopic physical systems in an appropriate *effective* form (for strongly related considerations cf. [37]). We will assume two things: firstly that the physical system is built up from atomic (or molecular) matter in the *low energy thermodynamical limit* (this is certainly not true at the elementary particle level). This implies that the proposed *CPT* violating mechanisms mainly based on various field oscillations between flavour-eigenstates (cf. e.g. [11, 16, 25, 28, 39, 47]) are negligible in a good approximation: these *CPT* violating effects are proportional to the mass difference between the flavour eigenstates of these free elementary particle fields but the occurrence or the interaction with atomic matter of these states is negligible in the low energy limit. Secondly we assume that the parity transformation P alone is already a symmetry of a physical system in the *low energy thermodynamical limit* (this is also not true at the elementary particle level). This assumption implies that performing P on an existing low energy macroscopic physical system we obtain an existing low energy macroscopic physical system.

Consider now an ordinary closed physical system $\mathcal{S}_{\text{matter}}$ consisting of pure (normal) matter in the low energy thermodynamical limit, evolving forward in time. Therefore, as a *theoretical consequence*, the *CPT* theorem in its effective form is applicable to $\mathcal{S}_{\text{matter}}$ and tells us that

$$CPT(\mathcal{S}_{\text{matter}}) = \mathcal{S}_{\text{matter}} \ .$$

Another *empirical evidence* about $\mathcal{S}_{\text{matter}}$ is the validity of the second law of thermodynamics:

$$\Delta S(\mathcal{S}_{\text{matter}}) \geq 0$$

i.e., the entropy of a closed physical system consisting of pure ordinary matter in the low energy thermodynamical limit never decreases. Putting together these we get

$$\Delta S(CPT(\mathcal{S}_{\text{matter}})) \geq 0 \ .$$

However, accepting the validity of the *CPT* theorem in the low energy thermodynamical limit in an effective form discussed above, the *CPT* transformation converts a closed physical system of matter evolving forward in time into a closed physical system containing (spatially reflected, hence existing) antimatter evolving backward in time i.e.,

$$CPT(\mathcal{S}_{\text{matter}}) = \mathcal{S}_{\text{antimatter in reversed time}} \ .$$

Therefore the last inequality implies

$$\Delta S(\mathcal{S}_{\text{antimatter in reversed time}}) \geq 0$$

i.e., the entropy of an antimatter system never decreases in reversed time hence switching back to ordinary time we come up with

$$\Delta S(\mathcal{S}_{\text{antimatter}}) \leq 0$$

leading to the **Proposal**.

A comment on *CPT* violation: recently there has been a debate concerning the (in)validity of the *CPT* theorem on cosmological scales, in the presence of weak interaction, strong gravitational fields, etc., etc. (cf. e.g. [11, 14, 16, 25, 28, 35, 39, 47]). Since our previous naive derivation of the **Proposal** refers to the *CPT* theorem and our considerations ahead deal with black holes in the early Universe it is worth addressing this issue here for a moment.

Let X, Y be some elementary particle states, denote by $P_{XY}(t)$ the probability of the occurrence of the forward-in-time-process $X \rightarrow Y$ at a laboratory time t and likewise $P_{YX}(t)$ the converse but also forward-in-time-process $Y \rightarrow X$. Introduce [35] the time-asymmetry parameter

$$A_T(t) := \frac{P_{XY}(t) - P_{YX}(t)}{P_{XY}(t) + P_{YX}(t)}.$$

If for example $X = K^0$ and $Y = \bar{K}^0$ are the neutral kaon and its antiparticle states then the *observed* violation of *CP* in the kaon system together with the *theoretical assumption* of the validity of *CPT* explains the *observed T* violation i.e. $A_T \neq 0$ in kaon experiments [3] (also cf. [12] for another example). However this temporal asymmetry already can be used alone to argue in favour to the **Proposal** namely that the forward-in-time dynamics of kaon systems differs from that of their antiparticle counterparts (and this temporal asymmetry is generated by the weak interaction). Accordingly, most of the proposed *CPT* violating mechanisms derive the violation itself from the *theoretical assumption* that in certain situations (e.g. large free particle systems in the presence of gravity [47]) *CP* holds true but *finding theoretically* that in these situations *T* fails because $A_T \neq 0$. Therefore one may wonder whether or not in these situations the temporal asymmetry alone can be used directly (i.e. without referring to the *CPT* theorem as we did before) to argue for (some form of) the **Proposal**.

To close this section we discuss one consequence which plays a crucial role in our considerations ahead. We begin with clarifying that from now on by “accepting the **Proposal**” in case of a macroscopic antimatter system $\mathcal{S}_{\text{antimatter}}$ we shall mean the following: this system obeys the same physical laws describing its physical *states* as its corresponding ordinary matter system $\mathcal{S}_{\text{matter}}$ defined by $\mathcal{S}_{\text{matter}} := C(\mathcal{S}_{\text{antimatter}})$ where C is the charge conjugation operator; however the physical laws describing the *dynamics* of $\mathcal{S}_{\text{antimatter}}$ might be different and are characterized by the **Proposal** in an appropriate way. Then let us consider a closed macroscopical system $\mathcal{S}_{\text{antimatter}}$ built up from pure antimatter only hence not disturbed by recombination, etc. effects; thus the time evolution of $\mathcal{S}_{\text{antimatter}}$ is governed only by its own gravitational, electromagnetic and thermodynamical phenomena. Accepting the **Proposal** therefore $\mathcal{S}_{\text{antimatter}}$ obeys the same equation of state (expressing a phenomenological relation between its energy, temperature, pressure, volume, etc.) as its corresponding macroscopic ordinary matter system $\mathcal{S}_{\text{matter}}$ however, unlike this latter, $\mathcal{S}_{\text{antimatter}}$ tends to evolve into more-and-more ordered states in time by its own dynamics. Since in case of $\mathcal{S}_{\text{matter}}$ the evolution into more-and-more disordered states often includes spatial expansion, the evolution of $\mathcal{S}_{\text{antimatter}}$ into more-and-more ordered states could imply its *stronger* tendency for spatial contraction. Consequently, in sharp contrast to an ordinary matter system, the structural tendency of $\mathcal{S}_{\text{antimatter}}$ for spatial contraction in its own gravitational field could be *enhanced* by the functional tendency of $\mathcal{S}_{\text{antimatter}}$ for spatial contraction thanks to its reversed thermodynamics.²

After these rather abstract general arguments let us examine the **Proposal** and its consequences from a physically more realistic direction.

²It is illustrative to regard the structural and functional characters as sort of spatial and temporal projections, respectively, of a common abstract “character” of a physical system. In this language we can say that physical systems possess an abstract “contraction tendency” whose structural and functional manifestations are the gravity and the thermodynamical phenomena, respectively (cf. Verlinde’s idea of entropic gravity [52]) and they attenuate each other in the case of ordinary matter systems while enhance each other in the case of antimatter systems.

3 An application to primordial black hole formation

Consider the early Universe about the time when the last relevant, namely the electron-positron, spontaneous pair creation process stops because of cooling. Recall that in the radiation epoch $T \sim t^{-1/2}$ and for definiteness and simplicity we assume the temperature is about $T_0 \approx 10^9$ K and the time is about $t_0 \approx 10$ s after the Big Bang. Note that the physical description of the Universe already falls fully within the classical and non-(special)relativistic realm at these late times. Assuming the most natural initial conditions namely that the Universe was created with perfect particle-antiparticle symmetry and with precisely zero total electric charge we suppose that the Universe consists of an equal amount of baryonic-leptonic matter and antibaryonic-antileptonic antimatter of vanishing total electric charge surrounded with electromagnetic radiation, all in thermal equilibrium. Let us therefore model the whole situation with a closed classical thermodynamical system \mathcal{S} consisting of a finite spatial region fulfilled with a matter-photon-antimatter plasma in thermal equilibrium (whether or not \mathcal{S} can indeed be assumed to be closed will be addressed shortly). The expansion of the Universe is adiabatic hence its entropy is unchanged during (at least short times of the) expansion. We summarize all of these by writing symbolically $\mathcal{S} = \mathcal{S}_{\text{matter+radiation+antimatter}}$ satisfying

$$\Delta S(\mathcal{S}_{\text{matter+radiation+antimatter}}) = 0 \quad \text{around} \quad t_0 \approx 10 \text{ s}. \quad (1)$$

We assume that the spatial region has volume V_0 which is much larger than the Debye length in the fulfilling plasma i.e. $V_0 \gg \lambda_D^3 \sim \left(\frac{T_0}{n_0}\right)^{3/2}$ where n_0 is the number density of the most dilute charged particle constituent in V_0 consequently the long-range electromagnetic interactions in the system are negligible because of screening-off. In a good approximation the only interaction between the matter-photon-antimatter subcomponents is annihilation or recombination of the various particle-antiparticle pairs. Since by our initial assumptions the electric charge of the observable Universe is zero at large temporal and spatial scales, as a single indicator for these various pair recombination processes we are going to monitor the main electron-positron recombination process, namely $e^-e^+ \rightarrow 2\gamma$ only. However we note that this restriction, especially if neutrino effects are to be considered as well, can easily be relaxed in the following considerations if necessary.

Let us explore the time evolution of the system satisfying (1). Denoting by $t \gtrsim t_0$ the time variable let $V(t)$ be the volume of $\mathcal{S}_{\text{matter+radiation+antimatter}}$ and $N(t)$ the number of electrons (or positrons) in it at a moment. More precisely let $N(t)$ be the *expectation value* at t of the number of electrons in $V(t)$. Actually the true number of electrons in $V(t)$ essentially *never* coincides with the abstract number $N(t)$ rather takes its value somewhere in the interval $\left[N(t) - \sqrt{N(t)}, N(t) + \sqrt{N(t)}\right]$ due to thermal fluctuations i.e. accidentally entering and exiting particles. In other words strictly speaking our system is not closed. However if $N(t)$ is not the actual value but only the expectation value of the particle number, as we demand, then its very property is that it is independent of thermal fluctuations. Consequently with this definition of $N(t)$ the system $\mathcal{S}_{\text{matter+radiation+antimatter}}$ can indeed be assumed to be closed. These obvious but important remarks also imply that $N(t)$ depends on t only through particle reactions which in our simple model means the single $e^-e^+ \rightarrow 2\gamma$ process alone.

Next let us therefore derive the evolution equation for this process. Of course a necessary condition for an electron-positron pair to annihilate in a fixed instant is that they should approach each other well in space; we capture this quantitatively by saying that if $\sigma(t)$ denotes the cross-section of the $e^-e^+ \rightarrow 2\gamma$ process then one particle must approximately stay within a ball of radius $\sqrt{\sigma(t)}$ about its antiparticle or *vice versa* during a short time interval Δt ; consequently if $v(t) = |\mathbf{v}_{e^\pm}(t)|$ is the average speed of a particle and $\Delta t < \sqrt{\sigma(t)}/v(t)$ then the *effective annihilation volume* is not $V(t)$ but $v(t)\Delta t\sigma(t)N(t)$ only. Assuming uniform distribution the number of particles in this volume is $(v(t)\Delta t\sigma(t)N(t)/V(t))N(t)$

which is therefore in a good approximation is equal to the number $N(t) - N(t + \Delta t) = -\Delta N(t)$ of annihilating pairs during Δt . Consequently letting $\Delta t \rightarrow 0$ the electron (or positron) number decreases according to

$$\frac{dN(t)}{dt} = -\frac{v(t)\sigma(t)}{V(t)}N^2(t).$$

The calculation of the cross-section of the $e^-e^+ \rightarrow 2\gamma$ process in the plane wave approximation (i.e. when the long range Coulomb forces are neglected) is a classical result of *Dirac*; since the plasma is already non-relativistic his quite complicated formula [32, Equation (7) in Chapter V, §27] reduces to its simple non-relativistic limit

$$\sigma(t) \approx \pi r_0^2 \frac{c}{2w(t)}$$

where $r_0 = e^2/m_e c^2 \approx 2.82 \times 10^{-15}$ m is the classical electron radius and $w(t)$ is the average speed of the colliding particles in their center-of-mass system hence

$$w(t) = \frac{1}{4\pi} \int_{S^2} \left| \mathbf{v}_{e^\pm}(t) - \frac{\mathbf{v}_{e^-}(t) + \mathbf{v}_{e^+}(t)}{2} \right| d\Omega = \frac{1}{4\pi} \int_{S^2} \frac{|\mathbf{v}_{e^-}(t) - \mathbf{v}_{e^+}(t)|}{2} d\Omega = \frac{2}{3}v(t).$$

Note that the cross-section increases with time.

Consider first the *radiation epoch* $10 \text{ s} \lesssim t \lesssim 70.000 \text{ a}$ (here ‘‘a’’ stands for ‘‘years’’ as usual). Then $R(t) \sim \sqrt{t}$ implying $V(t) \sim t^{3/2}$. Therefore

$$\begin{cases} \dot{N}(t) &= -\frac{3\pi r_0^2 c t_0^{3/2}}{4V_0} t^{-3/2} N^2(t) \\ N(t_0) &= N_0 \end{cases}$$

where $V(t) = V_0 \cdot (t/t_0)^{3/2}$ with $V_0 > 0$ being the initial volume at $t_0 \approx 10$ s. Moreover $N_0 > 0$ is the initial particle number. The particular solution hence looks like

$$N(t) = \left(\frac{1}{N_0} + \frac{3\pi r_0^2 c t_0^{3/2}}{2V_0} \left(\frac{1}{\sqrt{t_0}} - \frac{1}{\sqrt{t}} \right) \right)^{-1}$$

satisfying

$$\lim_{t \rightarrow +\infty} N(t) = \left(\frac{1}{N_0} + \frac{3\pi r_0^2 c t_0}{2V_0} \right)^{-1} \quad (2)$$

consequently having, quite surprisingly, a non-vanishing asymptotics thanks to the expansion.

Next, in the *matter epoch* i.e. when $70.000 \text{ a} \lesssim t \lesssim 1.38 \times 10^{10} \text{ a}$, then $R(t) \sim t^{2/3}$ yields $V(t) \sim t^2$. Therefore in the matter epoch

$$\begin{cases} \dot{N}(t) &= -\frac{3\pi r_0^2 c t_1^2}{4V_1} t^{-2} N^2(t) \\ N(t_1) &= N_1 \end{cases}$$

where $t_1 \approx 70.000 \text{ a}$ and $V_1 = V_0 \cdot (t_1/t_0)^{3/2} > 0$ but now $V(t) = V_1 \cdot (t/t_1)^2$. Moreover N_1 is the electron number at t_1 . Note that by (2) surely $N_1 > 0$ hence the corresponding matching particular solution in the matter epoch again looks like

$$N(t) = \left(\frac{1}{N_1} + \frac{3\pi r_0^2 c t_1^2}{4V_1} \left(\frac{1}{t_1} - \frac{1}{t} \right) \right)^{-1}$$

yielding

$$\lim_{t \rightarrow +\infty} N(t) = \left(\frac{1}{N_1} + \frac{3\pi r_0^2 c t_1}{4V_1} \right)^{-1} \quad (3)$$

hence has finite asymptotics, too. Note that without expansion i.e. putting $V(t) = \text{const.}$ both solutions above would have trivial asymptotics $N(t) \sim t^{-1}$ i.e. the annihilation would be complete in this case.

Taking into account the electron-positron number asymptotics (2) and (3) together with the fact that the Universe is electrically neutral on large temporal and spatial scales, hence qualitatively all other particle (except probably the various neutrino) densities must follow more-or-less the same asymptotics, we end up with a rather surprising possibility: despite that their annihilation cross-section increases with passing time, in the sufficiently rapidly expanding Universe the matter and antimatter constituents do not annihilate completely. Although the previous considerations have been straightforward, the idea itself that antimatter could survive the early violent history of the Universe might look strange at first sight (although we note that various non-trivial freeze-out scenarios have already been studied by other authors, too cf. e.g. [41, 50]). Fortunately testable predictions derivable from this model help to measure the validity of this possibility. Perhaps the most directly accessible as well as measurable consequence is the photon-baryon ratio which is supposed to be somewhere between 10^9 and 10^{10} in light of astronomical observations and cosmological considerations.

Thus let us make a digression here and see how this ratio looks like in our model. The initial number of electrons is N_0 at the early moment $t_0 \approx 10$ s meanwhile its late time limit is (3) and their difference had been annihilated mainly into photons. Thus the quantity $1/\eta_0 := \left(N_0 - \lim_{t \rightarrow +\infty} N(t) \right) / \lim_{t \rightarrow +\infty} N(t)$ measures the magnitude of the number of recombined electron-positron pairs hence the photon-electron ratio. In its calculation just for simplicity the late time limit (2) juxtaposed with (3) can be replaced with the latter one alone if we write t_0, N_0, V_0 instead of t_1, N_1, V_1 in (3). In this way we find

$$\frac{1}{\eta_0} = \frac{N_0}{\lim_{t \rightarrow +\infty} N(t)} - 1 = 1 + \frac{3\pi r_0^2 c t_0 N_0}{4V_0} - 1 = \frac{3\pi r_0^2 c t_0 \rho_0}{13k_B T_0}$$

where in the last step we inserted $\epsilon_0 = (3/2)k_B T_0$ and wrote $\rho_0 = (13/6)\epsilon_0 N_0/V_0$ for the baryonic matter energy density of the early Universe taking into account that the total $e^- + p^+ + n^0$ number in the initial volume V_0 was about $M_0 \approx (1 + 1 + 1/6)N_0 = (13/6)N_0$ at $t_0 \approx 10$ s. Concerning the value of ρ_0 we assume (based on the measurements of H_0 and Ω_Λ in [1]) that the current total energy density of the Universe is 3.35 GeV/m^3 having about 4% baryonic constituent hence $\rho_0 \approx 0.13(T_0/2.71\text{K})^3 \text{ GeV/m}^3$ with $T_0 \approx 10^9$ K. Plugging all the constants into the formula we obtain $1/\eta_0 \approx 1.36 \times 10^9$. From this number the photon-baryon ratio arises as follows. The total $e^- + p^+ + n^0$ number at late times is $M \approx (1 + 1 + 1/7) \lim_{t \rightarrow +\infty} N(t)$ thus the total number of annihilating pairs is $(M_0 - M)/M \approx 1/\eta_0$.

Now let us do photon counting. The $e^- e^+ \rightarrow 2\gamma$ process produces 2 photons from every annihilating pair. In addition to this we have to consider the leading low energy nucleon-antinucleon annihilations as well which are all the $p^+ p^-$, $p^+ \bar{n}^0$, $n^0 p^-$ and $n^0 \bar{n}^0 \rightarrow X$ processes. Referring to accurate particle collider results [6] the average number of emitted photons in any of these pair recombinations is ≈ 3.93 . Abandoning other decay channels (but for a broader survey of $N\bar{N}$ annihilations cf. [36]) these nucleon processes together with $e^- e^+ \rightarrow 2\gamma$ produce in average $(3.93 + 2 \times 3.93/7 + 3.93/7^2 + 2)/5 \approx 1.43$ photons. Consequently the photon-baryon ratio in our model looks like

$$\frac{1}{\eta} \approx \frac{1.43}{\eta_0} \approx 1.95 \times 10^9$$

which, taking into account the very rough estimates and simplifications we made throughout,³ is close to its latest experimentally confirmed value $1/\eta \approx 1.67 \times 10^9$, cf. [1, 49].

Having seen that the recombination of matter with antimatter in the early Universe, such that the latter did not fully disappear from the stage during the course of this recombination era, produces a convincing photon-baryon ratio, one is unavoidably forced to say something on the following well-known fundamental problem formulated by *Sakharov* 60 years ago [43, 44]: if this was indeed the story then what happened to antimatter [46]? Why large antimatter “islands” are not observable [21, 48] in the current Universe in spite of the obvious evidence that similar ordinary matter clouds do exist? This is the point where we evoke the **Proposal**, which has not been used so far, i.e. apply it for large but isolated antimatter domains in the early Universe whose existence at least in the past follows from our previous considerations.

So let us continue the exploration of $\mathcal{S}_{\text{matter+radiation+antimatter}}$. As we have seen at late times it yet contains both matter and antimatter which essentially do not interact; consequently the original system splits into closed (or almost closed) subsystems what we write symbolically as

$$\mathcal{S}_{\text{matter+radiation+antimatter}} = \mathcal{S}_{\text{matter}} + \mathcal{S}_{\text{radiation}} + \mathcal{S}_{\text{antimatter}} .$$

Hence by the (sub)additivity of the entropy the equilibrium equation (1) decouples as well consequently at for instance the hydrogen recombination time we re-write it as

$$\Delta\mathcal{S}(\mathcal{S}_{\text{matter}}) + \Delta\mathcal{S}(\mathcal{S}_{\text{radiation}}) + \Delta\mathcal{S}(\mathcal{S}_{\text{antimatter}}) = 0 \text{ around } t \approx 380.000 \text{ a} . \quad (4)$$

Recall that in the matter epoch $T \sim t^{-2/3}$ more precisely at this moment $T \approx 3000 \text{ K}$ and the system contains neutral components (mainly photon gas and atomic hydrogen, helium together with their antimatter counterparts) only hence $\mathcal{S}_{\text{matter}} + \mathcal{S}_{\text{radiation}} + \mathcal{S}_{\text{antimatter}}$ can be treated well with the traditional tools of ideal gas theory in phenomenological thermodynamics from now on. The thermal equilibrium of the global system however does not necessarily implies the thermal equilibrium of its (weakly interacting) subsystems. This means that we have to examine them separately. Regarding $\mathcal{S}_{\text{matter}}$ an *empirical evidence* (i.e. not a theoretical deduction) about *this and only this* subsystem is again the validity of the second law

$$\Delta\mathcal{S}(\mathcal{S}_{\text{matter}}) \geq 0 . \quad (5)$$

Within our closed system the next subsystem is $\mathcal{S}_{\text{radiation}}$ consisting of pure thermal radiation in equilibrium at temperature $T(\mathcal{S}_{\text{radiation}})$ occupying a volume $V(\mathcal{S}_{\text{radiation}}) \lesssim V(t)$. By the Stefan–Boltzmann law $S(\mathcal{S}_{\text{radiation}}) = \frac{4}{3}aT^3(\mathcal{S}_{\text{radiation}})V(\mathcal{S}_{\text{radiation}})$. But $T(\mathcal{S}_{\text{radiation}}) \sim t^{-1/2}$ and $V(\mathcal{S}_{\text{radiation}}) \sim t^{3/2}$ in the radiation era while $T(\mathcal{S}_{\text{radiation}}) \sim t^{-2/3}$ and $V(\mathcal{S}_{\text{radiation}}) \sim t^2$ in the matter era. Thus we find that

$$\Delta\mathcal{S}(\mathcal{S}_{\text{radiation}}) = 0 \quad (6)$$

which is of course in agreement with observations, too. (Note that this equality can be obtained via the **Proposal** as well by applying it together with the usual second law on a closed physical system consisting of particles equal to their own antiparticles like $\mathcal{S}_{\text{radiation}}$; then indeed we can write both $\mathcal{S}_{\text{radiation}} = \mathcal{S}_{\text{antimatter}}$ and $\mathcal{S}_{\text{radiation}} = \mathcal{S}_{\text{matter}}$ implying both $\Delta\mathcal{S}(\mathcal{S}_{\text{radiation}}) = \Delta\mathcal{S}(\mathcal{S}_{\text{antimatter}}) \leq 0$ and $\Delta\mathcal{S}(\mathcal{S}_{\text{radiation}}) = \Delta\mathcal{S}(\mathcal{S}_{\text{matter}}) \geq 0$ hence (6).) Regarding the last subsystem, namely $\mathcal{S}_{\text{antimatter}}$ we lack

³Surely the most important of these simplifications was the systematic suppression of all neutrino effects including the decays $e^-e^+ \rightarrow \nu_x\bar{\nu}_x$ where $x = e, \mu, \tau$. However as a consistency check note that since $\rho_0 \sim T_0^3$ and $T_0 \sim t_0^{-1/2}$ in the radiation era, at least the number $\eta_0 = 13k_B T_0 / (3\pi r_0^2 c t_0 \rho_0)$ hence the baryon-photon ratio η itself is independent of the particular choice $1 \text{ s} \lesssim t_0 \lesssim 10 \text{ s}$ for the initial value of time and the corresponding temperature $10^{10} \text{ K} \gtrsim T_0 \gtrsim 10^9 \text{ K}$ in the leptonic epoch we began with.

any observational support concerning its temporal behaviour however comparing (5) and (6) with (4) we can conclude that

$$\Delta S(\mathcal{S}_{\text{antimatter}}) \leq 0 \quad (7)$$

in accord with the **Proposal** in the particular case of large isolated antimatter systems in the early but already low energy Universe.

Geometrically the system $\mathcal{S}_{\text{matter}} + \mathcal{S}_{\text{radiation}} + \mathcal{S}_{\text{antimatter}}$ on a long range of macroscopic scales contains both matter and antimatter subregions of more-or-less equal volumes surrounded by electromagnetic radiation. To be more visual and taking into account the overall gravitational contraction as well, we can assume that within the finite volume $V(t)$ at a fixed time the spatial subregion occupied by $\mathcal{S}_{\text{matter}}$ is a (disjoint) union of finitely many 3 dimensional balls of different radii, mainly proportional to the Jeans length under these conditions [10], and likewise for $\mathcal{S}_{\text{antimatter}}$ such that the complementum of these balls is fulfilled with $\mathcal{S}_{\text{radiation}}$. Having set up this natural picture let us consider the further time evolution of the system when $t \gtrsim 380.000$ a. The temporal evolutions of $\mathcal{S}_{\text{matter}}$ and $\mathcal{S}_{\text{antimatter}}$, which have been parallel up to this point, sharply split from now on. This is in some sense not surprising because $t \approx 380.000$ a is a crucial phase transition, namely the photon recombination, time in the history of the Universe.

Regarding $\mathcal{S}_{\text{matter}}$ its individual ball constituents undergo standard star formation by gravitational contraction and further fragmentation. Recall that the first stage of this complex evolution is always an isothermal process in which a given ball radiates heat to its environment $\mathcal{S}_{\text{radiation}}$ during contraction. Consequently these balls are not closed systems taking into account this interaction.⁴ Nevertheless being of course an ordinary gas ball in a thermal bath observable, as an *empirical evidence* the second law surely applies to the in-this-way-interacting system $\mathcal{S}_{\text{matter}} + \mathcal{S}_{\text{radiation}}$ hence

$$\Delta S(\mathcal{S}_{\text{matter}} + \mathcal{S}_{\text{radiation}}) \geq 0 .$$

Moreover neither the volume change nor the accompanying thermal radiation of a gas ball in $\mathcal{S}_{\text{matter}}$ has effect on the volume or the temperature of its vast environment described by $\mathcal{S}_{\text{radiation}}$ hence in a good approximation $\Delta S(\mathcal{S}_{\text{matter}} + \mathcal{S}_{\text{radiation}}) \approx \Delta S(\mathcal{S}_{\text{matter}}) + \Delta S(\mathcal{S}_{\text{radiation}})$ thus via (5) and (6) we find that in fact $\Delta S(\mathcal{S}_{\text{matter}}) \geq 0$. That is, despite the radiative interaction, we can assume that $\mathcal{S}_{\text{matter}}$ alone satisfies the second law as usually assumed in standard textbooks on star formation [57].

After these preliminary observations take any particular ball within $\mathcal{S}_{\text{matter}}$ and treat it as a massive gas ball having volume V , particle number N , mass m , total energy E and gravitational potential energy U (hence kinetic energy $K = E - U$). Its entropy looks in the standard way like

$$S(V, E) = Nk_B \left(\log \frac{V}{N} + \frac{3}{2} \log \frac{E - U}{N} + \text{const.} \right) .$$

On substituting $V = (4\pi/3)R^3$ and the Newtonian potential energy $U = -Gm^2/R$ we can re-write it as

$$\begin{aligned} S(R, E) &= Nk_B \left(3 \log \frac{R}{N^{1/3}} + \frac{3}{2} \log \frac{1}{N} \left(E + \frac{Gm^2}{R} \right) + \text{const.} \right) \\ &= \frac{3}{2} Nk_B \left(\log \frac{ER^2 + Gm^2R}{N^{5/3}} + \text{const.} \right) \end{aligned}$$

⁴But of course this radiative interaction is different from the one based on annihilation considered before but found to be already irrelevant at this asymptotic stage.

showing that $0 < R < +\infty$ if $E \geq 0$ or $0 < R < -Gm^2/E$ if $E < 0$. It turns out that the shape of the entropy function depends crucially on these two cases. Indeed, by solving the equation

$$\left. \frac{\partial S(R, E)}{\partial R} \right|_{E=\text{const.}} = \frac{3}{2} N k_B \frac{2ER + Gm^2}{ER^2 + Gm^2 R} = 0$$

it readily follows that if $E \geq 0$ i.e. the system is gravitationally not bounded then the entropy is a monotonically increasing function of R hence without local extrema. Therefore taking any $0 < R_{\text{initial}} < +\infty$ and applying the second law for $\mathcal{S}_{\text{matter}}$ we find that $R \rightarrow +\infty$ hence the system is *unstable* in the usual sense: in order to maximize its entropy, a ball performs an unbounded spatial *expansion* as one would expect. The second possibility is that $E < 0$ i.e. the system is gravitationally bounded then there is precisely one maximum of the entropy function at

$$R = -\frac{Gm^2}{2E}.$$

Therefore, depending on R_{initial} the system performs a finite *expansion*, remains *unchanged* or performs a finite *contraction* (by absorbing or releasing thermal radiation such that its temperature remains constant) in order to reach $R = -Gm^2/2E$ where $\mathcal{S}_{\text{matter}}$ attains its maximal entropy hence *stable* equilibrium state. This is the well-known isothermal phase of ordinary star formation.

Now let us see how this analysis works for antimatter gas balls. Accepting the **Proposal**⁵ $\mathcal{S}_{\text{antimatter}}$, which system in our astrophysical situation therefore describes a similar massive and in the beginning cold pure antimatter ideal gas arranged into balls of various radii, satisfies the usual equation of state $pV = Nk_B T$. Consequently taking one of its ball constituents the previous calculations work here as well. However this time we find a different temporal behaviour. The first possibility is when $E \geq 0$ hence this ball is gravitationally unbounded; then taking into account the reversed second law (7) we find that starting with any R_{initial} the radius of the ball behaves like $R \rightarrow 0$ that is, the antimatter gas ball is *unstable* again however in a reversed way: unlike an ordinary matter ball it performs an unbounded *contraction*. The second possibility is that the ball is gravitationally bounded i.e. $E < 0$ and is already small i.e. $0 < R_{\text{initial}} < -Gm^2/2E$. Applying (7) we find again that $R \rightarrow 0$ i.e. a sufficiently small bounded antimatter gas ball is *unstable* in the sense that it undergoes an unbounded *contraction*, too. The third possibility is that the ball is gravitationally bounded i.e. $E < 0$ and its volume is fine-tuned i.e. precisely $R_{\text{initial}} = -Gm^2/2E$. Then this is an equilibrium of having maximal entropy hence is *unstable* in light of (7). The fourth possibility is that the ball is gravitationally bounded i.e. $E < 0$ but is not small i.e. $-Gm^2/2E < R_{\text{initial}} < -Gm^2/E$. Then by (7) it is *unstable* again but, quite surprisingly $R \rightarrow -Gm^2/E$ i.e. it would undergo a finite expansion such that the ball reaches a finite size. However the finite mass (and total energy) antimatter ball would have unbounded entropy in this limiting state which is a contradiction (if we regard the entropy as the logarithm of the number of microstates of a finite system). Hence the last two possibilities are ruled out which simply means that in our model the radius R , mass m and total energy $E < 0$ of a gravitationally bounded antimatter gas ball, when taking into account its dynamics i.e. temporal behaviour as well, always satisfies the inequality $R < -Gm^2/2E$. As a consistency check we remark that this maximal size is the half of the allowed maximal size for a gravitationally bounded ordinary matter ball of the same mass and negative total energy; this factor is reasonable in light of the stronger contraction tendency of antimatter: the idea we have stressed throughout the paper. Nevertheless, and to summarize, we have seen that in our model all of *these gravitationally unbounded or bounded antimatter balls suffer from a contractive instability*.

⁵Cf. the end of Section 2 what do we precisely understand by this.

The characteristic collapsing time of this contractive instability can be estimated by the aid of the **Proposal** alone (i.e. neglecting all other physical mechanisms for simplicity) if the interaction with the environment is also taken into account. Suppose $E_{\text{initial}} < 0$ and $0 < R_{\text{initial}} < -Gm^2/2E_{\text{initial}}$ moreover that $\dot{E} < 0$ hence $E < 0$ throughout i.e. the gravitationally bounded ball radiates energy to its environment $\mathcal{S}_{\text{radiation}}$ during the contraction (cf. [37, pp. 1197-1198]) hence remains gravitationally bounded. Then putting $v := \dot{R}$ applying (7) in the form

$$\frac{\partial S}{\partial R}v + \frac{\partial S}{\partial E}\dot{E} = \dot{S} \leq 0$$

and taking into account that $v < 0$ we find that $|v| \geq R^2\dot{E}/(2ER + Gm^2) > 0$. If we further assume that $\dot{E} = \text{const.}$ then the estimated time required to contract from R_{initial} down to some $0 < R < R_{\text{initial}}$ is

$$t_R = \int_0^{t_R} dt = \int_R^{R_{\text{initial}}} \frac{dr}{|v|} \leq \int_R^{R_{\text{initial}}} \frac{2Er + Gm^2}{r^2\dot{E}} dr \leq \frac{Gm^2}{|\text{const.}|} \int_R^{R_{\text{initial}}} \frac{dr}{r^2} = \frac{Gm^2}{|\text{const.}|} \left(\frac{1}{R} - \frac{1}{R_{\text{initial}}} \right)$$

which is finite even for the Schwarzschild radius $R = 2Gm/c^2$ of the antihydrogen ball.

Therefore taking into account their contractive instability discussed above one would expect that sufficiently massive antimatter gas clouds, compared to ordinary ones, are more capable to form black holes or enter already existing ones hence effectively feed them in very short times during the course of their dynamical evolution. Consequently, in light of the various uniqueness (“no-hair”) theorems of black hole physics (cf. e.g. [33]) pure macroscopic antimatter systems could disappear behind primordial black hole event horizons tracelessly faster in time than their ordinary counterparts. The details of why this antimaterial collapsing or feeding mechanism could be so effective are admittedly unclear at this stage of the art; perhaps the proposed reversed thermodynamics of antimatter somehow could prevent the system from friction hence the formation of high temperature radiating accretion discs, jets, etc. which are well-known refraining phenomena in case of observed compact objects swallowing normal matter. Although these important questions are open, for clarity we remark that the process itself is not in contradiction with Hawking’s area theorem (cf. e.g. [9, 29]) because the fall of antimatter into a black hole, whatever weird its dynamical behaviour is, continues to transport further mass, electric charge and angular momentum into the black hole hence continues to increase the area of its instantaneous event horizon.⁶

These qualitative considerations permit to make some testable predictions for primordial black hole physics. The first is that these black holes, due to their quite late born around the recombination time $t \gtrsim 380.000$ a, are expected to be very massive. The mass of these black holes are related with the typical value of R_{initial} , the radius of the collapsing ball examined above. Since the origin of these balls is the primordial fragmentation of the homogeneous but gravitationally unstable antihydrogen (and antihelium) gas, their radii are expected to satisfy $R_{\text{initial}} \sim \lambda_J$ where λ_J is the Jeans length around the recombination time. Hence the expected typical initial mass is proportional to the corresponding Jeans mass m_J which by considerations still applicable here⁷ is estimated in [10] to be $m_J \sim 10^5\text{-}10^6 M_{\odot}$. This large value is consistent with the general pattern that late-time born primordial black holes are

⁶For example the horizon area $A = 4\pi \left(2m^2 - q^2 + 2m\sqrt{m^2 - a^2 - q^2} \right)$ of the Kerr–Newman black hole is invariant under $q \leftrightarrow -q$ i.e. the action of the charge conjugation operator C . Consequently from the point of view of black hole mechanics as summarized in [9] it is inessential what sort of infalling thing, i.e. matter or antimatter, feeds the black hole. This is of course in agreement with the no-hair theorems.

⁷Note that the standard computation of the Jeans length and mass rests only on mechanical i.e. reversible considerations.

expected to be heavier than the early-time ones. Consequently these black holes improve the less-understood super- or hypermassive end of the primordial black hole mass spectrum [19]. Note that, on the contrary to their rapid formation, the typical high mass of these primordial black holes prevents them from too early evaporation by Hawking radiation [31, 53]: since $t_{\text{evaporation}} \approx 2.1 \times 10^{67} (m_J/M_\odot)^3$ years which in this case is about 10^{82} - 10^{85} years, these black holes do not reveal their content within our current cosmological times; consequently the details of their fate (i.e. the possible existence of evaporation remnants and their perhaps antimaterial nature, etc.) do not affect our considerations. The second prediction is that since the amount of matter is equal to antimatter and the latter had completely disappeared this way moreover the observed dark matter-baryonic matter ratio is about 5 : 1 it follows that at least approximately 20% of the dark matter exists in the form of massive primordial black holes in our model. There has been recently an intense debate on the mass spectrum and the ratio of the primordial black hole constituent of dark matter; our predictions are consistent with current observational constraints as summarized in [19]: regarding the mass spectrum observations permit the existence of an abundance of primordial black holes in the very heavy end of the mass spectrum while regarding the ratio all possibilities are apparently open (hence our 20% looks like a good compromise between the extremes).

4 Conclusion

In this paper two apparently independent problems of current cosmology: the basic problem of matter-antimatter asymmetry in the present Universe and late time primordial black hole formation has been connected by a proposed reversed thermodynamical behaviour of antimatter. Within this framework the observed baryon-photon ratio has been reproduced ($\eta \approx 5.12 \times 10^{-10}$ is our prediction) whose accuracy is convincing ($\eta \approx 5.99 \times 10^{-10}$ is the experimental value) taking into account the simplicity and naturality of its derivation carried out here. Moreover two testable predictions concerning the average black hole masses (which is larger than 10^5 - $10^6 M_\odot$) and the primordial black hole ratio in dark matter (which is at least 20%) in this model has been exhibited. However the model's most appealing feature is surely a natural, effortless (i.e. free of any fine-tuning, etc.) explanation of the problem of missing antimatter.

To close we emphasize once more that the idea proposed here requires further elaboration and we also admit that all of these rough qualitative considerations might be invalidated by exploring the highly complex details of time evolution of realistic physical systems including the effect of gravity on the *CPT* theorem [47]; however these certainly very difficult analyses are beyond the limits of this short note. Nevertheless our considerations, perhaps together with other suggested mechanisms (far from being complete cf. e.g. [4, 5, 14, 15, 18, 20, 23, 26, 27, 34, 40, 51, 54]), might shed a light onto the origin of the observed matter-antimatter asymmetry in the current Universe, even if matter and antimatter was produced in symmetric amounts in the Big Bang. This asymmetric mechanism together with the symmetric recombination effects could be responsible for the deficit of antimatter as well as for the rapid early galaxy formation around supermassive primordial black hole cores in the observable Universe.

Acknowledgement. The author is grateful to K. Bozsonyi, K. Furgason, L. Krasznahorkai and F. Siklér for the stimulating discussions. There are no conflicts of interest to declare that are relevant to the content of this article. All the not-referenced contents in this work are fully the author's own contribution. No funds, grants, or other financial supports were received. The work meets all ethical standards applicable here.

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