

RANDOM SELF-SIMILAR SETS ON THE LINE

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JOINT WITH KAROLY SIMON

16/05/23

COIN TOSSING SELF SIMILAR SETS ON THE LINE

• $\mathcal{F} = \{ f_i(x) = \pi_i x + t_i \}_{i=1}^M, \pi_i \in (0,1), t_i \in \mathbb{R}.$


• CHOOSE $p \in [0,1]$ AND A COIN $\mathbb{P}(\text{H}) = p, \mathbb{P}(\text{T}) = 1-p$

LEVEL 0  I

LEVEL 1


 $f_1(I)$


 $f_3(I)$


 $f_2(I)$

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LEVEL 0



LEVEL 1



\textcircled{H}



\textcircled{H}



\textcircled{T}

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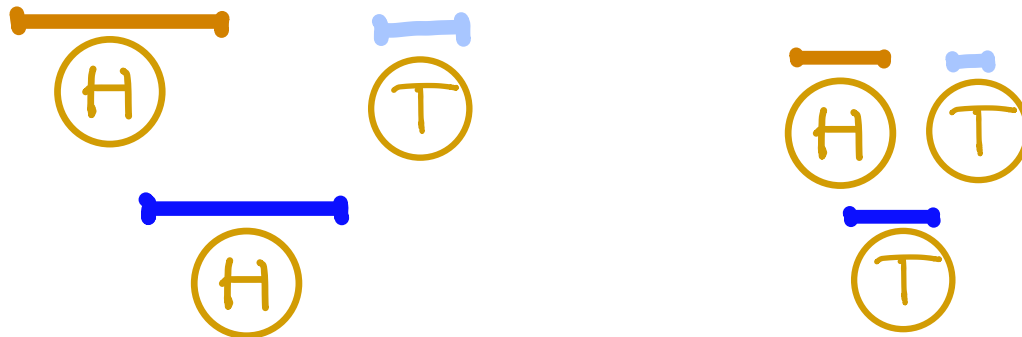
LEVEL 0



LEVEL 1



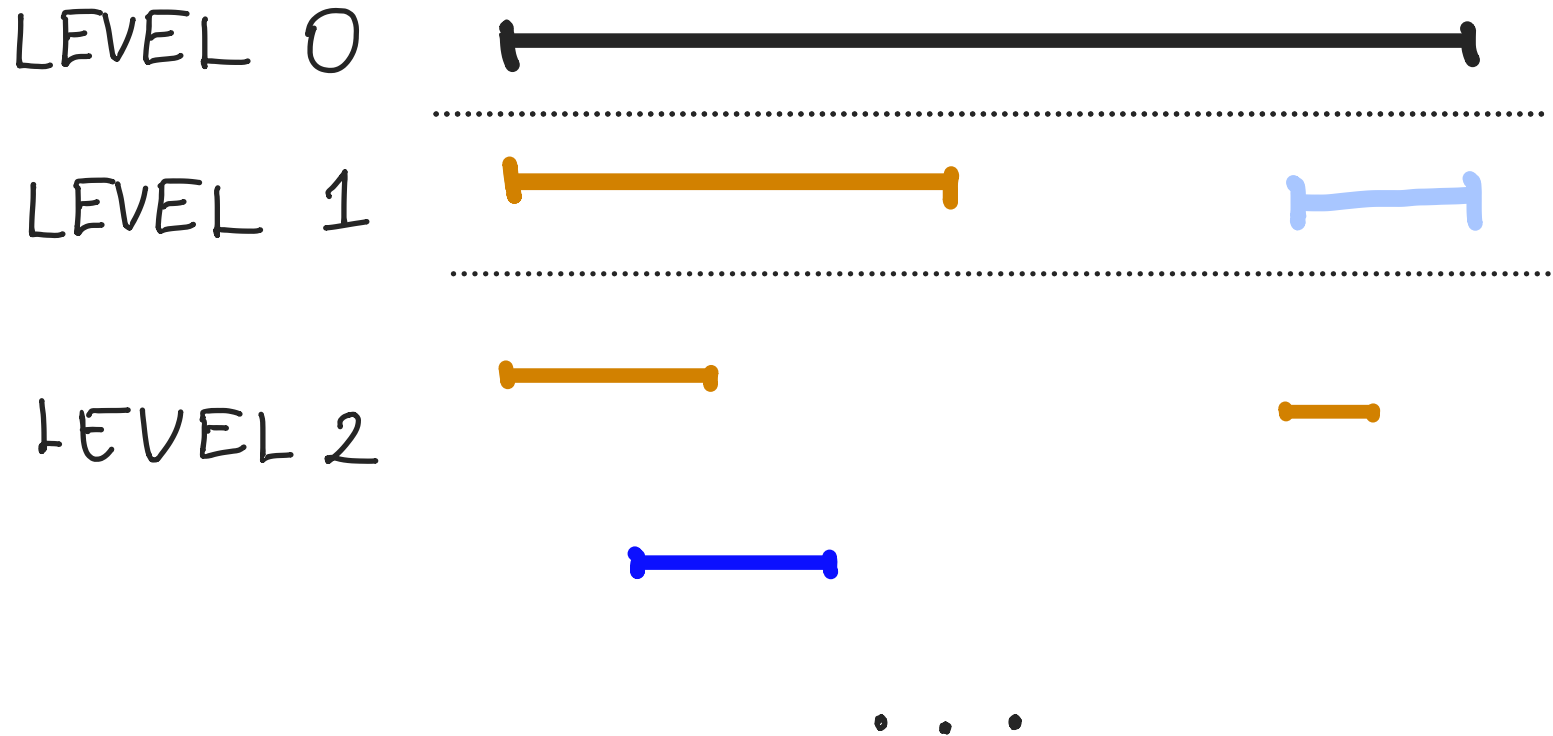
LEVEL 2



COIN TOSSING SELF SIMILAR SETS ON THE LINE

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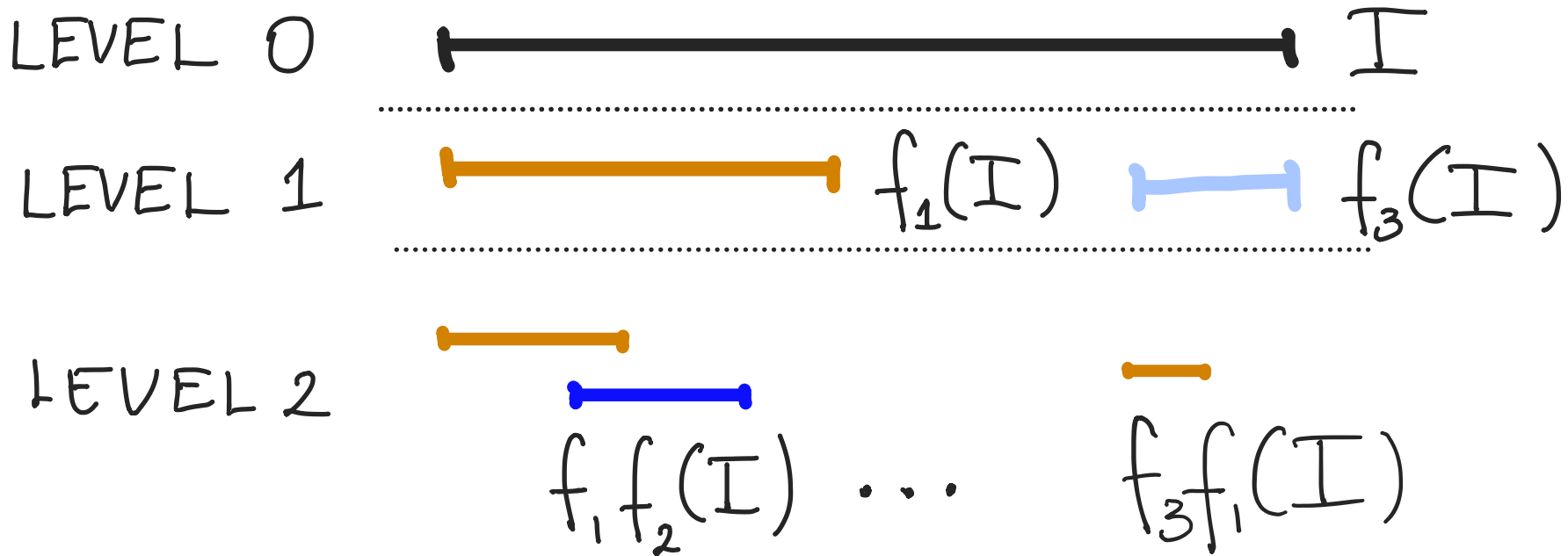
• CHOOSE $p \in [0,1]$ AND A COIN $\mathbb{P}(\textcircled{H}) = p, \mathbb{P}(\textcircled{T}) = 1-p$



COIN TOSSING SELF SIMILAR SETS ON THE

LINE

$$\mathcal{F} = \left\{ f_i(x) = \pi_i x + t_i \right\}_{i=1}^M, \quad \pi_i \in (0,1), \quad t_i \in \mathbb{R}.$$



$$\Lambda(\mathcal{F}, p) = \bigcap_n \bigcup_{\substack{(i_1 \dots i_n) \in \\ E_n}} f_{i_1} f_{i_2} \dots f_{i_n}(I)$$

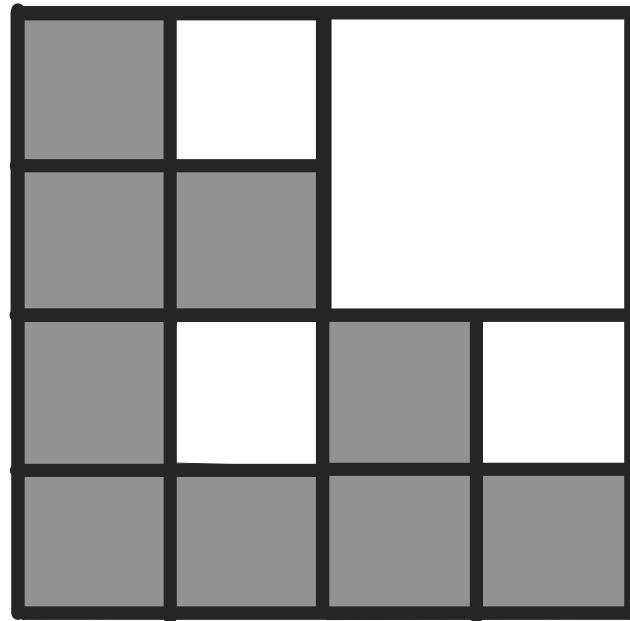
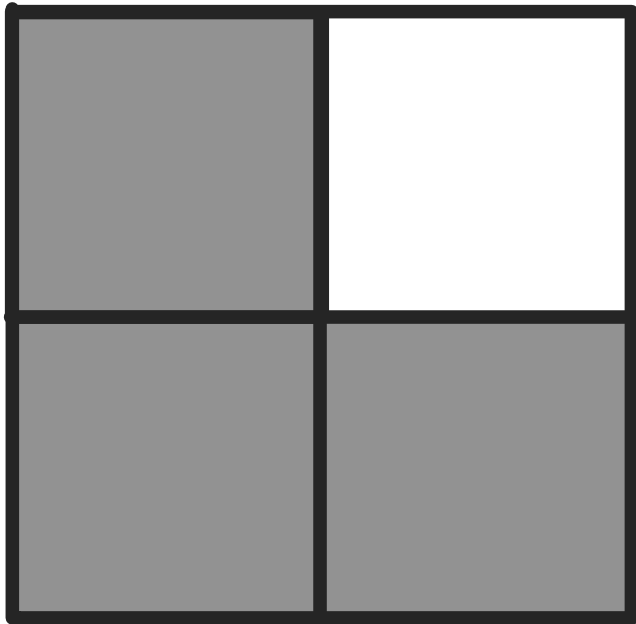
INTEGER COIN TOSSING SELF-SIMILAR IFS ON THE LINE

- $\mathcal{F} = \{ f_i(x) = \frac{1}{L}x + t_i \}_{i=1}^M, 2 \leq L \in \mathbb{N}, t_i \in \mathbb{Q}.$

INTEGER COIN TOSSING SELF-SIMILAR IFSs ON THE LINE

$$\bullet \mathcal{F} = \left\{ f_i(x) = \frac{1}{L}x + t_i \right\}_{i=1}^M, \quad 2 \leq L \in \mathbb{N}, t_i \in \mathbb{Q}.$$

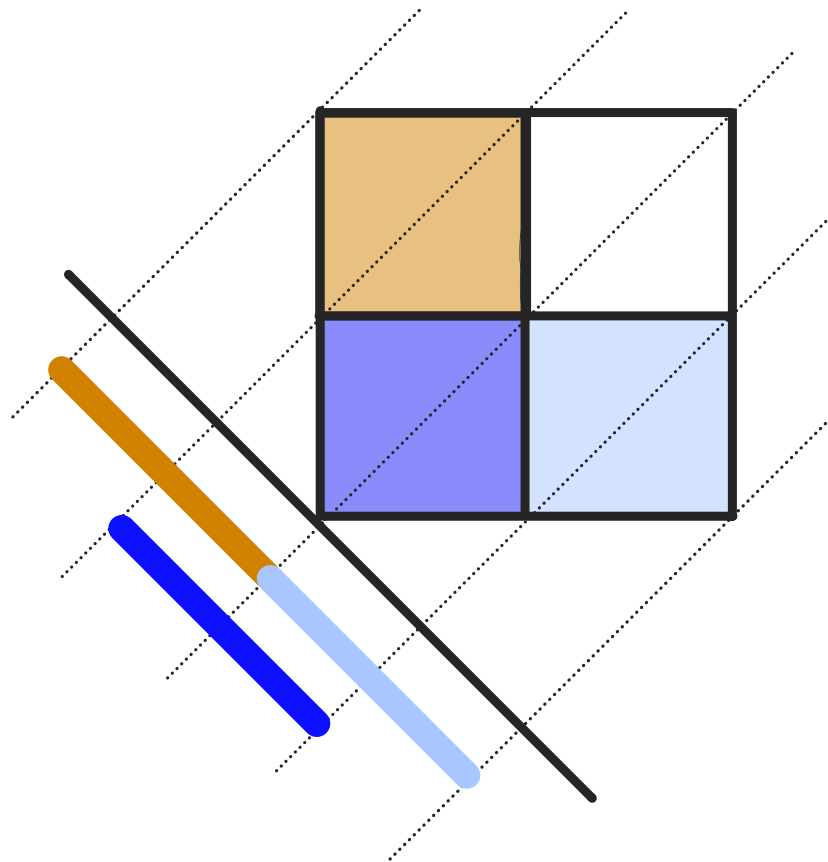
E.g. RATIONAL PROJECTIONS OF THE
RIGHT ANGLED SIERPIŃSKI CARPET



INTEGER COIN TOSSING SELF-SIMILAR IFSs ON THE LINE

$$\mathcal{F} = \left\{ f_i(x) = \frac{1}{L}x + t_i \right\}_{i=1}^M, \quad 2 \leq L \in \mathbb{N}, t_i \in \mathbb{Q}.$$

E.g. RATIONAL PROJECTIONS OF THE
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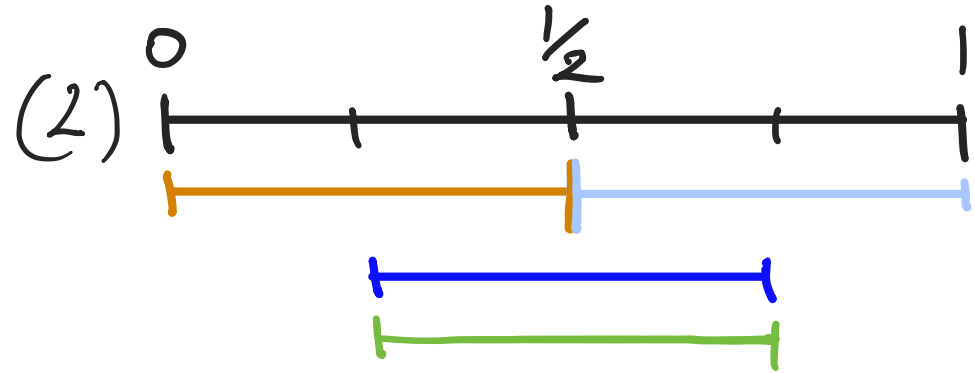
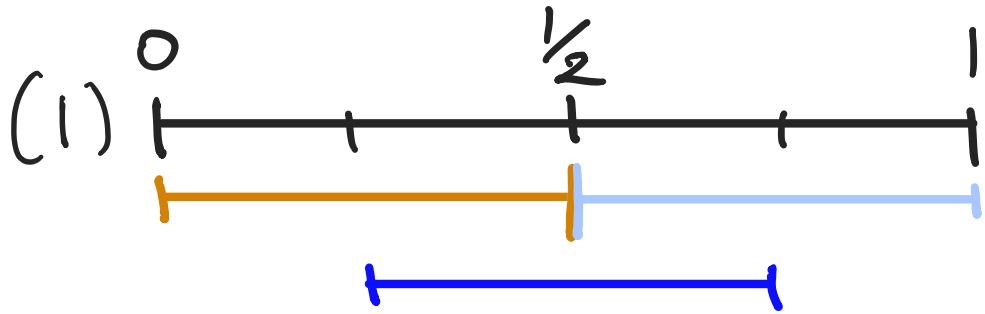
QUESTIONS - SIZE (DEP. ON p)?

(1) DIMENSION OF THE SET?

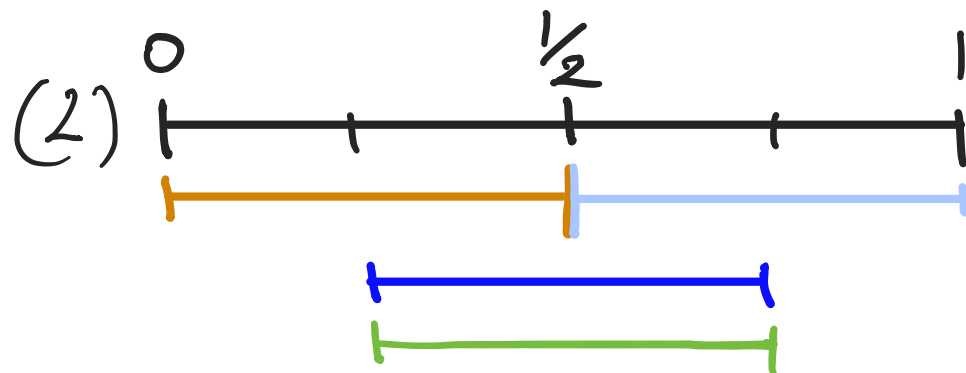
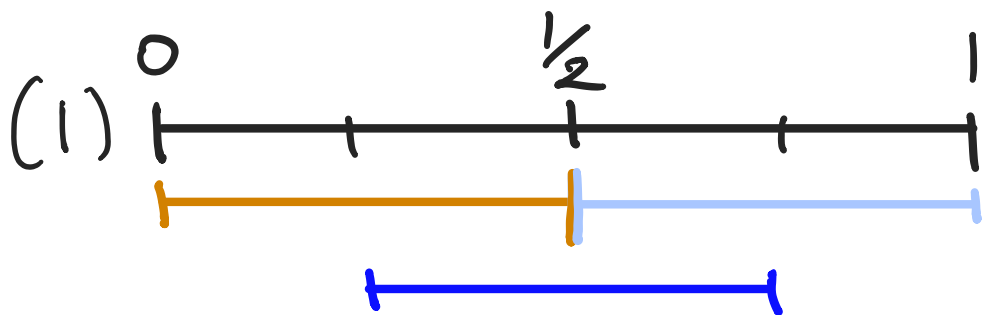
(2) POSITIVITY OF LEBESGUE MEASURE?

(3) EXISTENCE OF INTERIOR POINTS?

EXAMPLES



EXAMPLES



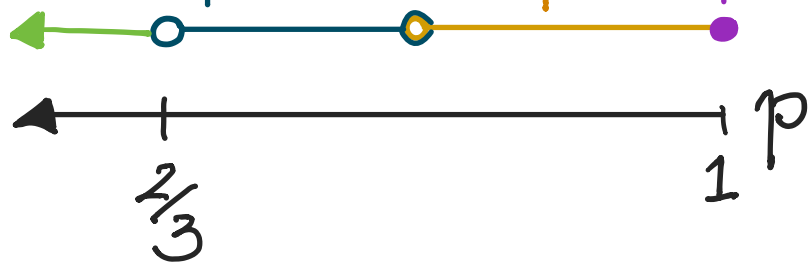
$$\dim_S \Lambda(\mathcal{F}_1^p) = \frac{\log 3^p}{\log 2}$$

$$\dim_S \Lambda(\mathcal{F}_1^p) > 1$$

$$\dim_H \Lambda(\mathcal{F}_1^p) < 1$$

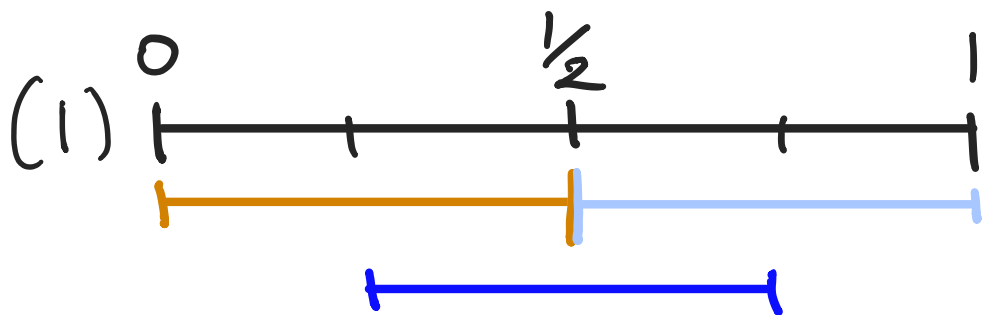
$$\text{Int } \Lambda(\mathcal{F}_1^p) \neq \emptyset \quad *$$

$$\text{Int } \Lambda(\mathcal{F}_1^p) = \emptyset \ \& \ \text{Leb}(\Lambda(\mathcal{F}_1^p)) > 0 \quad *$$



★ A.S. COND. ON NON-EXTINCTION

EXAMPLES



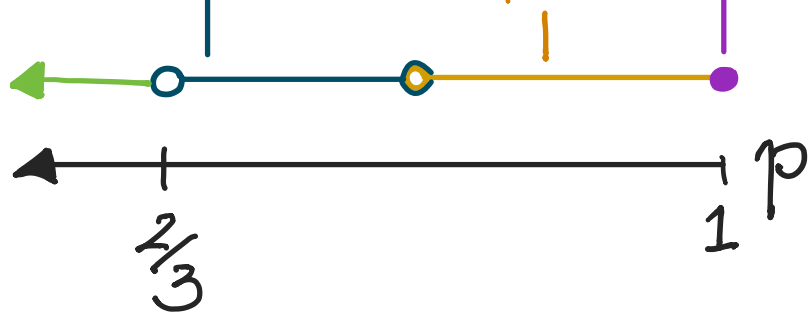
$$\dim_S \Lambda(F_{1,p}) = \frac{\log 3p}{\log 2}$$

$$\dim_S \Lambda(F_{1,p}) > 1$$

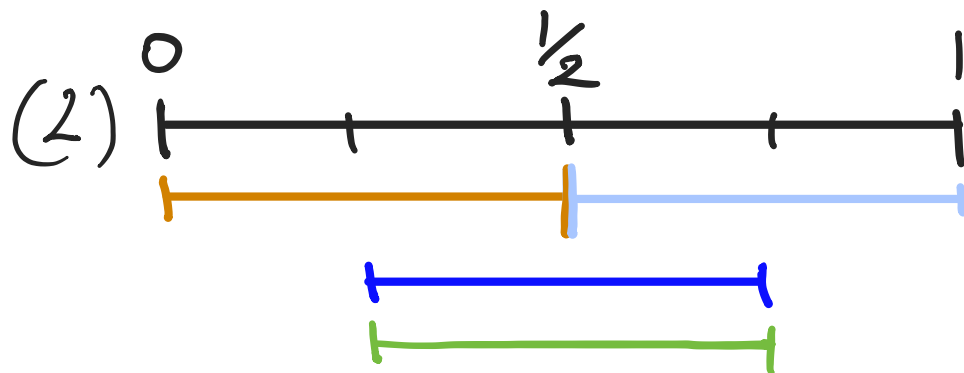
$$\dim_H \Lambda(F_{1,p}) < 1$$

$$\text{Int } \Lambda(F_{1,p}) \neq \emptyset \quad *$$

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★ A.S. COND. ON NON-EXTINCTION

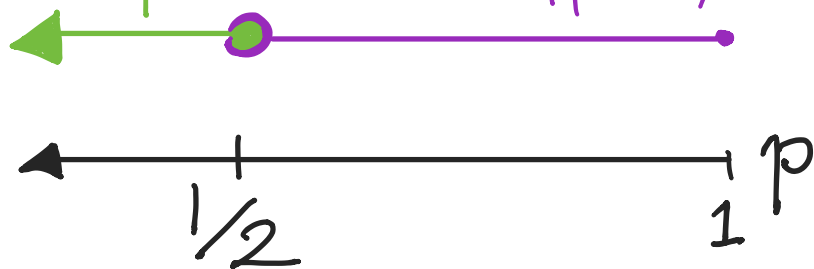


$$\dim_S \Lambda(F_{1,p}) = \frac{\log 4p}{\log 2}$$

(Follows from the work
of Simon & Raus)

$$\dim_S \Lambda(F_{1,p}) \leq 1$$

$$\text{Int } \Lambda(F_{1,p}) \neq \emptyset \quad *$$



EXPECTATION MATRICES

- THE POSITIVITY OF LEB. MEASURE
& EXISTENCE OF INTERIOR POINTS
CODED INTO EXPECTATION MATRICES.

EXPECTATION MATRICES

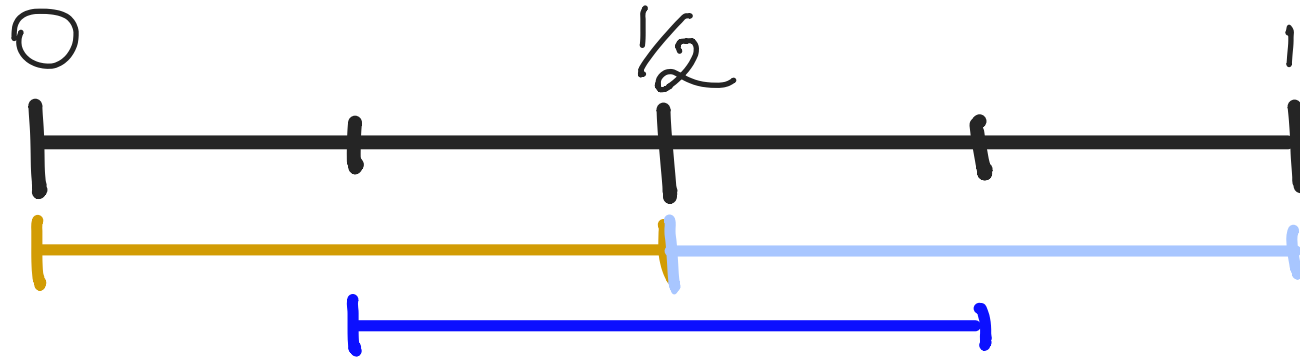
- THE POSITIVITY OF LEB. MEASURE & EXISTENCE OF INTERIOR POINTS CODED INTO EXPECTATION MATRICES.

- EXPECTATION MATRICES FOR THE RANDOM SYSTEM = ρ • MATRICES CORR. TO THE DETERMINISTIC SYSTEM.

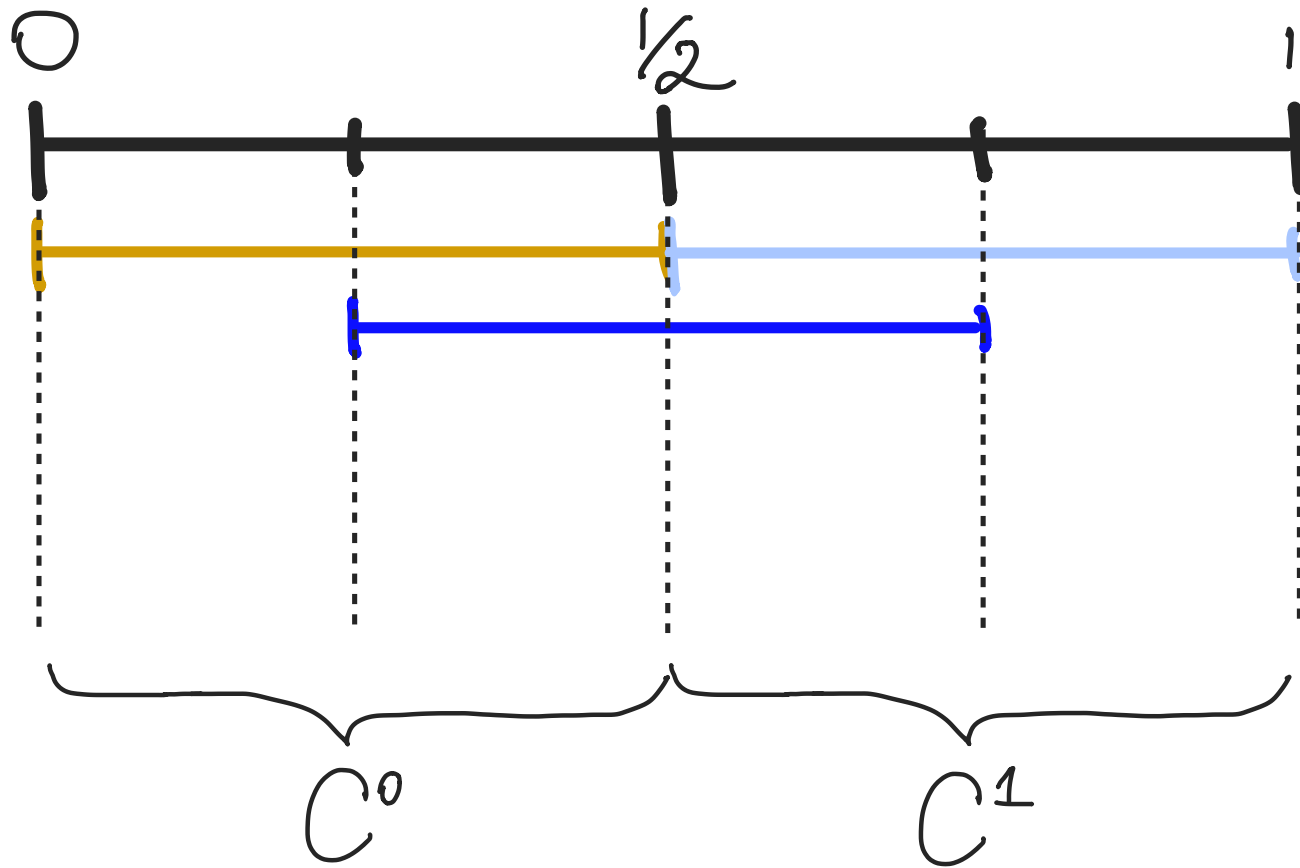


WE CONSIDER THE DETERMINISTIC SYSTEM NOW.

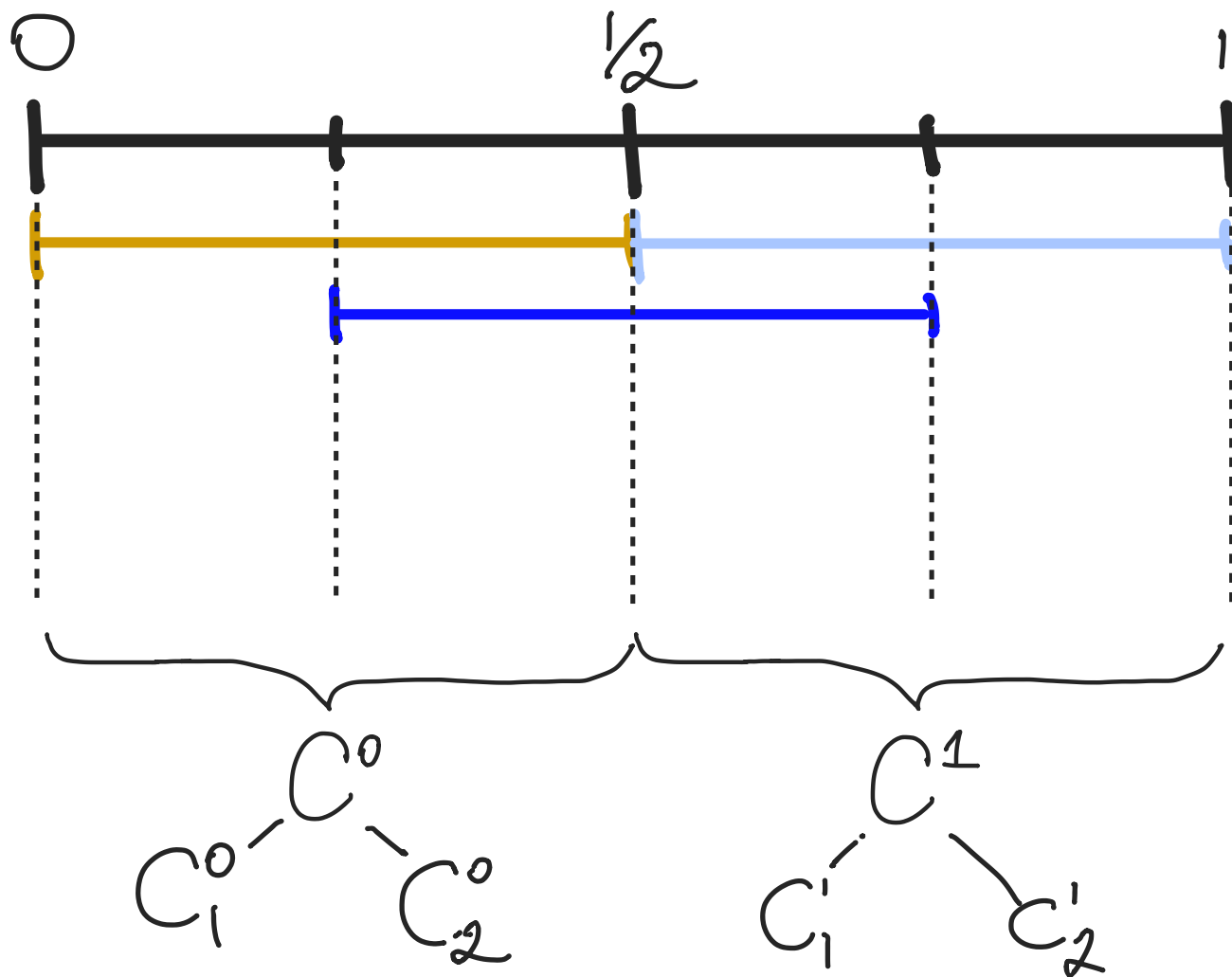
MATRICES CORRESPONDING THE DETERMINISTIC IFS (HEURISTIC)



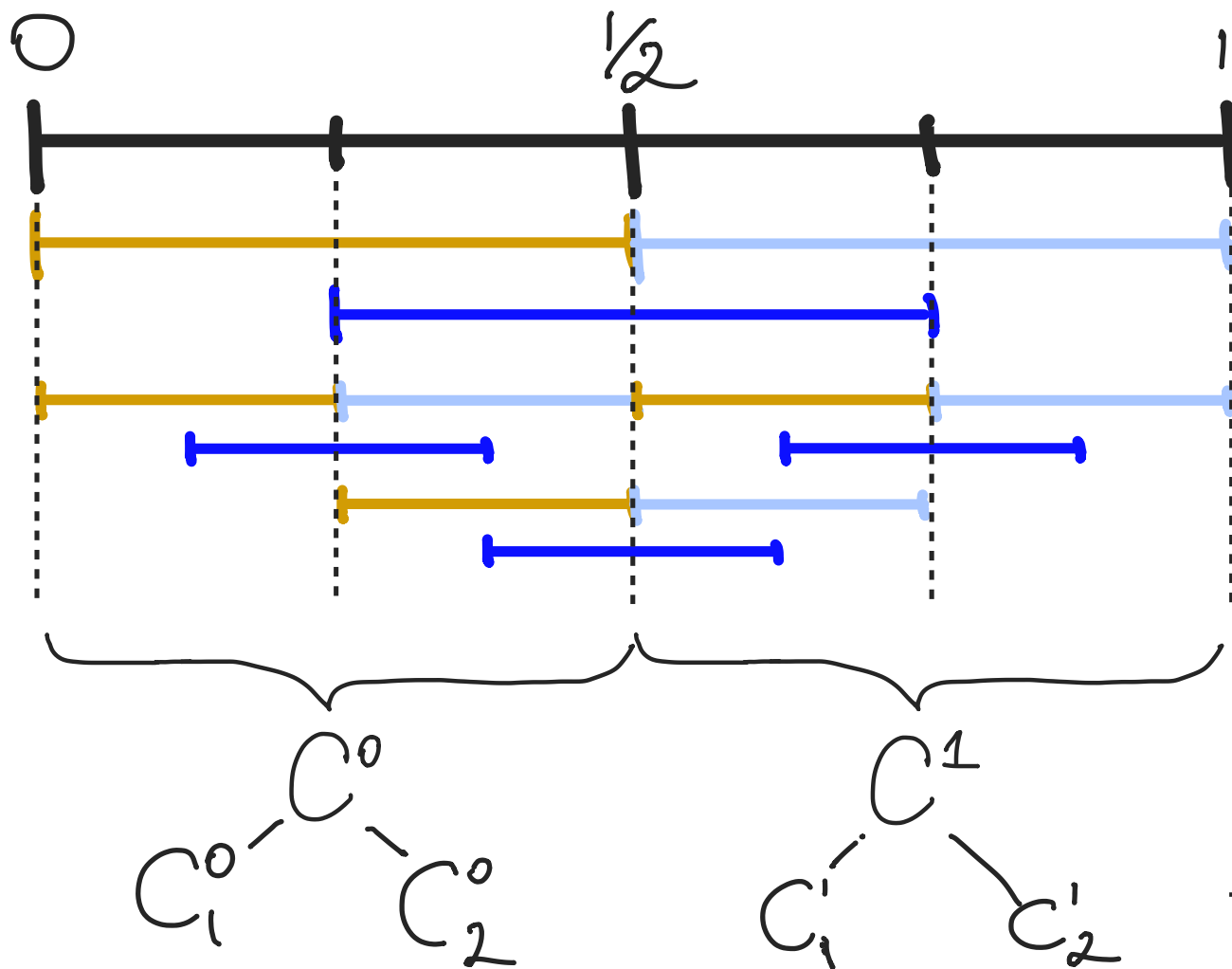
MATRICES CORRESPONDING THE DETERMINISTIC IFS (HEURISTIC)



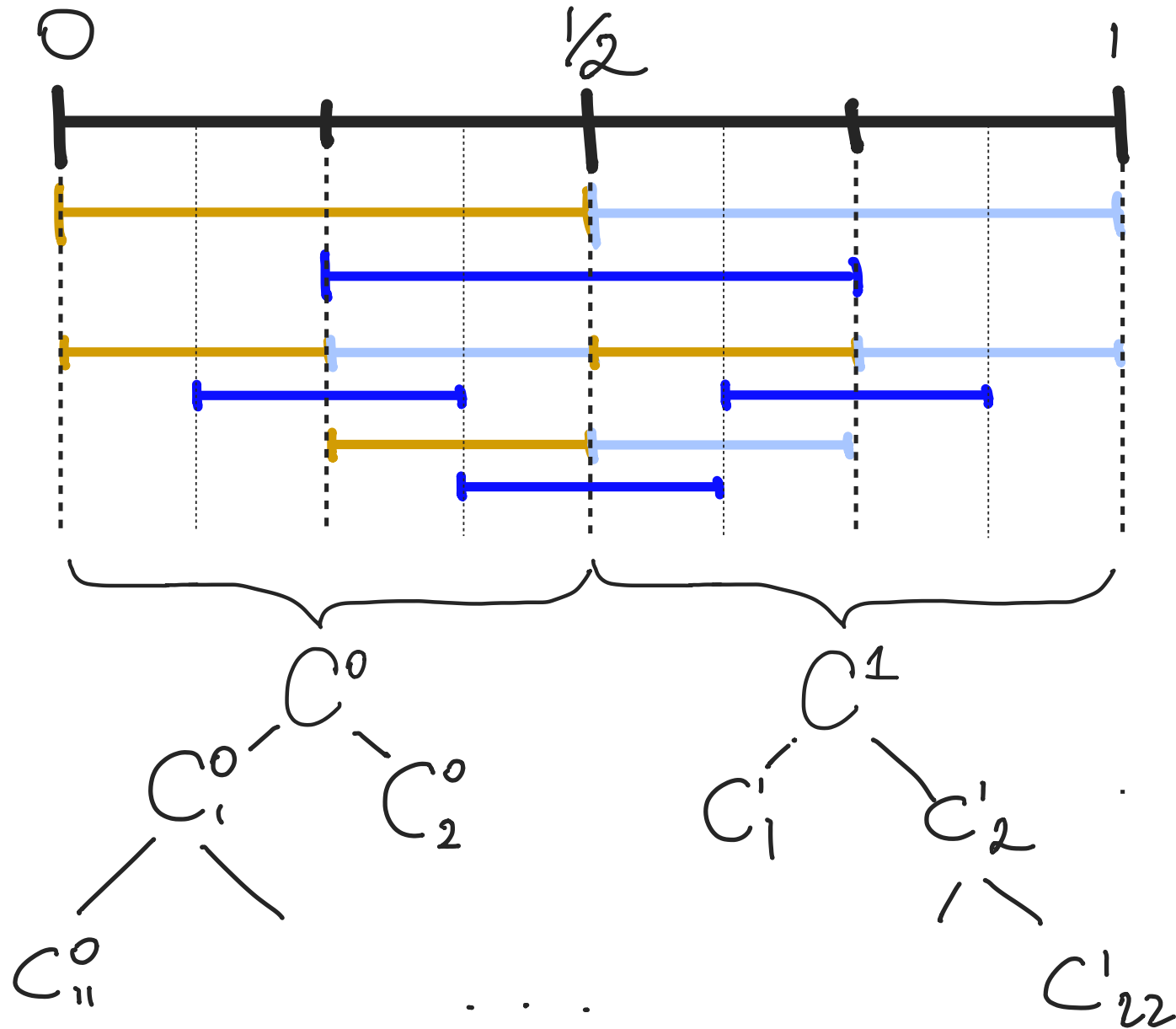
MATRICES CORRESPONDING THE DETERMINISTIC IFS (HEURISTIC)



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MATRICES CORRESPONDING THE DETERMINISTIC IFS (HEURISTIC)



MATRICES CORRESPONDING THE DETERMINISTIC IFS (HEURISTIC)

A_1, A_2 (2×2)
matrices.

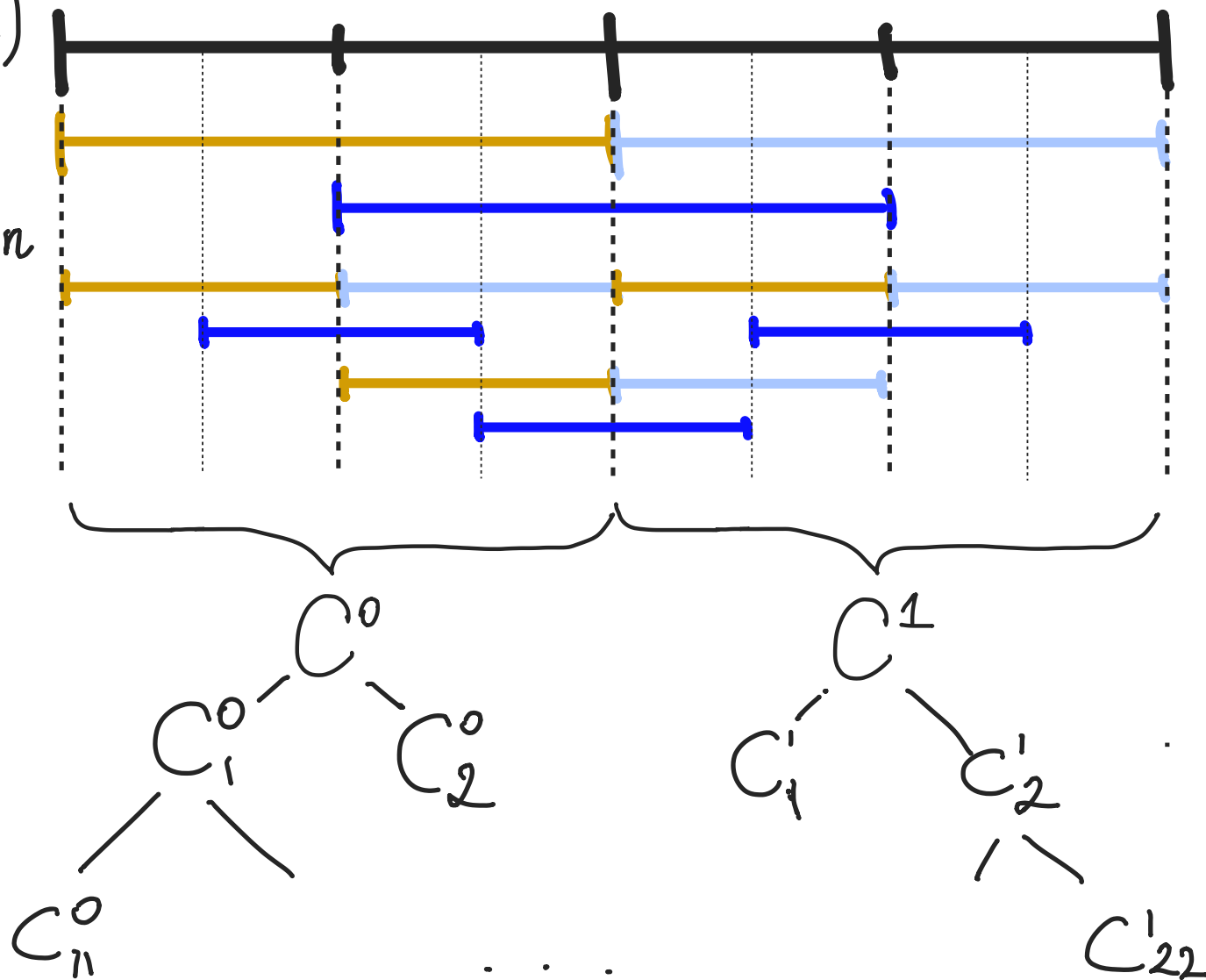
For $(i_1, \dots, i_n) \in \{0, 1\}^n$

$A_{i_1} \dots A_{i_n}$

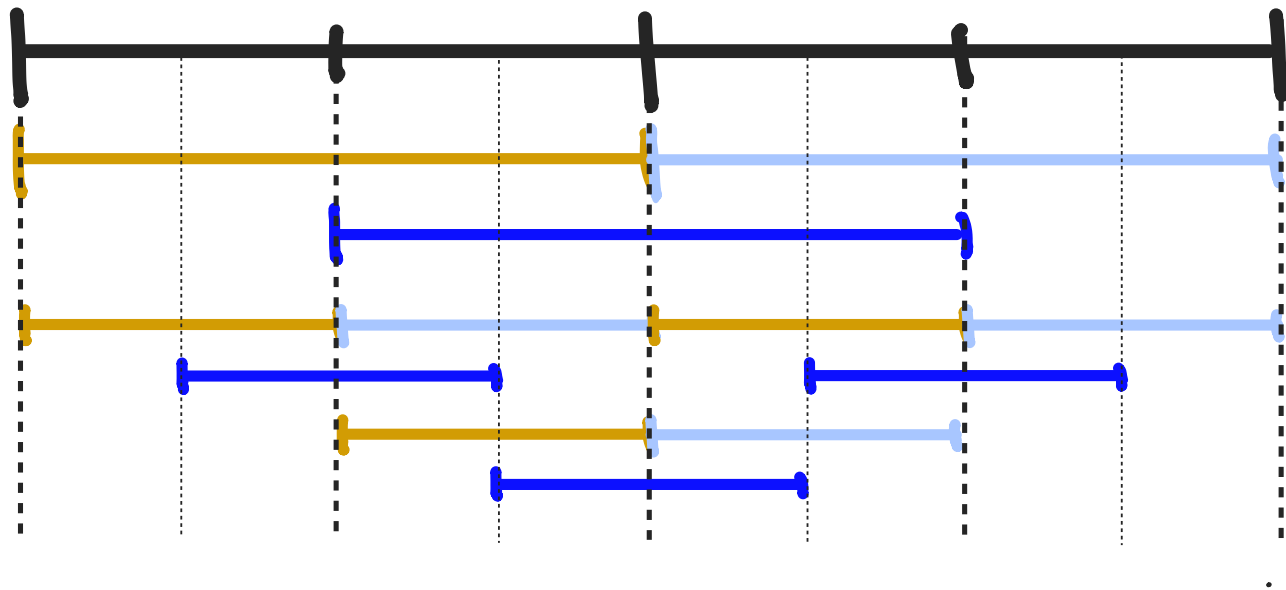
describes

$C_{i_1 \dots i_n}^j$

$j \in \{0, 1\}$



MATRICES CORRESPONDING THE DETERMINISTIC IFS (HEURISTIC)



REMARK: RATIONAL PROJECTION/
INTEGER IFS CONDITION IS
IMPORTANT

PRESSURE FUNCTION

$$\mathcal{A} = \{A_1, \dots, A_\ell\}; \quad \|A\| = \sum_i \sum_j |A_{ij}|; \quad [\mathcal{L}] = \{1, \dots, \ell\}$$

$$P(t) = \lim_{n \rightarrow \infty} \frac{1}{n} \log \sum_{(i_1, \dots, i_n) \in [\mathcal{L}]^n} \|A_{i_1 \dots i_n}\|^t$$

PRESSURE FUNCTION

$$\mathcal{A} = \{A_1, \dots, A_\ell\}; \quad \|\mathcal{A}\| = \sum_i \sum_j |A_{ij}|; \quad [\mathcal{L}] = \{1, \dots, \mathcal{L}\}$$

$$P(\mathcal{A}) = \lim_{n \rightarrow \infty} \frac{1}{n} \log \sum_{(i_1, \dots, i_n) \in [\mathcal{L}]^n} \|\mathcal{A}_{i_1 \dots i_n}\|^\tau$$

PROPERTIES OF \mathcal{A} :

- NON-NEGATIVE

- Fr: $\left(\sum_{i=1}^{\mathcal{L}} A_i\right)^\tau$ IS STRICTLY POSITIVE

PRESSURE FUNCTION

PROPERTIES OF $\mathcal{A} = \{A_1, \dots, A_L\}$:

- NON-NEGATIVE

- $\exists r: \left(\sum_{i=1}^L A_i\right)^r$ IS STRICTLY POSITIVE

$$P(t) = \lim_{n \rightarrow \infty} \frac{1}{n} \log \sum_{(i_1, \dots, i_n) \in \mathcal{L}^n} \|A_{i_1 \dots i_n}\|^t$$

FENG-LAU (2002), FENG

- THE LIMIT EXISTS FOR ALL $t \in \mathbb{R}$

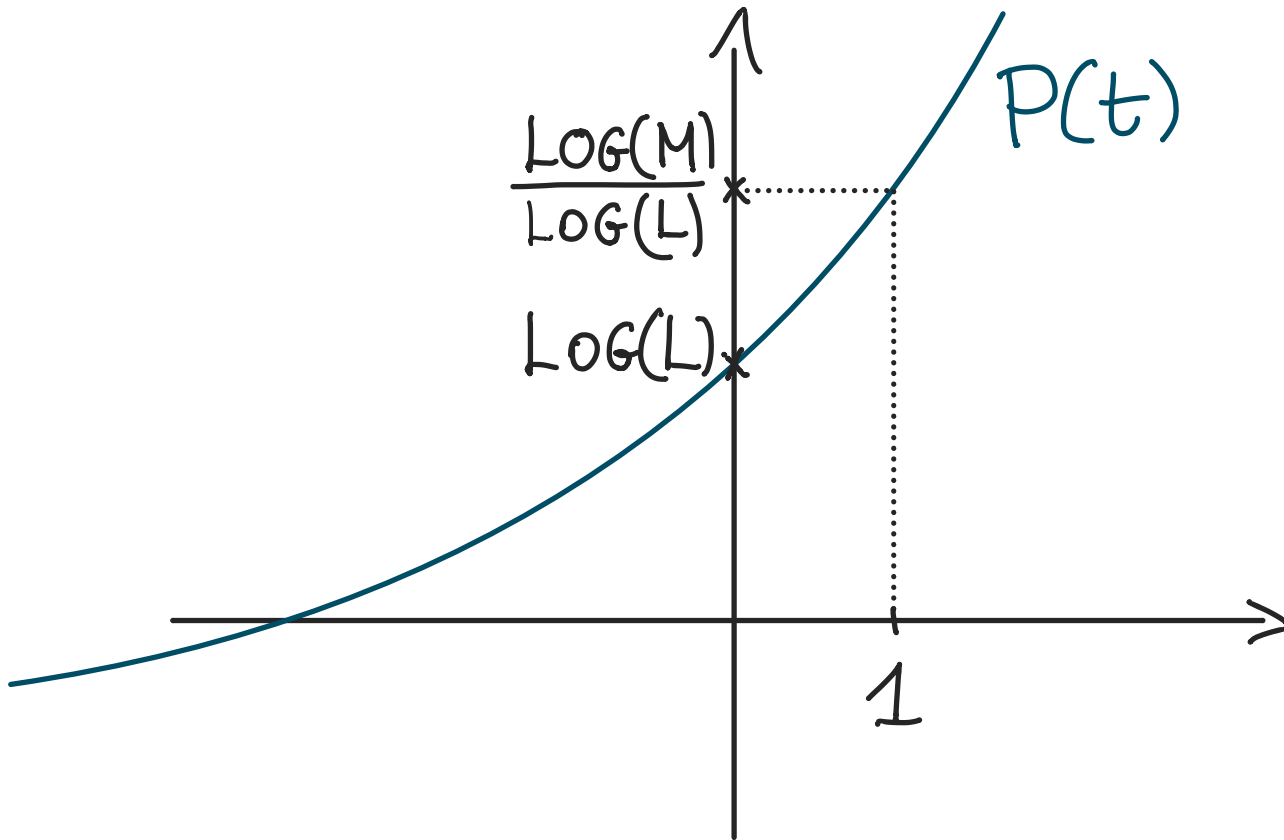
- CONVEX, CONTINUOUS, INCREASING

- DIFFERENTIABLE FOR $t > 0$.

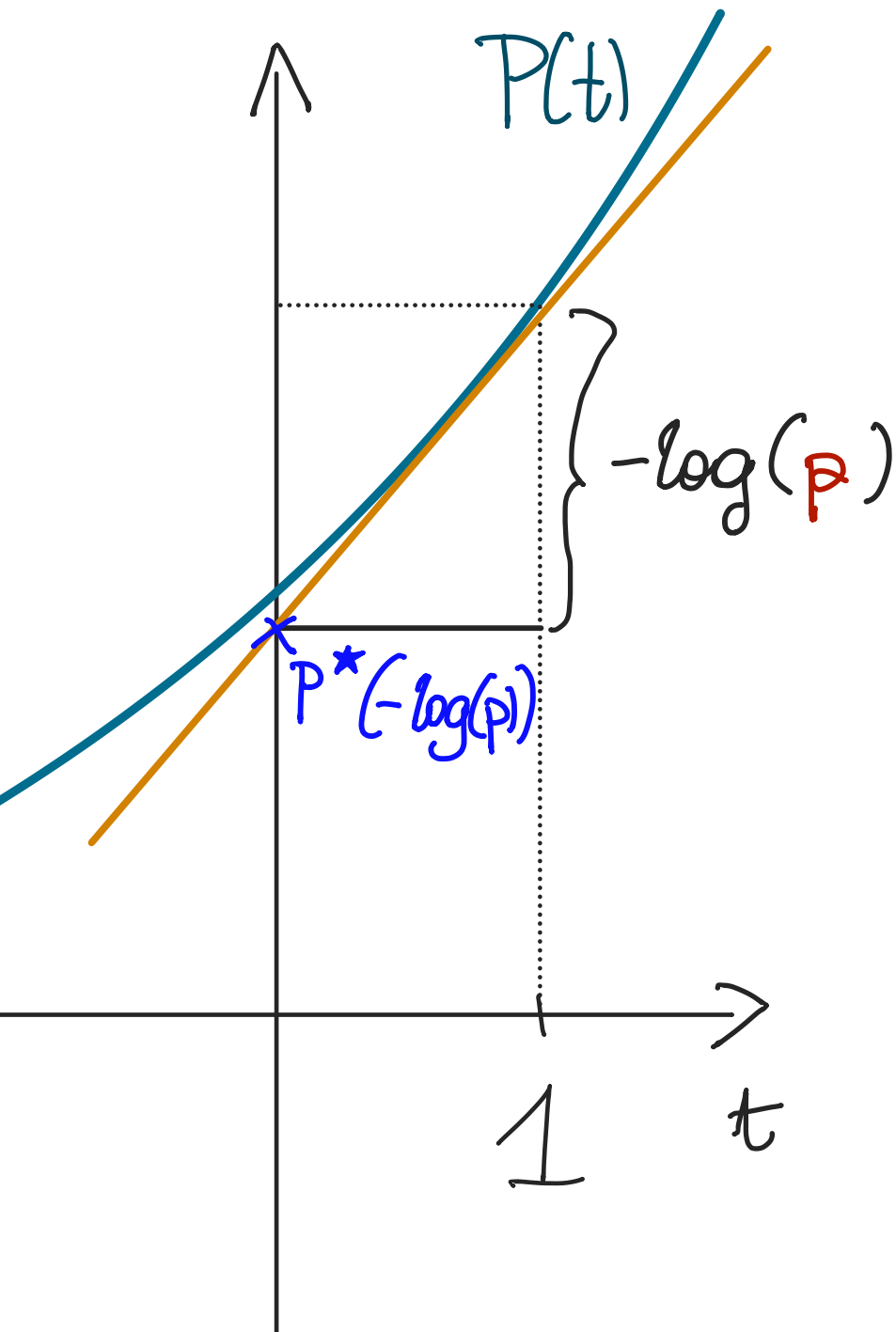
PRESSURE FUNCTION

$$P(t) = \lim_{n \rightarrow \infty} \frac{1}{n} \log \sum_{(i_1, \dots, i_n) \in \mathbb{Z}^n} \|A_{i_1 \dots i_n}\|^t$$

- THE LIMIT EXISTS FOR ALL $t \in \mathbb{R}$.
- CONVEX, CONTINUOUS, INCREASING.
- DIFFERENTIABLE FOR $t > 0$.



(A.S.) DIMENSION OF THE SET



$$P(t) = \lim_{n \rightarrow \infty} \frac{1}{n} \log \sum_{(i_1, \dots, i_n) \in \mathbb{E}^n} \|A_{i_1 \dots i_n}\|^t$$

$$\text{DIM} \Lambda(\mathbb{F}, P)$$

$$\leq \text{INF}_{t > 0} \{ t \cdot \text{LOG}(P) + P(t) \}$$

$$= P^*(-\text{LOG}(P)) \text{ A.S.}$$

POSITIVITY OF LEBESGUE MEASURE & NON-EXISTENCE OF INTERIOR POINTS

• FURTHER ASSUMPTIONS ON THE MATRICES $A = \{A_1, \dots, A_\ell\}$

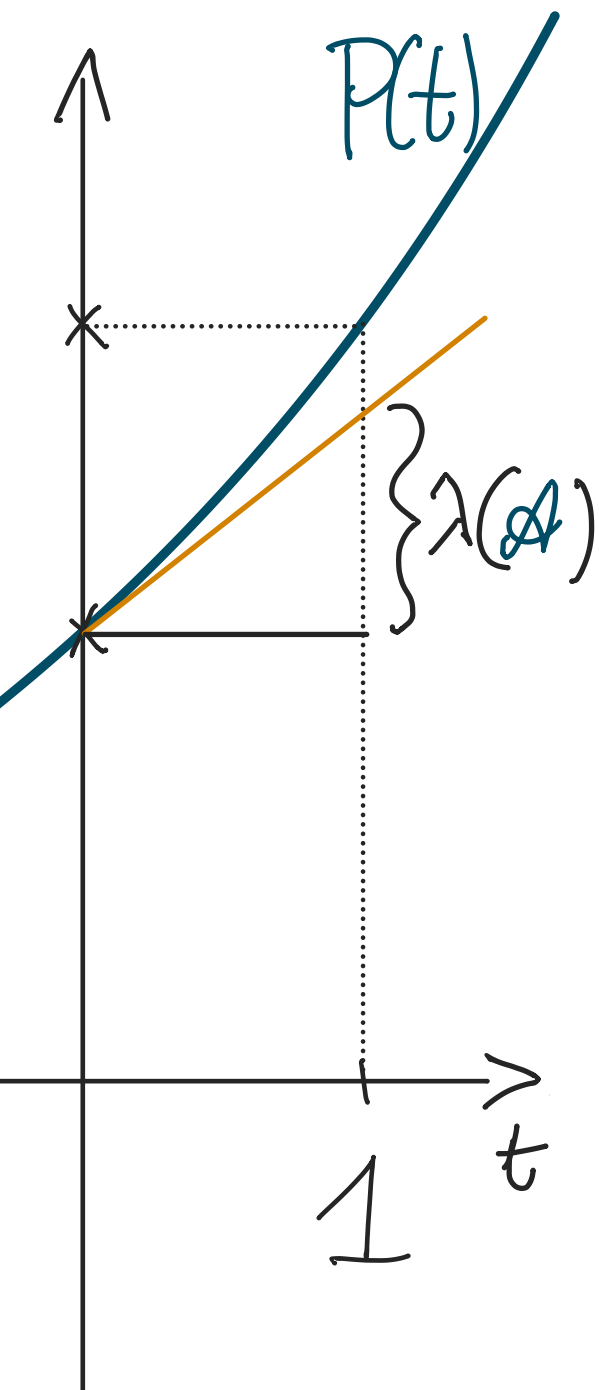
(1) $\exists i_1, \dots, i_n \in [\ell]^n$ $A_{i_1} \cdots A_{i_n}$ IS STRICTLY POSITIVE

(2) A_i IS ALLOWABLE $\forall i \in [\ell]$

↑

IN EVERY ROW & COLUMN IT CONTAINS A POSITIVE ELEMENT

POSITIVITY OF LEBESGUE MEASURE



LYAPUNOV - EXPONENT:

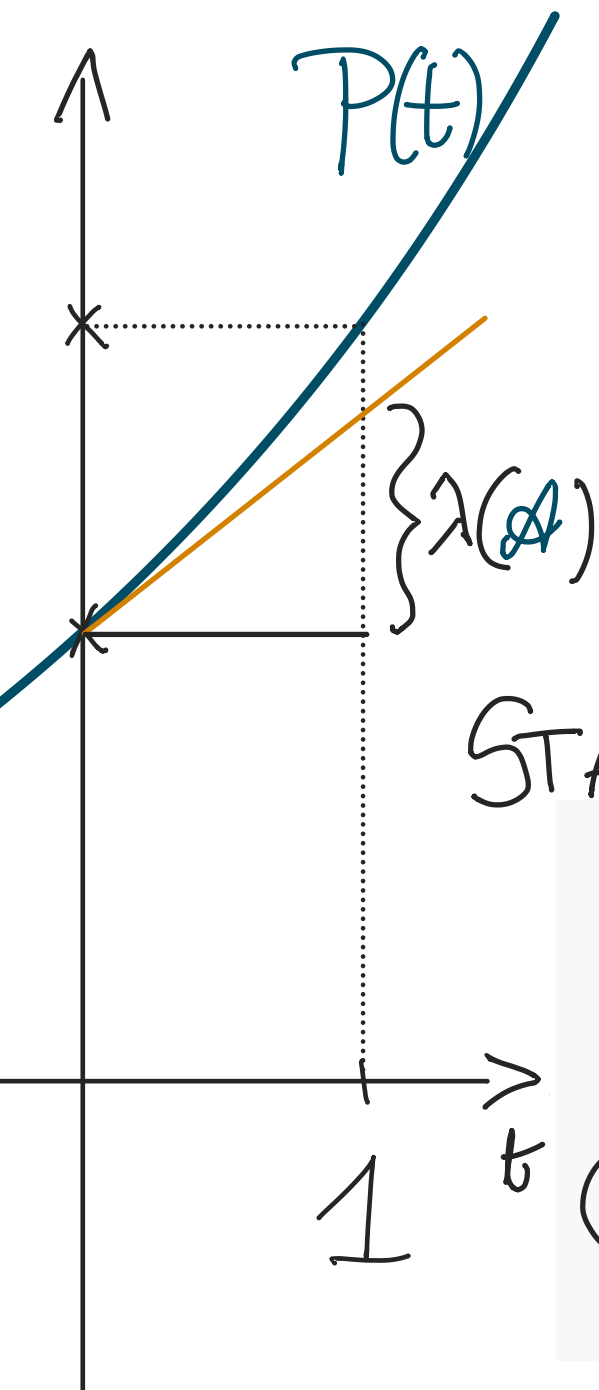
$$\mu = (\frac{1}{k_1} \dots \frac{1}{k_n})^N, \text{ for } \mu \text{ a.e. } i$$

$$\lambda(A) = \lambda(A, i) = \lim_{n \rightarrow \infty} \frac{1}{n} \log(\|A_{i_1 \dots i_n}\|)$$

BARA'NY, RAMS II

$$\lim_{t \rightarrow 0^+} P'(t)$$

POSITIVITY OF LEBESGUE MEASURE



LYAPUNOV - EXPONENT:

$$\mu = (\frac{1}{k_1}, \dots, \frac{1}{k_n})^N, \text{ for } \mu \text{ a.e. } i$$

$$\lambda(A) = \lambda(A, i) = \lim_{n \rightarrow \infty} \frac{1}{n} \log(\|A_{i_1 \dots i_n}\|)$$

BARA'NY, RAMS II

STATEMENT (K.S., V.O.):

$$\lim_{t \rightarrow 0^+} P'(t)$$

$$(A) \log(p) + \lambda(A) > 0 \Rightarrow \text{Leb}(\Lambda(\mathcal{F}, p)) > 0$$

A.S. ON NON-EXTINCTION

$$(B) \log(p) + \lambda(A) < 0 \Rightarrow \text{Leb}(\Lambda(\mathcal{F}, p)) = 0$$

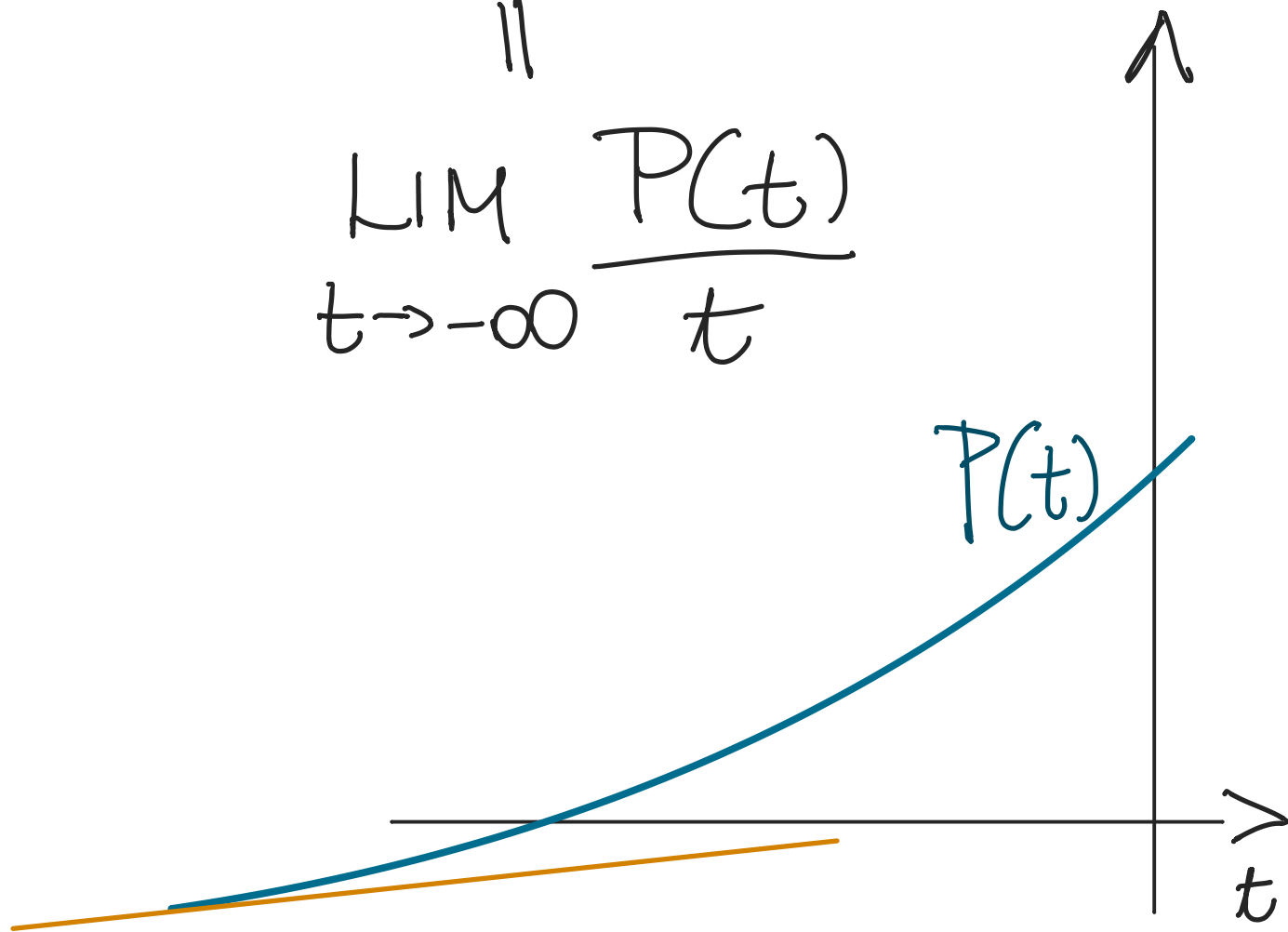
A.S.

NON-EXISTENCE OF INTERIOR POINTS

LOWER SPECTRAL RADIUS

$$\rho(A) = \lim_{n \rightarrow \infty} \min \left\{ \frac{1}{n} \log \|A_{i_1 \dots i_n}\|, i_1, \dots, i_n \in \{1, \dots, n\} \right\}$$

$$\parallel$$
$$\lim_{t \rightarrow -\infty} \frac{P(t)}{t}$$



NON-EXISTENCE OF INTERIOR POINTS

LOWER SPECTRAL RADIUS

$$\underline{\sigma}(A) = \lim_{n \rightarrow \infty} \min \left\{ \frac{1}{n} \log \|A_{i_1 \dots i_n}\|, i_1, \dots, i_n \in \{1, \dots, n\} \right\}$$

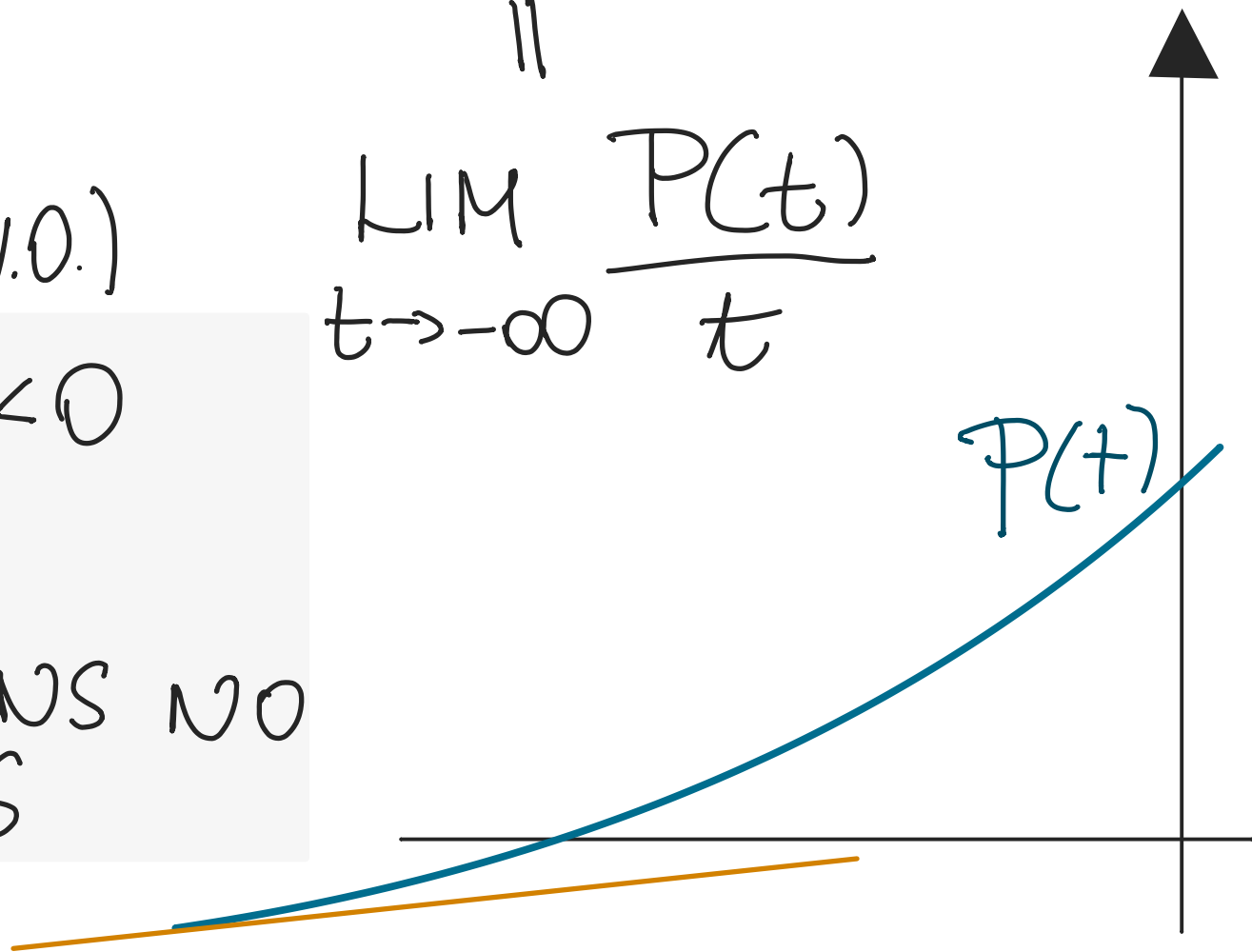
STATEMENT (K.S., V.O.)

$$\log(\rho) + \underline{\sigma}(A) < 0$$



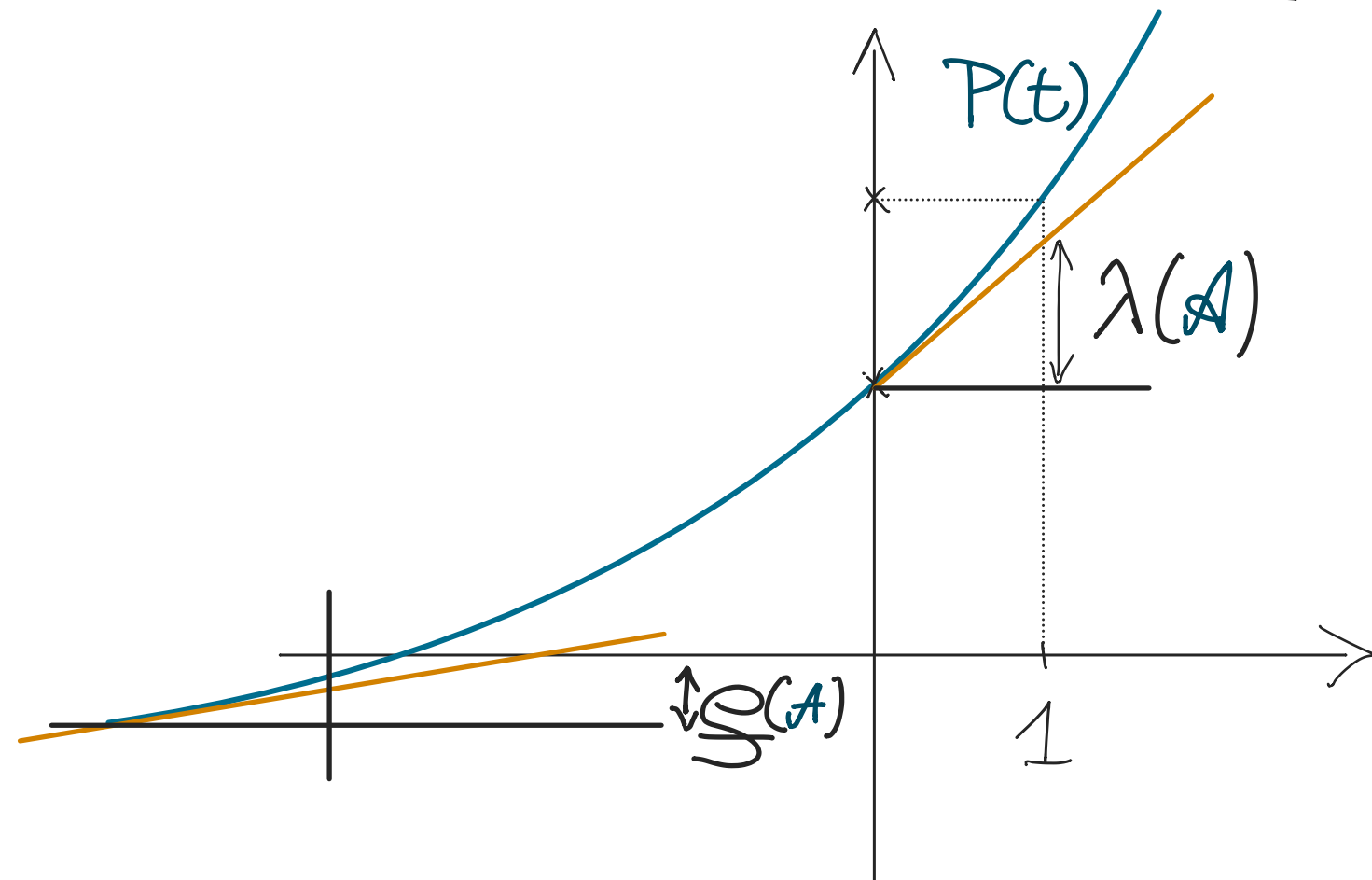
$\Lambda(F, \rho)$ CONTAINS NO
INTERVALS A.S

$$\lim_{t \rightarrow -\infty} \frac{P(t)}{t}$$

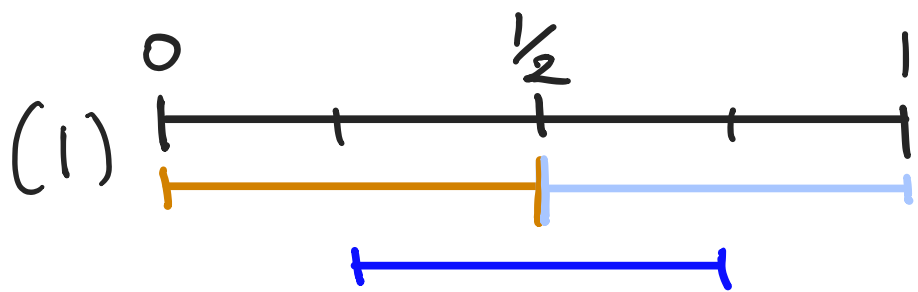


CONCLUSION & FURTHER QUESTIONS

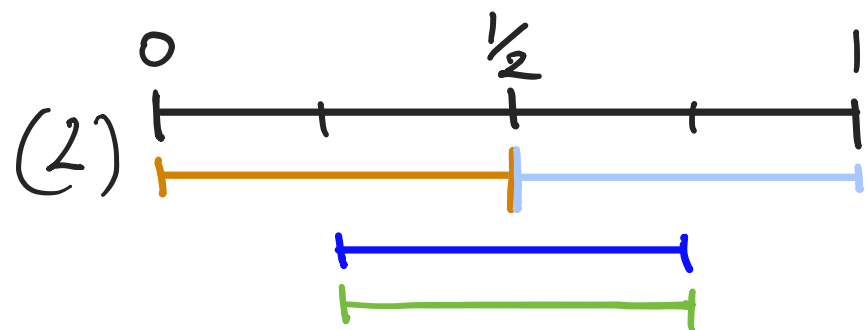
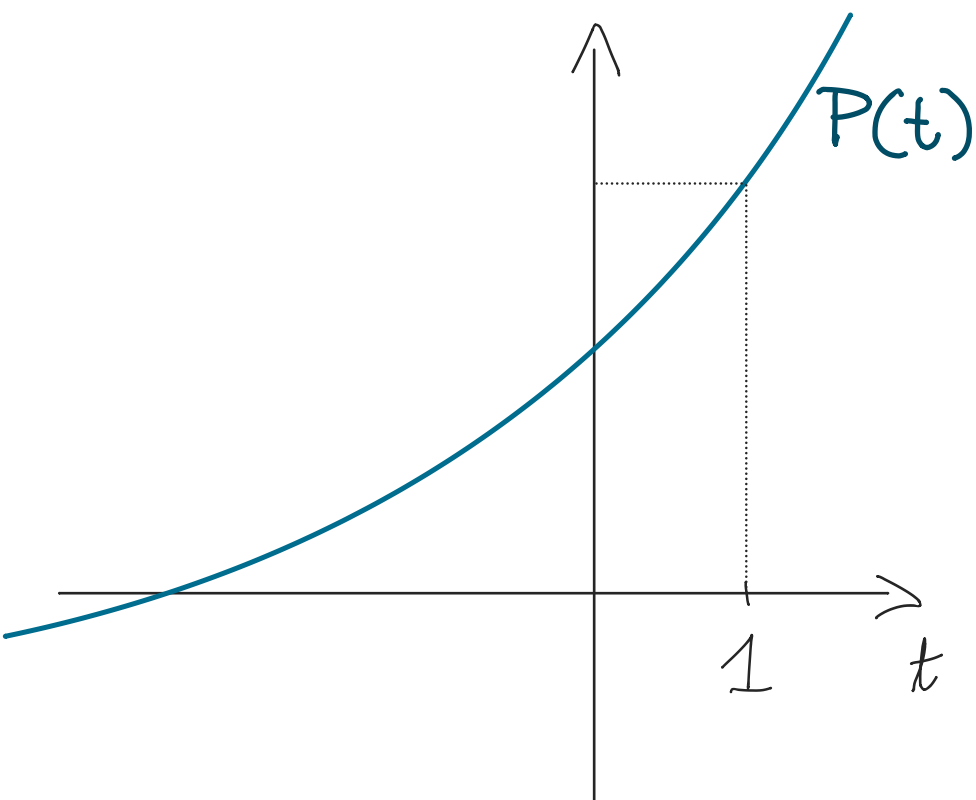
- Q: UNDER WHAT CONDITIONS CAN WE GUARANTEE THAT $\text{Leb}(\bigcap(\mathcal{F}_i)_p) > 0$ A.S. ON NON-EXTINCTION BUT $\text{INT}(\bigcap(\mathcal{W}_i)_p) = \emptyset$ A.S.?



EXAMPLES REVISITED



$$0 = \mathcal{S}(A) < \lambda(A)$$



$$\mathcal{S}(A) = \lambda(A) = \text{LOG}(L/M)$$

