

# ERGODICITY OF TWO HARD BALLS IN INTEGRABLE POLYGONS

PÉTER BÁLINT AND SERGE TROUBETZKOY

ABSTRACT. We prove the hyperbolicity, ergodicity and thus the Bernoulli property of two hard balls in one of the following four polygons: the square, the equilateral triangle, the  $45 - 45 - 90^\circ$  triangle or the  $30 - 60 - 90^\circ$  triangle.

## 1. INTRODUCTION

In the past thirty years there has been much research on the Sinai-Boltzmann ergodic hypothesis: *the motion of an arbitrary number of elastic hard balls on the  $\nu$ -dimensional torus ( $\nu \geq 2$ ) is ergodic* [Sz4]. Recent results by Simányi prove this hypothesis for almost all geometric parameters (i.e. masses) [S4, S5]. However there is only one article on physically more realistic containers, in [S3] Simányi has proven the ergodicity of two balls in the  $\nu$ -dimensional cube ( $\nu \geq 2$ ). In this article we consider the two dimensional case and extend this result to several other containers. Let  $P$  be a square, an equilateral triangle, a  $45 - 45 - 90^\circ$  triangle or a  $30 - 60 - 90^\circ$  triangle. Such polygons are called *integrable* since the billiard motion of an elastic point particle reduces to an integrable system, the linear flow on a flat torus [MT]. We consider the billiard system of two hard balls with unit mass and equal radius  $r$  moving uniformly in  $P$  and colliding elastically with each other and at the boundary  $\partial P$  of  $P$ . Our main result is that for  $r$  sufficiently small (such that the phase space is connected) this billiard system is hyperbolic (Corollary 4.12) and ergodic (Theorem 4.17). By standard argumentation, the system is then also K-mixing and Bernoulli (Remark 4.18).

We would like to emphasize that many of the arguments in this article work for any rational polygon (i.e. when all angles are rational multiples of  $180^\circ$ ). More precisely, the proof consists of two parts. In the first part we study the hyperbolicity of finite orbit segments by considering the evolution in the polygon directly. In the second part a canonical lift to a cylindric billiard on  $\mathbb{T}^4$  is constructed. We can construct such a lift in the general case, however, the manifold that appears in place of  $\mathbb{T}^4$  has a more complicated structure: it is the product of two copies of a higher genus flat surface with singularities. The analysis of certain issues related to this lift is the only point where additional ideas are needed for the case of other rational polygons, on further details see Subsection 5.1.

We have specialized to the two dimensional case for clarity of exposition, however our method allows to prove the ergodicity of the two ball system in

$n + 2$ -dimensional right prisms of the form  $P \times [0, 1]^n$ , where  $P$  is one of the above polygons (see Subsection 5.2). A trivial application of our method also allows to prove the hyperbolicity and ergodicity of one or two balls of a specific radius in some other tables, including  $C^\infty$  convex examples and even fractal ones (see Subsection 5.3).

The group  $G$  of symmetries generated by reflections in the sides of the polygon  $P$  plays an important role. For the square this group has the following special properties which do not hold for the other polygons considered: 1)  $G$  is commutative, 2) each  $g \in G$  is an idempote and 3) any two invariant sets are orthogonal. Note that for groups generated by reflections these three properties are equivalent. The structure of  $G$  is reflected in the fact that in the case of the square the upstairs dynamical system is an orthogonal cylindrical billiard in the sense of [Sz2], cf. Remark 4.7. Furthermore, unlike Simányi, we do not use the ergodicity of the two ball system on  $\mathbb{T}^2$  [S3]. We are not aware of any reasonable notion of center of mass for higher genus surfaces [G2], thus we feel that our proof is a better starting point for the analysis of the higher genus case.

The structure of the article is as follows. In Section 2 we give the basic definitions and background material on the phase space, dimension theory, semi-dispersing and cylindrical billiards. In Section 3 we collect all the geometric arguments which can be presented in terms of the two ball system. In particular we define the important notions of long/short symbolic collision sequence and richness. In Section 4 the lift is defined and analyzed. Furthermore this section includes all the dynamical/topological arguments and finally it contains the proof of the main theorem.

## 2. BASIC DEFINITIONS

In what follows we summarize the prerequisites from the theory of semi-dispersing billiards needed to follow the article. Any reader familiar with the field may skip all of Section 2.

**2.1. Phase space.** A billiard is a dynamical system describing the motion of a point particle in a connected, compact domain  $Q \subset \mathbb{R}^d$  or  $Q \subset \mathbb{T}^d = \mathbb{R}^d/\mathcal{L}$ ,  $d \geq 2$ , with a piecewise  $C^2$ -smooth boundary. Here  $\mathcal{L} \subset \mathbb{R}^d$  is a lattice, i.e. a discrete subgroup of the additive group  $\mathbb{R}^d$  with  $\text{rank}(\mathcal{L}) = d$ . For the billiards considered in this paper  $\mathcal{L}$  will always be a product of several copies of two lattices: the hexagonal lattice in  $\mathbb{R}^2$  and  $\mathbb{Z}$  in  $\mathbb{R}$ .

Inside  $Q$  the motion is uniform, whereas the reflection at the boundary  $\partial Q$  is elastic (the angle of incidence equals the angle of reflection). When a ball reaches a corner the collision law may not be well defined, finitely many outgoing velocities and, correspondingly, finitely many trajectory branches appear (see Subsection 2.3 on multiple reflection points, i.e. on corner points). Since the absolute value of the velocity is a first integral of the motion, the phase space of a billiard can be identified with the unit tangent bundle over  $Q$ . Namely,  $M = Q \times \mathbb{S}^{d-1}$ , where  $\mathbb{S}^{d-1}$  is the unit

$d - 1$ -sphere. In other words, every phase point  $x$  is of the form  $(q, v)$  where  $q \in Q$  and  $v \in \mathbb{S}^{d-1}$  is a tangent vector at the footpoint  $q$ . The billiard flow will be denoted by  $\Phi_t : M \rightarrow M$ . There is a natural invariant probability measure, called the Liouville measure, which we denote by  $\mu$ . It satisfies  $d\mu = \text{const. } dq dv$ .

A special case is the billiard system of two balls of equal masses in a polygon  $P$ . Let  $q := (q_1, q_2) \in P \times P$  be the position of the two balls,  $v := (v_1, v_2) \in \mathbb{S}^3 (= \mathbb{R}^2 \times \mathbb{R}^2 \text{ such that } |v_1|^2 + |v_2|^2 = 1)$  be their velocities, and  $R = 2r$  is twice the (common) radius of the two balls. Then the phase space  $M$  is  $\{(q, v) : q \in P^2, |q_1 - q_2| \geq R, v \in \mathbb{S}^3\}$ , with velocities just before and after collisions identified.

Suppose the angles of  $P$  are  $m_i/n_i 180^\circ$  where  $m_i$  and  $n_i$  are coprime integers. Let  $G = G(P)$  be the dihedral group generated by the reflections in the lines through the origin which meet at angles  $\pi/N$  where  $N$  is the least common multiple of the denominators  $n_i$ . This group plays an important role in the theory of rational polygonal billiards, on further details see [MT] and Subsection 4.1.

In the case of two balls the group  $G \times G$  contains the information about the effect of the ball-to-wall collisions on the velocities, a reflection or rotation. More precisely let  $t_i$  be the moments of ball-to ball collisions on a trajectory segment and furthermore, let  $t_{i-}$  and  $t_{i+}$  be non-collision time moments directly just before and after the given ball-to-ball collisions, respectively. Then

$$(2.1) \quad v_k(t_{(i+1)-}) = g_i^{(k)} v_k(t_{i+}) \text{ for } k = 1, 2$$

for some  $(g_i^{(1)}, g_i^{(2)}) \in G \times G$ , depending on the ball-to-wall collisions the two balls have before meeting again.

**2.2. Dimension theory.** We recall some notions from topological dimension theory, to be used later in Section 4. For a broader exposition see [Sz3] and references therein. Different notions of topological dimension coincide for separable metric spaces and, in particular, for compact differentiable manifolds ([Sz3, S3]). Let  $\dim A$  be the notation for any of these for  $A \subset \mathbf{M}$  where  $\mathbf{M}$  is a compact differentiable manifold. Actually, this article uses only the concept of one and two codimensional sets, always characterized by means of the two lemmas below.

**Lemma 2.1.** *For any subset  $A \subset \mathbf{M}$  the condition  $\dim A \leq \dim \mathbf{M} - 1$  is equivalent to  $\text{int} A = \emptyset$ . (See Property 3.3 of [Sz3] or Lemma 2.10 of [S3].)*

**Lemma 2.2.** *For any closed subset  $A \subset \mathbf{M}$  the following three conditions are equivalent:*

- (1)  $\dim A \leq \dim \mathbf{M} - 2$ ;
- (2)  $\text{int} A = \emptyset$  and for every open and connected set  $G \subset \mathbf{M}$  the difference set  $G \setminus A$  is also connected;
- (3)  $\text{int} A = \emptyset$  and for every point  $x \in \mathbf{M}$  and for any neighborhood  $V$  of  $x$  in  $\mathbf{M}$  there exists a smaller neighborhood  $W \subset V$  of the point  $x$

such that, for every pair of points  $y, z \in W \setminus A$ , there is a continuous curve  $\gamma$  in the set  $V \setminus A$  connecting the points  $y$  and  $z$ .

(cf. Property 3.4 in [Sz3] or Lemma 2.9 in [S3].)

A useful notion of “small” set in the dynamical context is the following, mainly because of the property just below the definition.

**Definition 2.3.** A subset  $A$  of  $\mathbf{M}$  is called **slim** if  $A$  can be covered by a countable family of codimension-two (i.e. at least two), closed sets of  $\mu$ -measure zero, where  $\mu$  is some smooth measure on  $\mathbf{M}$ .

**Lemma 2.4.** If  $\mathbf{M}$  is connected, then the complement  $\mathbf{M} \setminus A$  of a slim set  $A \subset \mathbf{M}$  necessarily contains an arcwise connected,  $G_\delta$  set of full measure. (cf. Property 3.6 in [Sz3].)

We state furthermore two Lemmas on the “additivity of codimensions”.

**Lemma 2.5.** Suppose  $M_1$  is a one-codimensional submanifold of  $M$  and  $A \subset M_1$  is closed and has empty interior in  $M_1$ . Then  $A$  has codimension 2.

**Proof.** This Lemma is a special case of Lemma 3.13 from [S1] part I.  $\square$

**Lemma 2.6.** If  $A \subset M_1 \times M_2$  is a closed subset of the product of two manifolds, and for every  $x \in M_1$  the set

$$A_x = \{y \in M_2 : (x, y) \in A\}$$

is slim in  $M_2$ , then  $A$  is slim in  $M_1 \times M_2$ . (cf. Property 3.7 from [Sz3].)

**2.3. Semi-dispersing billiards.** A billiard (on billiards in general, see subsection 2.1) is called semi-dispersing if any smooth component of the boundary  $\partial Q$  is convex as seen from the outside of  $Q$ . Semi-dispersing billiards are typically hyperbolic systems with singularities. We only give a short discussion of these phenomena, for a detailed exposition see [BCST] or [KSSz2]. All our arguments on semi-dispersing billiards are self contained.

There are two possible types of **singularities** for billiards. A collision at the boundary point  $(q, v) \in \partial M$  is said to be *multiple* if at least two smooth pieces of the boundary  $\partial Q$  meet at  $q$ , and is *tangential* if the velocity  $v$  is tangential to  $\partial Q$  at  $q$ . At tangential reflection points the flow is continuous, though not smooth, while at multiple reflection points it is not even continuous. Thus the future semitrajectory (or the outgoing velocity) is not well-defined for a multiple reflection point – for such points two *trajectory branches* can be considered as the limits of the smooth dynamics. We shall denote the set of all singular reflection points (belonging to any of the above two types, in case of multiple collision supplied with outgoing velocity  $v^+$ ) by  $S^+$ .

We introduce some more notation. Phase points with at most one singular reflection on their entire trajectory will be referred to as  $M^*$ . Those for

which the entire orbit avoids  $S^+$  are often called **regular**. This set is denoted by  $M^0$  while  $M^1 = M^* \setminus M^0$  contains points with exactly one singular reflection on their orbit. We recall the following crucial facts:

**Lemma 2.7.** *The set  $M \setminus M^0$  is a countable union of manifolds of codimension at least one, thus it has zero  $\mu$ -measure.  $M \setminus M^*$  is a countable union of manifolds of codimension at least two, thus it is slim. (cf. Lemma 2.11 from [S3].)*

Let  $x = (q, v) \in M \setminus \partial M$  and consider a  $C^2$ -smooth codimension 1 submanifold  $W' \subset Q \setminus \partial Q$  such that  $q \in W'$  and  $v = v(q)$  is the normal vector to  $W'$  at  $q$ . We define  $W$ , a section of the unit tangent bundle on  $Q$  restricted to  $W'$ , by picking the unit normal vector for any point of  $W'$ . The section  $W$  is called a **local orthogonal manifold** or simply a **front**. A front is said to be (strictly) convex whenever its second fundamental form  $B_{W'}(y)$  is positive semi-definite (positive definite) in every point  $y \in W'$ . The definition of (strictly) concave fronts is analogous.

To arrive at sufficient phase points we first define the neutral subspace for a *non-singular* trajectory segment  $\Phi^{[a,b]}x$ . Suppose that  $a$  and  $b$  are *not moments of collision*.

We will call a point  $x \in M$  **hyperbolic** if it has exactly one zero Lyapunov exponent (i.e. the flow direction). For almost all hyperbolic points unique local stable (unstable) manifolds of positive inner radius exist, these are strictly concave (convex) fronts [KSSz2].

**Definition 2.8.** *The neutral space  $\mathcal{N}_0(\Phi^{[a,b]}x)$  of the trajectory segment  $\Phi^{[a,b]}x$  at time zero ( $a < 0 < b$ ) is defined by the following formula:*

$$\begin{aligned} \mathcal{N}_0(\Phi^{[a,b]}x) := \{ w \in \mathbb{R}^d : \exists(\delta > 0) s.t. \forall \alpha \in (-\delta, \delta) \\ v\left(\Phi^a(q(x) + \alpha w, v(x))\right) = v(\Phi^a x) \ \& \\ v\left(\Phi^b(q(x) + \alpha w, v(x))\right) = v(\Phi^b x) \}. \end{aligned}$$

Observe that  $v(x) \in \mathcal{N}_0(\Phi^{[a,b]}x)$  is always true, the neutral subspace is at least 1 dimensional. Neutral subspaces at time moments different from 0 are defined by  $\mathcal{N}_t(\Phi^{[a,b]}x) := \mathcal{N}_0(\Phi^{[a-t, b-t]}(\Phi^t x))$ , thus they are naturally isomorphic to the one at 0. Having the trajectory segment fixed we often use this isomorphism to omit subscripts and refer to the neutral subspace simply as  $\mathcal{N}$ .

**Definition 2.9.** *The non-singular trajectory segment  $\Phi^{[a,b]}x$  is **sufficient** if for some (and thus for any)  $t \in [a, b]$ :  $\dim(\mathcal{N}_t(\Phi^{[a,b]}x)) = 1$ . A regular phase point  $x$  is said to be sufficient if its entire trajectory  $\Phi^{(-\infty, \infty)}x$  contains a finite sufficient segment.*

Singular points are treated by the help of trajectory branches (see above): a point  $x \in M^1$  is *sufficient* if both of its trajectory branches are sufficient.

**Theorem 2.10. (Local Hyperbolicity Theorem, [SiC].)** *Every sufficient phase point  $x \in M^0$  has an open neighborhood  $x \in U \subset M$ , such that  $\mu$  a.e.  $y \in U$  is hyperbolic.*

The even more important local ergodicity theorem dates back to the articles [SiC] and [KSSz2]. For a detailed discussion we refer to [BCST]. Below two conditions are given under which the theorem can be proved as shown in [BCST].

**Condition 2.11.** The semi-dispersing billiard is algebraic *i.e.*  $\partial Q$  is a finite union of (at least) one-codimensional submanifolds defined by some algebraic equations (see [BCST]).

For the second condition one more notation is introduced. Let us denote by  $m_{S^+}$  the induced Riemannian measure on the set of singular reflections  $S^+$ .

**Condition 2.12. (Chernov-Sinai Ansatz.)** *For  $m_{S^+}$ -almost every point  $x \in S^+$  we have  $x \in M^*$  and, moreover, the positive semitrajectory of the point  $x$  is sufficient.*

The following local ergodicity theorem is the combination of three theorems, Theorem 5.13, Theorem 5.7 and Theorem 4.4, in [BCST].

**Theorem 2.13. (Local Ergodicity Theorem or Fundamental Theorem of Semi-Dispersing Billiards.)** *Consider a semi-dispersing billiard which is algebraic and satisfies the Chernov-Sinai Ansatz. Then every sufficient phase point  $x \in M^*$  has an open neighborhood  $x \in U \subset M$  which belongs to one ergodic component.*

**Cylindric billiards**, originally introduced in [Sz1], make an important subclass of semi-dispersing ones. In their setting the configuration space is defined by cutting out a finite number of cylindric regions from the  $d$ -dimensional unit torus, *i.e.*  $Q = \mathbb{T}^d \setminus (C_1 \cup \dots \cup C_k)$  where  $\mathbb{T}^d = \mathbb{R}^d / \mathcal{L}$ . For the precise definition of the cylinders we need three data for each  $C_i$ . We fix  $A_i$ , a subspace of the  $d$ -dimensional Euclidean space  $\mathbb{R}^d$ , the **generator subspace** of the cylinder. The subspace  $A_i$  should be a lattice-subspace (*i.e.* the discrete intersection  $A_i \cap \mathcal{L}$  has rank equal to  $\dim A_i$ , cf. [SSz, S2]) to get a properly defined cylinder on  $\mathbb{T}^d$  after factorization. We assume  $\dim(L_i) \geq 2$ , where  $L_i = A_i^\perp$  is the notation for the **base subspace**, the orthogonal complement of  $A_i$ . The *base*,  $B_i \subset L_i$  is a convex, compact domain, for which the  $C^2$ -smooth boundary  $\partial B_i$  is assumed: (i) to have everywhere positive definite second fundamental form (to ensure semi-dispersivity), and (ii) to be a one-codimensional algebraic subset of  $L_i$  (to ensure algebraicity of the billiard, *i.e.* the validity of Condition 2.11). Furthermore a translational vector  $t_i \in \mathbb{R}^d$  is given to place our cylinder in  $\mathbb{T}^d$ . By the help of these data our cylinders are defined as:

$$(2.2) \quad C_i := \{a + l + t_i : a \in A_i, l \in B_i\} / \mathcal{L}.$$

The most important conjecture related to cylindrical billiards is the following one (see [Sz1] and [SSz] for the first formulations).

**Remark 2.14. (Transitivity conjecture.)** *The cylindrical billiard is hyperbolic and ergodic if and only if the system of base subspaces  $L_1, \dots, L_k$  has the Orthogonal Non-Splitting Property. That is there is no orthogonal splitting  $\mathbb{R}^d = K_1 \oplus K_2$  for which  $\dim(K_j) > 0$  ( $j = 1, 2$ ) and which has the property that for any  $i = 1, \dots, k$  either  $L_i \subset K_1$  or  $L_i \subset K_2$ .*

The name for the conjecture is related to the fact that the condition of orthogonal non-splitting can be equivalently stated in terms of the transitivity of a certain group action. Strongest results related to it were published [S2]. More details on cylindrical billiards can be found in [S2, SSz, B].

Before closing the section we recall two theorems from the survey [Sz3]. For both of them we are given a flow  $(X, \varphi^t)$  with invariant measure  $m$  and a set of time moments  $H$ . Given  $B \subset X$  the notation  $A_H(B)$  refers to the set

$$\{ x \in X \mid \varphi^t(x) \cap B = \emptyset \ \forall t \in H \}.$$

**Theorem 2.15. (Weak ball avoiding theorem.)** *Assume that the flow  $\varphi^t$  is mixing,  $\sup H = +\infty$  and  $m(B) > 0$ . Then  $m(A_H(B)) = 0$ .*

Theorem 2.15 holds for any mixing flow, not necessarily a billiard flow. In the second theorem we restrict ourselves to the case of semi-dispersing billiards. For a more general formulation we refer to [Sz3].

**Theorem 2.16. (Strong ball avoiding.)** *Assume that  $X$  is a full-measure invariant set in a semi-dispersing billiard that (i) satisfies the conditions of the local ergodicity theorem and for which (ii) the complement of sufficient points is slim. Furthermore,  $B \neq \emptyset$  is open and for the set of time moments  $H$  we have  $\inf H = -\infty$ ,  $\sup H = \infty$ . Then  $A_H(B)$  is slim.*

**Remark 2.17.** *If conditions (i) and (ii) above hold for all points of the phase space the semi-dispersing billiard is automatically mixing. On the other hand, in certain orthogonal cylindrical billiards known to be mixing some trivial one-codimensional submanifolds appear consisting of trajectories that do not collide with all the cylinders, and are, consequently, non-sufficient (see also Remark 4.7 and references [Sz3, Sz2, KSSz1]). In such a case it is the complement of these trivial trajectories – an invariant set of full measure – to which Theorem 2.16 applies.*

### 3. DOWNSTAIRS

Recall the notion of neutral subspace from subsection 2.3. We give one more definition, that of the *advance*. Consider a non-singular orbit segment  $\Phi^{[a,b]}x$  with a collision  $\sigma$  taking place at time  $\tau = \tau(x, \sigma)$ . For  $x = (q, v) \in M$  and  $w \in \mathbb{R}^4$ ,  $\|w\|$  sufficiently small, introduce the notation  $T_w(q, v) := (q + w, v)$ .

**Definition 3.1.** For any collision  $\sigma$  of  $\Phi^{[a,b]}x$  and for any  $t \in [a, b]$ , the **advance**

$$\alpha_\sigma : \mathcal{N}_t(\Phi^{[a,b]}x) \rightarrow \mathbb{R}$$

is the unique linear (in  $w$ ) functional which satisfies

$$\alpha_\sigma(w) := \tau(x, \sigma) - \tau(\Phi^{-t}T_w\Phi^t x, \sigma)$$

in a sufficiently small neighborhood of the origin of  $\mathcal{N}_t(\Phi^{[a,b]}x)$ .

With the help of the advance we may have a more explicit description of neutral vectors.

Namely, consider any ball-to-ball collision  $\sigma$  of the trajectory  $\Phi^{[a,b]}x$ , any fixed time moment  $t$  (close enough to  $\tau(x, \sigma)$ ), and any neutral vector  $w \in \mathcal{N}_t(\Phi^{[a,b]}x)$  (with  $\|w\|$  small enough). For more explicit notation  $v = (v_1, v_2)$  and  $w = (w_1, w_2)$ , where the subscripts indicate the two-dimensional components of the velocity and the neutral vector, corresponding to the first and the second ball, respectively. All of them are considered in the time moment  $t$ . There are two different kinds of neutral perturbations, the first is translating each of the two balls by the same vector  $n \in \mathbb{R}^2$ , while the second is moving along the flow direction by the advance. This yields:

$$(3.1) \quad w = (w_1, w_2) = (n, n) + \alpha_\sigma(w) \cdot (v_1, v_2).$$

**Convention 3.2.** From this point on throughout the article we consider trajectory segments  $\Phi^{[a,b]}x$  for regular phase points  $x$  for which the first and last collisions are both ball-to-ball.

Let us fix a segment  $\Phi^{[a,b]}x$  of the above type. Time moments for the consecutive ball-to-ball collisions will be denoted by  $t_1, \dots, t_{k+1}$ . Otherwise we use the notations of Equation (2.1). The **long symbolic collision sequence** corresponding to  $\Phi^{[a,b]}x$  is

$$b\vec{g}_1 b\vec{g}_2 \dots \vec{g}_k b$$

where  $\vec{g}_i = (g_i^{(1)}, g_i^{(2)})$  and  $b$  denotes the ball-to-ball collisions. We define  $\vec{g}$  to be **simple** if  $g := g^{(1)} = g^{(2)}$ .

For brevity in the arguments below we use the notation  $\alpha_i$  for the advance of the collision at time moment  $t_i$ . Consider the particular case of two consecutive ball-to-ball collisions at  $t_j$  and  $t_{j+1}$  with  $\vec{g}_j$  simple. Given any neutral vector formula (3.1) applies for the collision at time moment  $t_j$  (more precisely, for non-collision times just before or after the collision).

$$(3.2) \quad w = (w_1, w_2) = (n, n) + \alpha_j(v_1, v_2),$$

and similarly for the collision at  $t_{j+1}$ :

$$(3.3) \quad w' = (w'_1, w'_2) = (n', n') + \alpha_{j+1}(v'_1, v'_2).$$



By dynamics

$$(v'_1, v'_2) = (gv_1, gv_2), \quad (w'_1, w'_2) = (gw_1, gw_2)$$

thus necessarily  $n' = gn$  and  $\alpha_j = \alpha_{j+1}$ . To see this note that  $v'_1 = v'_2$  is not possible right before or after a ball-to-ball collision.

Now we will begin to define *short collision sequences*. In view of the above, if we have  $b\vec{g}_1b \dots \vec{g}_kb$  with all the  $\vec{g}_i$  simple, then dynamically this has the same effect as a single ball-to-ball collision. The only role of the  $\vec{g}_i$  that we need to note is that a neutral vector of the form  $(n, n)$  just before the first ball-to-ball collision evolves into  $(n', n')$ . Here  $n' = sn$  with  $s = \prod_{i=1}^k g_i^{(1)} = \prod_{i=1}^k g_i^{(2)}$ . In accordance with this fact we shall use the symbol  $(b, s)$  for a maximal sequence of ball-to-ball collisions such that the consecutive ones are all separated by simple  $\vec{g}$ -s. In case  $k = 0$ , i.e. if the sequence consists of a single ball-to-ball collision we fix  $s = Id$ . Following tradition (e.g. [S1]) such a maximal sequence will be referred to as an **island**. As discussed above, ball-to-ball collisions in an island have the same advance, thus we may define *the unique advance for the island*.

Consider a trajectory segment whose long symbolic collision sequence satisfies that neither  $\vec{g}_1$  nor  $\vec{g}_k$  are simple. In view of all the observations made above we use the notation

$$b\vec{g}_1(b, s_1)\vec{g}_2(b, s_2) \dots (b, s_{K-1})\vec{g}_K b$$

for **the short symbolic collision sequence** of the trajectory segment. Here the symbol  $(b, s_i)$  refers to an island (see above) while the (non-simple pairs of) group elements  $\vec{g}_i$  describe the effect of the ball-to-wall collisions in between. There is a slight ambiguity of notation, the  $\vec{g}_i$  in the short symbolic collision sequence is a subsequence of the  $\vec{g}_j$  for the long one. This ambiguity should not cause any confusion. Note that the short symbolic collision sequence consists of  $K + 2$  islands since the first and last  $b$ , which each denote a single ball-to-ball collision, are also considered as islands.

We define two more symbols. Let  $\hat{g}_i$  and  $\bar{g}_i$  be the unique elements of  $G$  for which  $g_i^{(2)} = \hat{g}_i g_i^{(1)}$  and  $g_i^{(2)} \bar{g}_i = g_i^{(1)}$ . The transformation  $\hat{g}_i$  tells us how the relation of the two velocity vectors has changed between the two ball-to-ball collisions. We have  $(g_i^{(2)})^{-1} = \bar{g}_i (g_i^{(1)})^{-1}$ , thus the transformation  $\bar{g}_i$  plays the same role for the backwards dynamics.

**Remark 3.3.** *Symbolic collision sequences defined this way are not time reflection symmetric. We note that for the case of the square, as  $G$  is commutative,  $s_i^{-1} = s_i$  and  $\hat{g}_i = \bar{g}_i = (\bar{g}_i)^{-1}$  automatically. This fact enabled Simányi to use another concept of symbolic collision sequence, which is time reversal symmetric, in his article [S3].*

Any group element  $g \in G$  may be either a reflection (in this case we use the notation  $g = R$ ) or a rotation ( $g = O$ ). Sometimes it is useful to indicate  $R_E$  where the line  $E \in \mathbb{R}^2$  is the axis of the reflection  $R$ . If  $\hat{g}_i$  is a reflection/rotation then the same is true for  $\bar{g}_i$ .

**Definition 3.4.** A long symbolic collision sequence is **rich** if it contains a subsequence with short form  $b\bar{g}_1(b, s)\bar{g}_2b$  where either

- (1)  $\hat{g}_1 = R_E$  and  $\bar{g}_2 = R_{E'}$  with  $E' \neq sE$ , or
- (2)  $\hat{g}_1 = R$  and  $\bar{g}_2 = O$  for any reflection and rotation.

A phase point  $x \in M^0$  is rich if its entire trajectory contains a finite segment with rich collision sequence. For rich points of  $M^1$  the same should hold for both trajectory branches.

**Lemma 3.5.** For any phase point  $x \in M$  with rich collision sequence there exists a neighborhood  $U$  and a one-codimensional submanifold  $L \subset M$  such that any  $y \in U \cap (M \setminus L)$  is sufficient.

Let us describe the neutral vectors for long collision sequences of length three first.

**Sublemma 3.6.** Assume  $x$  has a trajectory segment with long collision sequence of the form  $b\bar{g}b$  such that  $\hat{g} = R$  for some reflection  $R = R_E$ . Consider a neighborhood  $U$  of  $x$ , such that for  $y \in U$  (this finite segment of) the collision sequence is the same. Then, apart from a degeneracy (present on a one-codimensional manifold  $L \subset U$ ) for any  $y \in U \setminus L$  the neutral subspace  $\mathcal{N}$  is two dimensional and for any vector in  $\mathcal{N}$  the advances of the two ball-to-ball collisions are equal to one another.

**Proof.** Let us fix non-collision time moments just after the first and just before the second ball-to-ball collisions and denote them with  $t^*$  and  $t^-$ , respectively. At these time moments any vector of  $\mathcal{N}$ , by neutrality with respect to the ball-to ball collisions, has the form:

$$(3.4) \quad w^* = (w_1^*, w_2^*) = (m, m) + \alpha(v_1^*, v_2^*),$$

$$(3.5) \quad w^- = (w_1^-, w_2^-) = (n, n) + \beta(v_1^-, v_2^-).$$

Here  $\alpha$  and  $\beta$  are the advances of the two ball-to-ball collisions, the upper indices indicate time moments for the two dimensional velocity vectors/neutral vectors of the two balls, while  $m, n \in \mathbb{R}^2$  are arbitrary, cf. (3.1). We need to evolve the first of these two equations to the time moment  $t^-$  to compare it with the second:  $v^*$  turns into  $v^-$ , while, as  $\hat{g} = R$ ,  $(m, m)$  evolves into  $(l, Rl)$  for some  $l \in \mathbb{R}^2$ . Thus we have:

$$(3.6) \quad w^- = (w_1^-, w_2^-) = (l, Rl) + \alpha(v_1^-, v_2^-).$$

There are two possibilities. Either  $\alpha \neq \beta$ . Comparing Equations (3.6) and (3.5) implies

$$(3.7) \quad l - Rl = (\alpha - \beta)(v_1^- - v_2^-)$$

that results in  $(v_1^- - v_2^-) \in E^\perp$  where  $E^\perp$  is the line in  $\mathbb{R}^2$  perpendicular to  $E$ , to the axis of the reflection  $R$ . This means  $x \in L$  where  $L$  is a one-codimensional submanifold of  $M$ . On the other hand if  $\alpha = \beta$ , again comparing Equations (3.6) and (3.5) yields  $n = l = Rl$ , thus the a priori two dimensional  $n$  is restricted to  $E$ , to the axis of  $R$ .  $\square$

**Sublemma 3.7.** *Assume  $x$  has a trajectory segment with long collision sequence of the form  $b\vec{g}b$  such that  $\hat{g} = O$  for some rotation  $O$ . Then, if the two advances are equal, the phase point  $x$  is sufficient. If the two advances are not equal, they give a full description of the neutral subspace.*

**Proof.** With notations and argumentation of the previous sublemma we have the validity of (3.5) and

$$(3.8) \quad w^- = (w_1^-, w_2^-) = (l, Ol) + \alpha(v_1^-, v_2^-).$$

If  $\alpha = \beta$ , we have  $l = n = Ol$ . However, rotations have no fixed points (except for the origin), thus  $l$  is zero and the neutral vector is trivial: the phase point is sufficient. If  $\alpha \neq \beta$ , we get

$$(3.9) \quad l - Ol = (\alpha - \beta)(v_1^- - v_2^-).$$

As the linear map  $Id - O$  is invertible,  $l$  (and consequently the neutral vector) is completely determined by the advances (and the velocity components which, however, do depend only on the phase point itself and not on the perturbation).

*Alternatively*, for future reference in case of unequal advances we may derive from (3.5) and (3.8)

$$(3.10) \quad n - O^{-1}n = (\alpha - \beta)(v_1^- - O^{-1}v_2^-).$$

With the reasoning given above  $n$  is completely determined by the right hand side, i.e. the advances determine the perturbation.  $\square$

### Proof of Lemma 3.5.

We must analyze short collision sequences of length five,  $b\vec{g}_1(b, s)\vec{g}_2b$ .

(1) Let us consider case (1) from Definition 3.4 first. We denote the advances of the three islands as  $\alpha$ ,  $\beta$  and  $\gamma$  and fix time moments  $t_-$  and  $t_+$  just before and after the middle island. We may apply Sublemma 3.6 for both trajectory segments (up to the first and from the last ball-to-ball collision of the island  $(b, s)$ ) to conclude that apart from codimension one  $\alpha = \beta = \gamma$ . Any neutral vector is of the form

$$(3.11) \quad w^- = (w_1^-, w_2^-) = (n, n) + \beta(v_1^-, v_2^-)$$

at time  $t_-$ , with  $n \in E$ . This neutral vector evolves into

$$(3.12) \quad w^+ = (w_1^+, w_2^+) = (n', n') + \beta(v_1^+, v_2^+)$$

where  $n' = sn$ . Applying Sublemma 3.6 in *backward time* to the second segment yields  $n' \in E'$  by  $\vec{g}_2 = R_{E'}$ . As we assumed  $E' \neq sE$  and we have  $n \in E$ , this means  $n = 0$  which is equivalent to sufficiency in view of (3.11).

(2) Now consider case (2) of Definition 3.4. For the time moments and the advances we use the notations of (1). We apply Sublemma 3.6 to the segment ending with the first ball-to-ball collision of the island  $(b, s)$  and apply, with time direction reversed, Sublemma 3.7 to the segment starting with the last ball-to-ball collision of the middle island. Formulas (3.11) and (3.12) are both valid. Furthermore, from Sublemma 3.6 we know that (apart

from codimension 1)  $n$  is restricted to a line. Thus  $n' = sn$  is restricted to a line as well. On the other hand, by Sublemma 3.7, we may assume  $\beta \neq \gamma$  (otherwise we have sufficiency), thus the following formula (the time-reversal analogue of (3.10)) is valid for  $v^+$ :

$$(3.13) \quad n' - O^{-1}n' = (\beta - \gamma)(v_1^+ - O^{-1}v_2^+).$$

The left hand side of (3.13) is the image of  $n'$  by the invertible linear map  $Id - O^{-1}$ . Thus it also is restricted to a line, inheriting this property from  $n'$ . This however means  $v_1^+ - O^{-1}v_2^+$  is restricted to a line, which implies that the phase point  $x$  belongs to a one codimensional submanifold  $L$  of the phase space.

For future reference we make one more simple remark. Let us fix a non-collision time moment  $t_{\#}$  just before the third island. Then  $v_1^{\#} = g_2^{(1)}v_1^+$  and  $v_2^{\#} = g_2^{(2)}v_2^+$ . On the other hand,  $\bar{g}_2 = O$  and thus  $(g_2^{(2)})^{-1} = O(g_2^{(1)})^{-1}$ . Combining the last three formulas yields

$$v_1^+ - O^{-1}v_2^+ = (g_2^{(1)})^{-1}(v_1^{\#} - v_2^{\#}).$$

Thus the points of the one-codimensional degeneracy submanifold  $L$  are also characterized by the relation:  $v_1^{\#} - v_2^{\#}$  is restricted to a line.  $\square$

**Remark 3.8.** *Having a look at the arguments above it is useful to note that in all cases the degeneracy submanifolds are characterized by the following relation: the difference of the velocities of the two balls,  $v_1 - v_2$ , is restricted to a line when calculated at a time moment just before a given ball-to-ball collision.*

The following Lemma plays an important role in establishing that the set of non-sufficient points is slim.

**Lemma 3.9.** *Consider a trajectory segment with a ball-to-ball collision on it and let  $t_+$  and  $t_-$  be non-collision time-moments:  $t_-$  just before the ball to ball collision and  $t_+$  any time moment after the ball-to-ball collision. Fix furthermore two arbitrary lines,  $E^+$  and  $E^-$  in  $\mathbb{R}^2$ . The set of points for which both  $v_1^- - v_2^- \in E^-$  and  $v_1^+ - v_2^+ \in E^+$  belongs to a two-codimensional submanifold of  $M$ .*

**Proof.** Phase points with any of the above two degeneracy relations form one-codimensional submanifolds of the phase space. Let us denote these with  $L_-$  and  $L_+$ : our task is to show the transversality of these manifolds. We argue along the lines of the proof of Lemma 3.10 from [S3]. Fix  $x_0 \in L_-$  and sufficiently small numbers  $\delta, \epsilon > 0$  such that for all points  $x$  of

$$U_- = \{ x \in L_- \mid d(x_0, x) < \epsilon \}$$

the trajectory segment  $\Phi^{[0, \delta]}x$  is collision free (i.e. the time moment  $t_- + \delta$  is still before the ball-to-ball collision  $t_-$  precedes). We foliate  $U_-$  with

convex local orthogonal manifolds (i.e. with fronts). Namely, consider the equivalence relation defined for  $x, y \in U_-$  as

$$(3.14) \quad x \sim y \iff (q_1^-(x) - q_1^-(y)) = (q_2^-(y) - q_2^-(x)) \perp E^-.$$

The equivalence classes  $C(x)$  of  $\sim$  are 3 dimensional submanifolds of  $U_-$  (2 dimensional in the velocity space and 1 dimensional in the configuration space). Furthermore, for small positive times  $0 < t < \delta$  they evolve into convex local orthogonal manifolds: each  $\Phi^t C(x)$  is a front strictly convex in a two dimensional plane, the only neutral direction is

$$(3.15) \quad (w_1, w_2); \quad w_1 = -w_2 =: w \perp E^-.$$

A perturbation of the form above is definitely not neutral with respect to the ball-to-ball collision the time moment  $t_-$  precedes. To see this recall that (i) our phase point  $x$  belongs to  $L_-$  thus the vector (3.15) is perpendicular to the flow direction (i.e. to the velocity), (ii) any perturbation neutral with respect to the ball-to-ball collision should have the form (3.1).

As a consequence  $C(x)$ , when considered after the ball-to-ball collision, evolves into a convex local orthogonal manifold which is strictly convex in all the three dimensions. Strict convexity of local orthogonal manifolds is preserved by the flow, thus  $\Phi^{t_+ - t_-} C(x)$  (the front considered at  $t_+$ ) is strictly convex. This, however, means that it is necessarily transversal to  $L_+$  which is defined by linear restriction on the velocity.  $\square$

**Definition 3.10.** *A point in  $M$  is called **twice rich** if its orbit contains two trajectory segments with long symbolic collision sequences that, on the one hand, may intersect in at most one symbol  $b$ , and on the other hand,*

- (1) *are both rich in forward or both rich in backward time, or*
- (2) *the first one is rich in forward time while the second is rich in backward time.*

**Corollary 3.11.** *Those phase points that are twice rich and non-sufficient form a slim subset.*

**Proof.** For those points which satisfy the first condition above this is a straightforward consequence of Lemmas 3.5, 3.9 and Remark 3.8. In the case of opposite orientations sufficiency follows from the second sequence (Lemma 3.5 applied in backward time) unless  $v_1 - v_2$  is restricted to a line in a non-collision time moment  $t_+$ , where  $t_+$  is after the last ball-to-ball collision on the first sequence. Thus Lemma 3.9 applies even in this case.  $\square$

## 4. UPSTAIRS

**4.1. Lifting.** Let  $P$  be an integrable polygon and  $M$  the phase space of two disks in  $P$ . We consider the billiard flow  $\Phi_t : M \rightarrow M$  which we refer to as the polygonal flow. We want to lift this system to a cylindrical billiard flow  $\Psi_t : N \rightarrow N$  where  $N$  is the four dimensional torus  $\mathbb{T}^4$  with

some cylindric scatterers removed. The projection  $\pi : N \rightarrow M$  will be a continuous, measure preserving and finite to one semi-conjugacy. The rest of this subsection defines  $\pi$  and proves its important properties, in particular that  $\pi$  “preserves codimension” (see Lemma 4.2).

In the next subsection we will use this “preservation of codimension” to prove hyperbolicity and ergodicity of the billiard system in the polygon.

If we consider only one point particle in  $P$ , then there is a natural unfolding process: instead of reflecting the ball when it collides with the boundary of  $P$ , reflect  $P$  in the side of collision and continue the orbit of the ball in a straight line. If we fix a “generic” initial direction  $\theta$  of the ball in  $P$ , then there are  $|G|$  possible directions the orbit can take. Taking one copy of  $P$  for each direction, and gluing together parallel sides via the unfolding procedure, yields the two torus  $\mathbb{T}^2 = \mathbb{R}^2 / \mathcal{L}$ . Here the lattice  $\mathcal{L} \subset \mathbb{R}^2$  is either  $\mathbb{Z}^2$  (if  $P$  is the square or the half square) or the hexagonal lattice (if  $P$  is the equilateral triangle or the half equilateral triangle). The corresponding billiard flow decomposes into a one-parameter family of linear flows on  $\mathbb{T}^2$ , see [MT] for details.

This way we may think of  $\mathbb{T}^2$  as a union of  $|G|$  copies of  $P$ . Accordingly, we use the notation  $z = gq$  for  $z \in \mathbb{T}^2$ , where  $g \in G$  and  $q \in P$ . Furthermore, for  $h \in G$  fixed, let  $P_h = \{z = gq \in \mathbb{T}^2 : g = h\}$ . The cells  $P_h$  overlap only at their boundaries.

Note that the motion of one hard ball in the polygon  $P$  is equivalent to the motion of a point particle in a smaller copy of  $P$ , thus the above unfolding process applies. We generalize this to two balls in  $P$ . First pretend that the two balls are transparent, i.e. pass through each other. Then the above construction yields the linear flow on  $\mathbb{T}^4 = \mathbb{T}^2 \times \mathbb{T}^2$ . We can think of  $\mathbb{T}^4$  as the union of cells  $P_{g_1} \times P_{g_2}$  with  $(g_1, g_2) \in G \times G$ . Now we must take into account the collisions. Clearly the cylinder  $C_e$  (see below), corresponding to a neighborhood of the diagonal, projects down to overlapping balls, and thus does not belong to  $N$ . The further cylinders which correspond to overlapping balls can be obtained from  $C_e$  by the action of the symmetry group  $G$  on one of the two coordinates of  $\mathbb{T}^4$ .

To be more precise we use coordinates  $z := (z_1, z_2)$  on  $\mathbb{T}^4$  where  $z_i \in \mathbb{T}^2$ . We have  $z_i = g_i q_i$  where  $g_i \in G$ ;  $q_i \in P$ . The domain to be removed from  $\mathbb{T}^4$  is  $\{z : |q_1 - q_2| \leq R\}$  where  $R$  is twice the (common) radius of the balls. This set is a finite union of cylindric scatterers  $C_g$ ,  $g \in G$  where

$$(4.1) \quad C_g := \{z : |z_1 - gz_2| \leq R\}.$$

In particular,  $C_e := \{z : |z_1 - z_2| \leq R\}$ . We remark that the scatterer  $C_e$  does not depend on the choice of  $g_1 = g_2$  since the two balls are in the same copy of the polygon  $P_{g_1} = P_{g_2}$ . This corresponds to the fact that we have only  $|G|$  different scatterers (and not  $|G|^2$ ): it is only the relation of the two discrete coordinates  $g_i$  that matters. Note that the cylinder  $C_g$  intersects the cell  $P_{g_1} \times P_{g_2}$  if and only if  $g = g_1 g_2^{-1}$ .

**Remark 4.1.** *The configuration space of the above defined cylindric billiard  $N$  is a subset of  $\mathbb{T}^4 = \mathbb{R}^4 / \mathcal{L}$  where the lattice  $\mathcal{L}$  is either  $\mathbb{Z}^4$  (if  $P$  is the square or the half square) or the product of two copies of the hexagonal lattice (if  $P$  is the equilateral triangle or the half equilateral triangle). Straightforward calculation shows that for all cylinders defined by (4.1) both the generator and the base subspaces are lattice subspaces (see also the proof of Lemma 4.6 on the explicit form of these subspaces).*

The phase space  $M$  is  $P^2 \times \mathbb{S}^3$  with identification at the boundary. A point in  $p \in N$  can be given coordinates  $(q_1, q_2, v_1, v_2, g_1, g_2)$  with  $q_1, q_2 \in P$ ,  $g_1, g_2 \in G$  and  $v_1^2 + v_2^2 = 1$ . Then we define  $\pi(p) = (q_1, q_2, g_1^{-1}v_1, g_2^{-1}v_2)$ . This map is clearly well-defined for those points where the coordinate system is unique. For those  $p$  for which  $q_1 \in \partial P$  or  $q_2 \in \partial P$  there are  $(g'_1, g'_2) \neq (g_1, g_2)$  describing  $p$ . Suppose for concreteness that  $g'_1 \neq g_1$ . However we have the two projections of  $p$  coincide since by the definition of the phase space the points  $(q_1, g_1^{-1}v_1)$  and  $(q_1, g_1'^{-1}v_1)$  are identified. Thus  $\pi$  is well defined.

The direct product of the measure  $\mu$  and the discrete uniform measure on  $G \times G$  is an invariant measure  $\nu$  for the billiard flow  $\Psi$ .

We say that a map preserves a property, if the image of a set satisfying a certain property satisfies the same property.

**Lemma 4.2.** *The map  $\pi$*

- (1) *is continuous,*
- (2) *is at most  $|G|^2$  to one map,*
- (3) *is a semi-conjugacy,*
- (4) *preserves codimension one subsets,*
- (5) *is measure preserving, and*
- (6) *preserves closed codimension two subsets.*

**Proof.** (1-3) The map  $\pi$  is clearly a continuous projection which is at most  $|G|^2$  to one. Because of the form of the projection  $\pi$  is a semi-conjugacy of the billiard flows away from the collisions, and an elementary calculation shows that it is also a semi-conjugacy at the collisions.

(4) This follows by combining continuity with Lemma 2.1.

(5) By definition  $\pi_*\nu = \mu$ .

(6) We use the characterization of codimension 2 given in Lemma 2.2. Consider a closed codimension 2 subset  $A \subset N$ . Since  $G^2$  acts on  $N$  by isometries that clearly preserve codimensionality of sets we can assume, without loss of generality, that  $A$  is  $G^2$  invariant. We claim that  $\pi(A)$  is closed. For each  $\vec{g} = (g_1, g_2) \in G \times G$  let  $A_{\vec{g}} = A \cap P_{g_1} \times P_{g_2} \times \mathbb{S}^3$ . Clearly  $A_{\vec{g}}$  is closed and  $\pi(A_{\vec{g}})$  is closed as well since  $\pi|_{A_{\vec{g}}}$  is a homeomorphism. Thus  $\pi(A) = \cup_{\vec{g} \in G^2} \pi(A_{\vec{g}})$  is closed as well.

We use the third equivalent characterization of Lemma 2.2. The property that  $\text{int } \pi(A) = \emptyset$  follows from (1). Fix  $x \in M$  and a neighborhood  $V$  of  $x$ . If  $x \notin \pi(A)$  then the property is trivial, thus assume  $x \in \pi(A)$ . We choose some lift  $\hat{x} \in \pi^{-1}(x) \cap A$ . Choose a neighborhood  $\hat{V}$  of  $\hat{x}$  such that  $\pi(\hat{V}) \subset V$ . Since  $A$  is codimension 2 there is a neighborhood  $\hat{W}$  of  $\hat{x}$  which

satisfies the property: for any  $\hat{y}, \hat{z} \in \hat{W} \setminus A$  there is an arc  $\hat{\gamma} \subset \hat{V} \setminus A$  connecting them. For each  $\vec{g} \in G^2$  let  $\hat{\gamma}_{\vec{g}} = \gamma \cap P_{g_1} \times P_{g_2} \times \mathbb{S}^3$ . The set  $\gamma_{\vec{g}} := \pi(\hat{\gamma}_{\vec{g}})$  is a continuous curve. Furthermore, since  $\pi$  is well defined at the boundary of  $P$ , the union of these curves  $\gamma := \cup_{\vec{g} \in G^2} \gamma_{\vec{g}}$  gives a well defined continuous curve which avoids  $\pi(A)$  since we have assumed that  $A$  is  $G^2$  invariant. Let  $W = \pi(\hat{W})$ . For any two points  $y, z \in W \setminus \pi(A)$  we can find lifts  $\hat{y}, \hat{z}$  in  $\hat{W} \setminus A$ , thus the already constructed curve  $\gamma$  connects  $y$  to  $z$  in  $V \setminus \pi(A)$ .  $\square$

Lemma 4.3 and Corollary 4.5 describe in more detail how different types of collision sequences are lifted.

**Lemma 4.3.** *Consider a trajectory segment  $\Phi^{[a,b]}x \subset M$  with long symbolic collision sequence  $b\vec{k}b$  where  $\vec{k} = (k_1, k_2)$ . Assume that the first ball-to-ball collision is lifted to  $P_{g_1} \times P_{g_2}$ . Then the second ball-to-ball collision is lifted to  $P_{h_1} \times P_{h_2}$  where  $h_1 = g_1 k_1^{-1}$  and  $h_2 = g_2 k_2^{-1}$ . Thus if the first ball-to-ball collision is lifted to a collision with cylinder  $C_g$ , with  $g = g_1 g_2^{-1}$ , the second is lifted to  $C_h$  with  $h = h_1 h_2^{-1} = g_1 k_1^{-1} k_2 g_2^{-1}$ .*

**Proof.** Let us denote the velocities in  $M$  just after the first and just before the second ball-to-ball collision by  $(v_1, v_2)$  and  $(v'_1, v'_2)$ , respectively. A time segment free of ball-to-ball collisions is lifted to a segment of a linear flow in  $N$ , thus the two velocities are lifted to the same vector  $(v_1^N, v_2^N)$ . By the definition of the map  $\pi$  we have  $g_j v_j = v_j^N = h_j v'_j$ ,  $j = 1, 2$ . On the other hand  $v'_j = k_j v_j$ ,  $j = 1, 2$ . This yields  $h_1 = g_1 k_1^{-1}$  and  $h_2 = g_2 k_2^{-1}$ . The formula for the element  $h$  defining the cylinder is a straightforward consequence.  $\square$

**Definition 4.4.** *Let  $G_O \subset G$  be the subgroup generated by rotations.*

**Corollary 4.5.** *1) An island is lifted to consecutive collisions with the same cylinder.*

*2) For a trajectory segment with long collision sequence  $b\vec{k}b$ , if the first  $b$  is lifted to a cylinder of the form  $C_g$  with  $g \in G_O$ , and  $\vec{k} \in G_O$  (or equivalently  $\hat{k} \in G_O$ ), then the second  $b$  is lifted to  $C_h$  with  $h \in G_O$ .*

*3) For a trajectory segment with short collision sequence  $b\vec{k}(b, s)\vec{l}b$ , where  $\hat{k} = R_E$  and  $\vec{l} = R_{sE}$ , the first and last ball-to-ball collisions are lifted to collisions with the same cylinder.*

**Proof.** 1) By definition the consecutive ball-to-ball collisions in an island are separated by simple group elements  $\vec{k} = (k_1, k_2)$ , i.e.  $k_1 = k_2$ . Thus Lemma 4.3 yields  $g = h$  and therefore the coincidence of consecutive cylinders.

2) The elements of  $G_O$  are equivalently characterized as those that are generated by an even number of reflections. If this property holds for  $g$  and  $k$  then, applying Lemma 4.3, this should hold for  $h$  as well.



3) Let us assume that the first ball-to-ball collision is lifted to the cell  $P_{g_1} \times P_{g_2}$  (and thus to the cylinder  $C_{g_1 g_2^{-1}}$ ) of  $N$ . Then, applying Lemma 4.3 twice the first and the last ball-to-ball collisions of the middle island are lifted to the cells  $P_{g_1 k_1^{-1}} \times P_{g_2 k_2^{-1}}$  and  $P_{(g_1 k_1^{-1} s^{-1})} \times P_{(g_2 k_2^{-1} s^{-1})}$ , respectively. Applying Lemma 4.5 once more the last ball-to-ball collision is lifted to the cell  $P_{h_1} \times P_{h_2}$  with  $h_j = g_j k_j^{-1} s^{-1} l_j^{-1}$ ,  $j = 1, 2$ . Note that by trivial computation  $s^{-1}(R_{sE})s = R_E$  while  $\bar{l} = R_{sE}$  and  $\hat{k} = R_E$  by assumption. Thus the last ball-to-ball collision of the sequence is lifted to  $C_h$  with  $h = h_1 h_2^{-1} = g_1 g_2^{-1} = g$ .  $\square$

The following simple Lemma describes the geometry of the cylinders in  $N$ . Recall the basic notions related to cylindric billiards from Subsection 2.3, in particular, the definitions of the generator and the base subspaces.

**Lemma 4.6.** 1) For any two distinct cylinders  $C_g$  and  $C_{g'}$  with  $g, g' \in G_O$  both the generator and the base subspaces are transversal.  
 2) Except for the case of the square, the collection of cylinders  $C_g$ ,  $g \in G_O$  is transitive in the sense of Conjecture 2.14.  
 3) Consider  $C_e$  and  $C_R$  for any reflection  $R \in G$ . These two cylinders are orthogonal in the sense of [Sz2], i.e. it is possible to choose an orthogonal frame in  $\mathbb{R}^4$  such that both cylinders have generator subspaces spanned by vectors from this frame. Moreover, the two generator subspaces intersect in a line.

**Proof.** We note that the cylinders  $C_g$  have the following generator and base subspaces:

$$A_g = \{ (w, gw) \mid w \in \mathbb{R}^2 \}; \quad L_g = \{ (w, -gw) \mid w \in \mathbb{R}^2 \}.$$

All the rest is straightforward calculation. To see (2) we remark that for the case of the square  $G_O$  consists of two elements, the identity and the rotation by  $180^\circ$ . In all other cases rotations with different degrees are present.  $\square$

We close the Subsection with an important Remark on the upstairs cylindric billiard for the case of the square.

**Remark 4.7.** (1) For the case of the square the upstairs dynamical system  $(N, \Psi^t, \nu)$  is an orthogonal cylindric billiard satisfying the conditions of the main theorem of [Sz2]. Thus it is ergodic and hyperbolic. In contrast to hyperbolicity and mixing, ergodicity of the downstairs factor is an immediate consequence.

(2) In Subsection 4.2 both hyperbolicity and the conditions of the Local Ergodicity Theorem (i.e. the Chernov-Sinai Ansatz, Condition 4.16) are deduced for all the four polygons along the same lines. However, non-sufficient points do not form a slim subset if  $P$  is chosen to be the square (cf. Lemmas 4.11 and 4.14), thus the treatment of ergodicity should be slightly different. Namely, as a result of their geometry, in orthogonal cylindric billiards typically trivial one-codimensional invariant sets appear consisting of

trajectories that do not collide with all the cylinders and are, consequently, non-sufficient (see also Remark 2.17). In principle, these may separate ergodic components, however, standard methods (connecting the components with orbits of positive measure) exclude this possibility. For details we refer to [Sz2, KSSz1]. This applies to the case of the square, nevertheless, to keep the exposition self-contained, we do not consider this issue here. Ergodicity is proved for the square by direct application of [Sz2], see part (1) of the Remark.

**4.2. Applications of lifting.** We begin by characterizing those points whose long symbolic collision sequence is extendable to infinite orbits. We remark that no trajectory has infinitely many collisions in a finite time interval [BuFKo, G1, V].

**Lemma 4.8.** 1) *If a semitrajectory (positive or negative) has no ball to ball collisions, then the whole trajectory has no ball to ball collisions.*  
 2) *The set of points whose trajectories have no ball-to-ball collisions is slim (recall Definition 2.3).*

**Proof.** 1) Without loss of generality let us suppose the positive semitrajectory has no ball-to-ball collisions. Consider all the points  $x \in N$  whose projection  $\pi(x)$  has no ball-to-ball collisions on the positive semitrajectory. The trajectory of each such  $x$  avoids all cylinders in positive time. Thus this semi-orbit can be thought of as the orbit of a linear flow on  $\mathbb{T}^4$  that avoids an open set in positive time. Thus it avoids the same open set in negative time as well, i.e. its whole trajectory avoids all cylinders and downstairs there are no ball-to-ball collisions.

2) Since the group  $G$  contains rotations, by statement 1) of Lemma 4.6 we can choose two cylinders (in  $N$ ) whose base spaces are transverse. Consider those  $x$  for which the trajectory of  $\pi(x)$  has no ball to ball collisions. Then the trajectory of  $x$  avoids all cylinders, in particular the above mentioned transverse ones. We consider the orthogonal projection  $p_C$  of  $\mathbb{R}^4$  onto the base subspace of the cylinder  $C$ . The key fact here is that the orbit  $\Psi^{(-\infty, \infty)}x$  is the orbit of a linear flow on  $\mathbb{T}^4$ , thus it avoids  $C$  if and only if  $p_C(\Psi^{(-\infty, \infty)}x)$  avoids  $p_C(C)$ . This means that  $p_C(v)$  is rationally dependent. Since this happens for two cylinders  $C$  with transverse base subspaces, Lemma 4.2 parts (5) and (6) imply that the set of  $\pi(x)$ 's with this property is slim.  $\square$

For the following definition we recall the notions of long/short collision sequences.

**Definition 4.9.** 1) *Those regular orbits that have infinitely many ball-to-ball collisions will be called **extendable**. By the above Lemma, points with nonextendable orbits form a slim subset.*

2) *A point  $x \in M$  is called **O-poor** if its trajectory is extendable and if any finite segment which starts and ends with a ball-to-ball collision has*

long collision sequence  $b\vec{g}_1 b \dots b\vec{g}_n b$  where  $\vec{g}_i \in G_O$ . In other words, the transformations  $\vec{g}_i$  (or equivalently,  $\hat{g}_i$ ) are either identities or rotations. A point  $x \in M$  is called **O<sup>+</sup>-poor** if there exists  $t_0$  such that, instead of the entire orbit, the same holds for the semitrajectory  $\Psi^t x$  for  $t \geq t_0$ .

3) A point  $x \in M$  is called **R-poor** if its trajectory is extendable, not O-poor and if, furthermore, given any finite trajectory segment of the entire orbit of  $x$  that has short collision sequence  $b\vec{g}_1(b, s)\vec{g}_2 b$ , there exists a reflection  $R_E \in G$  such that  $\hat{g}_1 = R_E$  and  $\vec{g}_2 = R_{sE}$ . In other words, only reflections are allowed and there is no segment which is rich in the sense of case (1), Definition 3.4. The definition and convention of  $x \in M$  being **R<sup>+</sup>-poor** is analogous to O<sup>+</sup>-poor.

We remark that the set of points satisfying any of the above notions is a closed and invariant set.

**Lemma 4.10.** (1) O-poor trajectories are lifted to trajectories that collide only with the cylinders  $C_g$ ,  $g \in G_O$ . For the O<sup>+</sup>-poor ones the same holds for the appropriate semitrajectory.

(2) R-poor trajectories are lifted to trajectories that collide only with two cylinders. One of these is always  $C_e$  while the other depends on the trajectory, nonetheless it is  $C_R$  for some reflection  $R \in G$ . For the R<sup>+</sup>-poor ones the same holds for the appropriate semitrajectory.

**Proof.** In all cases, fix one particular ball-to-ball collision of the (semi)trajectory. By the finite-to-one nature of  $\pi$  we may lift this ball-to-ball collision to any cell  $P_{g_1} \times P_{g_2}$  of  $N$ , nevertheless, this choice determines the lift for the whole trajectory uniquely. Let us choose  $g_1 = g_2 = Id$ , as a consequence, the collision is lifted to  $C_e$ . By statement 1) of Corollary 4.5, the same holds for all ball-to-ball collisions that belong to the island containing the fixed collision.

Case (1) is a straightforward consequence of statement 2) from Corollary 4.5.

In case (2) we distinguish odd and even islands of the (semi)trajectory depending on their “distance” from the ball-to-ball collision fixed above. More precisely, to define the parity of the island, consider the long collision sequence between the fixed ball-to-ball collision and any ball-to-ball collision of the island, and count the non-simple elements  $\vec{g}_i$  on it. By straightforward application of Corollary 4.5, statements 1) and 3) any collision in an even island is lifted to  $C_e$ . On the other hand, by the same statements, there is a unique cylinder to which all collisions of odd islands are lifted. By Lemma 4.3 this unique cylinder is  $C_R$  for some reflection  $R$ .  $\square$

**Lemma 4.11.** For the three polygons different from the square, the set of R-poor trajectories is slim. For all the four polygons the set of O-poor trajectories is slim and the set of R-poor, R<sup>+</sup>-poor and O<sup>+</sup>-poor trajectories has  $\mu$  measure 0.

**Proof.** By Lemma 4.2 it is enough to prove the statements in  $N$ . We will use the upstairs characterizations of various types of poor points given by Lemma 4.10 and the description of the relevant cylinders from Lemma 4.6.

First consider the O-poor points in case  $P$  is not the square. By Lemmas 4.10 and 4.6 the dynamics of such points is governed by a cylindric billiard which is (i) transitive (cf. Conjecture 2.14) and for which (ii) any two cylinders have transversal generator spaces. Theorem 2.4 from [B] states that such a system is a mixing semi-dispersing billiard. Furthermore O-poor points avoid all the cylinders not of the form  $C_g$ ,  $g \in G_O$ , an open set, thus applying the strong ball avoiding theorem (Theorem 2.16) yields the result.

In the case of the square the appropriate cylindric billiard is not transitive, there is an integral of motion, namely the projection of the velocity vector onto any of the two orthogonal base subspaces. We will prove that for each fixed value of this integral, O-poor points are slim on the surface of constant integral and thus by Lemma 2.6 are slim in the whole phase space. To see this, notice that on a constant energy surface the system restricted to the set of O-poor points can be interpreted as a direct product of two dispersing billiards which is mixing. The open sets avoided have open intersections with each constant energy surface, thus we can apply the strong ball avoiding theorem (Theorem 2.16) to conclude the case of O-poor points in the square.

In the R-poor case the appropriate cylindric billiard consists of two “orthogonal” cylinders. Dynamics, as discussed in [Sz2], is a direct product of a mixing semi-dispersing billiard system with a linear flow on  $\mathbb{S}^1$ . There is an integral of motion, namely the projection of the velocity vector onto the direction of the linear flow, i.e. onto the common generator of the two cylinders.

This is the point where we should take into account that the geometry of the square is different from the other three polygons (cf. Remark 4.7). Consider namely the direction of the above described linear flow factor and let us denote the line of  $\mathbb{R}^4$  parallel to it with  $F$ . In the non-square cases there is at least one of the avoided cylinders (e.g. any cylinder  $C_O$  where  $O$  is a rotation with a degree different from  $180^\circ$ ) that has generator space not orthogonal to  $F$ . As a consequence, we may fix  $p \in \mathbb{S}^1$  (in the direction of the linear flow) arbitrarily, the erased cylinders intersect the leaf  $\{p\} \times \mathbb{T}^3$  in some nonempty open set  $U_p \subset \mathbb{T}^3$ . On the contrary, if the polygon  $P$  is the square, all avoided cylinders have generator spaces orthogonal to  $F$ , and thus for certain points  $p \in \mathbb{S}^1$  the intersection  $U_p$  is empty.

We may again prove slimness for each surface of constant integral and then integrate by Lemma 2.6. Let us start with fixing the velocity component in the direction of the linear flow to be 0, in such a situation we may disregard the integrable component. As to the mixing one, in the non-square cases the observations above show that there is an open set avoided by the whole trajectory. The strong ball avoiding theorem gives slimness of R-poor points within the surface. To see this observe that R-poor points

necessarily collide with both cylinders of the orthogonal cylindrical billiard upstairs (otherwise they would be O-poor) thus they belong to the full measure invariant set to which Theorem 2.16 applies (see also Remark 2.17). Note that the argument does not work for the square, however, points having zero velocity component in the direction of the linear flow form a set of zero measure.

For the values of non-zero component in the integrable direction we do not need to treat the square separately. Fix  $p \in \mathbb{S}^1$  for which  $U_p$  above is nonempty (in the non-square cases  $p$  can be chosen as any point of  $\mathbb{S}^1$ ). Then there is an open neighborhood  $U \subset \mathbb{S}^1$  of  $p$ , such that for any  $q \in U$ ,  $\{q\} \times \mathbb{T}^3$  intersects an erased cylinder in an open set. Let  $H$  be the set of times when the linear flow starting from the projection of the point  $x$  visits  $U$ . This set is syndetic, i.e. it has bounded gaps. We apply the strong ball avoiding theorem (Theorem 2.16) to the mixing component (i.e. integral surface) of the system, and integrate over the integrals of motion by Lemma 2.6 to conclude.

The reasoning above shows that the set of R-poor points is of zero measure, and that it is slim except for the case of the square.

Finally we turn to  $O^+$ -poor and  $R^+$ -poor points. The arguments are identical to the above ones up to three formal changes: trajectories are replaced by semitrajectories, the strong ball avoiding theorem is replaced by the weak ball avoiding theorem, and the integration is done via Fubini's theorem.  $\square$

**Corollary 4.12.** *The set of  $x \in M$  such that  $x$  is not hyperbolic has  $\mu$  measure 0.*

**Proof.** It is enough to consider regular phase points ( $x \in M^0$ ) since their complement has  $\mu$ -measure 0, cf. Lemma 2.7.

Recall Definitions 3.4 (richness) and 2.9 (sufficiency). In Lemma 3.5 we have shown that almost all rich phase points are sufficient. The local hyperbolicity theorem (Theorem 2.10) states that every sufficient phase point has a neighborhood in which almost every point is hyperbolic. Thus we only need to prove that almost every point is rich.

Unextendable, R-poor, O-poor,  $R^+$ -poor, and  $O^+$ -poor are all of measure 0. If a phase point belongs to the complement of these then it is rich.  $\square$

The following Lemma, on the one hand, exploits transversality in a way analogous to Lemma 3.9 and, on the other hand, is a strengthening of Lemma 4.11 for the case of poor semitrajectories.

**Lemma 4.13.** *Consider the one-codimensional submanifold  $L$  defined by the relation that  $v_1(t_0) - v_2(t_0)$  is restricted to a line. The set of points that belong to  $L$  and are  $R^+$ -poor or  $O^+$ -poor is slim.*

**Proof.** Just like in the proof of Lemma 4.11, we work in the upstairs phase space  $N$ . The measure induced by  $\nu$  on (the lift of)  $L$  will be referred to as

$\nu_L$ . Let us consider first the  $O^+$ -poor case for a polygon different from the square. Such points necessarily avoid an open set in positive time, the inner radius of which we will denote by  $2\varepsilon$ . We erase the cylinders constituting the avoided open set. By the geometry of the cylinders not erased the corresponding mixing semi-dispersing billiard dynamics is mixing. Unlike Lemma 4.11, in all arguments in the rest of the proof we do not consider only the set of  $O^+$ -poor points – where the original and the modified dynamics coincide – but the full modified billiard system.

As the set of  $O^+$ -poor points is closed (cf Definition 4.9), we need to prove slimness for a closed set and thus may use the characterization of Lemma 2.5. Assume the contrary: the set of  $O^+$ -poor points has a nonempty interior  $A$  inside  $L$ . By the hyperbolicity of the modified billiard, there is  $\hat{A} \subset A$  with  $\nu_L(\hat{A}) = \nu_L(A)$  such that any  $y \in \hat{A}$  has a local stable manifold  $\gamma_\varepsilon^s(y)$  of some positive length, fixed to be less than  $\varepsilon$ . As the modified billiard is mixing, these manifolds are strictly concave local orthogonal manifolds, thus they are transversal to  $L$  (cf. the proof of Lemma 3.9). Thus

$$(4.2) \quad \hat{B} := \bigcup_{y \in \hat{A}} \gamma_\varepsilon^s(y)$$

is a set of positive  $\mu$ -measure. On the other hand the points of  $\hat{B}$  avoid an open set (of inner radius  $\varepsilon$ ), which is, by the weak ball-avoiding theorem (Theorem 2.15) a contradiction.

As to the  $R^+$ -poor case (and the  $O^+$ -poor case for the square) the argument is analogous with the following modifications. First we take a finite cover of the set of  $R^+$ -poor points indexed by the reflection  $R \in G$ , where the semitrajectory collides only with  $C_e$  and  $C_R$ . We show that the intersection of  $L$  with any element of this finite cover is slim. (This first step is irrelevant for the  $O^+$ -poor case in the square.) The sets  $A$  and  $\hat{A}$  are constructed as above, however, this time the stable manifolds are not necessarily strictly concave in all directions. Nevertheless, they are strictly concave in the scattering direction of the first ball-to-ball collision after time moment  $t_0$ . Thus they are transversal to  $L$ .  $\square$

**Lemma 4.14.** *For any polygon different from the square, the set of phase points that are regular and non-sufficient is slim.*

**Proof.** As the polygon  $P$  is different from the square, the union of non-extendable,  $R$ -poor and  $O$ -poor points is a slim set (cf. Lemma 4.11), thus we restrict to its complement. The rest of the proof holds true for all the four polygons.

In the characterization below rotations and reflections are arbitrary unless the axis of the reflection is specified. One of the following cases applies:

- (1) the point is  $O^+$ -poor and there is a finite segment with short collision sequence  $b \vec{g}_1(b, s) \vec{g}_2 b$ , where  $\hat{g}_1 = R$ ,  $\hat{g}_2 = O$  and  $t_0$  is a time moment just before the island  $(b, s)$ .

- (2) the point is  $R^+$ -poor and there is a finite segment with short collision sequence  $b \vec{g}_1(b, s) \vec{g}_2 b$ , where  $\hat{g}_1 = R_E$ ,  $\bar{g}_2 = R_{E'}$  with  $E' \neq sE$ , and  $t_0$  is a time moment just before the island  $(b, s)$ .
- (3) the point is  $R^+$ -poor and there is a finite segment with short collision sequence  $b \vec{g}_1(b, s_1) \vec{g}_2(b, s_2) \vec{g}_3 b$ , where  $\hat{g}_1 = R'$ ,  $\hat{g}_2 = O$ ,  $\hat{g}_3 = R$  ( $R = R'$  not excluded) and  $t_0$  is a time moment just before the island  $(b, s_2)$ .
- (4) the point is  $R^+$ -poor and there is a finite segment with short collision sequence  $b \vec{g}_1(b, s_1) \vec{g}_2(b, s_2) \dots (b, s_{k-2}) \vec{g}_{k-1}(b, s_{k-1}) \vec{g}_k b$ , where  $\hat{g}_1 = R'$ ,  $\hat{g}_2 = O'$ ,  $\hat{g}_{k-1} = O$ ,  $\hat{g}_k = R$  (neither  $R' = R$ , nor  $O' = O$  excluded) and  $t_0$  is a time moment just before the island  $(b, s_{k-1})$ .
- (5) the point is  $R^+$ -poor and  $O^-$ -poor at the “same time”. More precisely, there exists a finite segment with short symbolic sequence  $b \vec{g}_1(b, s) \vec{g}_2 b$ , and time moments  $t_0$  and  $t'_0$  just before and after the island  $(b, s)$ , respectively, such that the semitrajectories starting at  $t_0$  and ending at  $t'_0$  have the relevant poorness properties.
- (6) the point is neither  $O^+$ -poor nor  $R^+$ -poor .

Before turning to the particular cases we note that for any  $R^+$ -poor or  $O^+$ -poor point and non-collision time  $t_1 > t_0$  just preceding a ball-to-ball collision the semitrajectory starting at  $t_1$  can be used to conclude that the point is  $R^+$ -poor or  $O^+$ -poor .

We start by the observation that case (5), when considered in backward time, reduces to case (1).

As to case (1) we introduce one more non-collision time moment  $t_1$  just before the last ball-to-ball collision on the sequence. From Lemma 3.5 (with respect to part (2) of the definition of rich) we know that the point is sufficient unless either  $v_1(t_0) - v_2(t_0)$  or  $v_1(t_1) - v_2(t_1)$  is restricted to a line. However, by Lemma 4.13 the set of those  $O^+$ -poor points for which any of these relations apply is slim.

In case (2) the argument is identical with reference to Lemma 3.5 part (1) this time.

In case (3) we introduce, in addition to  $t_0$ , non-collision time moments  $t_1, t_2$  and  $t_3$  just before, just after the island  $(b, s_1)$ , and just after the island  $(b, s_2)$ , respectively. We apply Lemma 3.5, (with respect to part (2) of the definition of rich) to the subsequence  $b \vec{g}_1(b, s_1) \vec{g}_2 b$ : nonsufficiency is only possible if  $v_1 - v_2$ , either at  $t_0$  or at  $t_1$  is restricted to a line. If restriction appears at  $t_0$  slimness follows from Lemma 4.13. Otherwise we apply Lemma 3.5, part (2) to the sequence  $b \vec{g}_2(b, s_2) \vec{g}_3 b$  in backward time: sufficiency appears unless  $v_1 - v_2$  is restricted to a line either at  $t_2$  or at  $t_3$  (see Remark 3.8). Any of these restrictions gives, together with the one at  $t_1$ , two transversal codimensions in the sense of Lemma 3.9. To see this observe there is at least one ball-to-ball collision between  $t_1$  and  $t_2$ . Thus we have sufficiency apart from a slim set in case (3).

Finally, points belonging to either of the cases (4) or (6) are twice rich thus, apart from a slim subset, are sufficient (cf. Corollary 3.11).  $\square$

Finally we turn to the analysis of singular trajectories. We extend the definitions of extendable,  $O^+$ -poor and  $R^+$ -poor to singular trajectories with the only additional restriction that we need  $t_0 > 0$ .

**Lemma 4.15.** *Within the set  $S^+ \cap M^1$ , the set of  $R^+$ -poor and  $O^+$ -poor points has 0 measure with respect to  $m_{S^+}$  (the induced Riemannian measure on  $S^+$ , cf. Subsection 2.3).*

**Proof.** The proof of this lemma is, on the one hand, rather standard (it is a straightforward adaptation of the analogous statements from the literature, e.g. Lemma 6.1 in [S1], part I) and, on the other hand, similar to the proof of Lemma 4.13 in this paper. Thus we only give a sketch. We begin by considering the  $O^+$ -poor case for  $P$  different from the square. Assume the contrary of the statement: there is a subset  $A$  of  $S^+ \cap M^1$  of positive  $m_{S^+}$ -measure such that any  $x \in A$  is  $O^+$ -poor. This implies that the semitrajectory  $\{\Psi_t x : t \geq t_0\}$  (i) coincides with that of a modified billiard dynamics, (ii) avoids an open set (the erased cylinders). The modified semi-dispersing billiard is mixing and hyperbolic, thus for almost all points  $y \in A$  there exist local stable manifolds of positive inner radius at  $\Psi^{t_0} y$  which we denote as  $\gamma^s(\Psi^{t_0} y)$ . These stable manifolds are (strictly) concave orthogonal manifolds, thus (by Lemma 4.8 in [KSSz2]) their pre-images are transversal to  $S^+$ . We may construct a set analogous to (4.2) that has, on the one hand, by the above mentioned transversality positive measure and avoids, on the other hand, an open set and thus has zero measure by the weak ball avoiding theorem (Theorem 2.15). Thus we get a contradiction.

The  $R^+$ -poor case (and furthermore, the  $O^+$ -poor case for the square) is similar with the only difference that the modified dynamics is not fully hyperbolic: the local stable manifolds are 2 dimensional. To obtain three dimensional concave orthogonal manifolds we combine the stable manifolds with infinitesimal lines in the neutral direction of the modified billiard dynamics (on details see, e.g. Lemma 6.1 in [S1], part I).  $\square$

This Lemma has two important immediate consequences.

**Corollary 4.16.** (1) *The Sinai-Chernov Ansatz holds for our system.*

(2) *The set of non-sufficient points that belong to  $M^1$  is slim.*

**Proof.** To prove (2) we may assume  $x \in M^1$  to be neither  $O^+$ -poor nor  $R^+$ -poor. To see this note that the complement is slim by Lemma 4.15, as sets of zero volume measure must have empty interior and the characterization of Lemma 2.5 applies. This however means that  $x$  is twice rich and thus, apart from a slim subset, sufficient by Corollary 3.11.

To prove (1) we restrict our attention to  $x \in S^+ \cap M^1$  as the rest is of zero measure in  $S^+$  (cf. Lemma 2.7). Furthermore, by Lemma 4.15 we may



assume  $x$  to be neither  $O^+$ -poor nor  $R^+$ -poor. Thus there certainly exists a subsegment of the semitrajectory  $\{\Psi_t x : t \geq t_0\}$  with collision sequence  $b \bar{g}_1(b, s) \bar{g}_2 b$  where  $\bar{g}_2 = R_E$  and either  $\hat{g}_1 = O$  or  $\hat{g}_1 = R_{E'}$  with  $E' \neq s^{-1}E$ . We apply Lemma 3.5 and Remark 3.8 in *backward time*:  $x$  is sufficient unless it belongs to a one-codimensional submanifold  $L$  defined by the condition that  $v_1(t) - v_2(t)$  is restricted to a line for some  $t(> t_0 > 0)$  just after some ball-to-ball collision. To prove that non-sufficient points are of zero measure in  $S^+$  it is enough to show the transversality of  $L$  to  $S^+$ . To see this we apply the strategy of Lemma 3.9, we foliate  $L$  with the equivalence classes of the relation (3.14). The pre-images of these equivalence classes are (strictly) concave local orthogonal manifolds and thus transversal to  $S^+$ .  $\square$

Now we can easily prove the main theorem of the paper.

**Theorem 4.17.** *If  $R$  is sufficiently small so that the phase space is connected then the system of two balls in any of the four integrable polygons is ergodic. Otherwise the system is ergodic on each connected component of the phase space.*

**Proof.** As the boundary of the billiard table is defined by algebraic equations the semi-dispersing billiard is algebraic. In addition, by Corollary 4.16 the Sinai-Chernov Ansatz holds. Thus the Local Ergodicity Theorem applies.

To conclude that the local ergodic components make up a single ergodic component it is enough to show that the set of non-sufficient points is slim. This is, in fact, not true for the square, for the case of which, however, ergodicity (in contrast to hyperbolicity proved in Corollary 4.12) easily follows from [Sz2] (cf. Remark 4.7).

Consider the other three polygons. We may restrict to  $M^1 \cup M^0$  as the complement is slim. However, by Lemma 4.14 and Corollary 4.16, respectively, non-sufficient points of both  $M^0$  and  $M^1$  belong to slim subsets of  $M$ .  $\square$

**Remark 4.18.** *By [KS, CH, OW] our systems are automatically  $K$ -mixing and Bernoulli.*

## 5. FURTHER RESULTS AND OUTLOOK

In this final section we describe three problems in decreasing order of interest and difficulty.

**5.1. Higher genus cases.** A natural question that arises is if one can extend our result to other polygons, in particular to rational ones, i.e. those for which all angles between sides are rational multiples of  $180^\circ$ . The one point particle dynamics in rational polygons essentially reduces to the study of the linear flow on a flat surface with singularities. The case of genus 1

(the flat torus without any singularity) corresponds to the four polygons treated in this article.

The strategy presented here could apply to other rational polygons, with the important difference that in place of cylindric billiards on  $\mathbb{T}^4 (= \mathbb{T}^2 \times \mathbb{T}^2)$  one should analyze the flows on manifolds  $S \times S$  with cylindric regions removed, where  $S$  is a higher genus flat surface. This generalization causes additional complications only at arguments of Subsection 4.2 where ball avoiding theorems (Theorem 2.15 and Theorem 2.16) are being applied. To give a brief heuristic discussion let us consider a cylindric billiard and denote its neutral directions, parallel to the common generators of the cylinders, by  $N$ , and the orthocomplements of these by  $H$ . We note that these concepts can be given a well-defined meaning even in the higher genus cases on the basis of the presence of a flat structure. Now a cylindric billiard on the torus can be viewed as a direct product system, " $\mathbb{T}^4 = N \times H$ ", of a linear flow in the directions of  $N$  and a hyperbolic  $K$ -mixing flow in the directions of  $H$ . These phenomena enabled us to apply ball avoiding theorems at several points of Subsection 4.2. The main open question is how one can address the problem of ball avoiding in the absence of a natural direct product structure in the higher genus cases. We would like to stress that this missing ingredient is a well-distinguishable interesting issue in its own which, once resolved, could imply the ergodicity of two balls in any rational polygon immediately.

**5.2. Higher dimensional case.** With the techniques of our article one can prove that the motion of two hard balls in any right prism of the form  $P \times [0, 1]^n$ , where  $P$  is one of the four polygons of Theorem 4.17, is hyperbolic and ergodic. Just like in the article, the system can be lifted up to a cylindric billiard on  $\mathbb{T}^{2n+2}$ . However, more types of rich trajectories arise (except in the cube) since the group  $G$  is more complicated. Namely, analogously to reflections and rotations, one must distinguish group elements with or without non-trivial invariant subsets. These later ones, unlike the two dimensional case of rotations, do not form a subgroup of  $G$ . This problem does not appear in the cube, which has remarkable symmetries: the group  $G$  is commutative, the cylindric billiard upstairs is orthogonal (in the sense of [Sz2]) and even though the number of rich sequences increase because of higher dimensionality, they all belong, essentially, to one of the types discussed in our paper. We choose not to analyze the issue of right prisms here to keep the length of the article reasonable.

**5.3. Invisible corners.** Fix a convex polygon  $P$  and the (common) radius of the balls  $r$ . Place one of the balls in a corner as in Figure 1 and consider the shaded region blocked by the ball. Note that neither of the balls ever collides with the part of the boundary of  $P$  that intersects the shaded region. Thus we can modify this part of the boundary in any way that stays within the shaded region and this will not effect the dynamics at all. In particular if either the one or the two ball system in  $P$  is ergodic, it remains ergodic for

the modified table. The modification may result in a rational or irrational polygon, an infinite polygon, a Sinai or a Bunimovich billiard table or even a fractal. This way strange results may arise: e.g. both for the one and the two ball cases we can construct ergodic  $C^\infty$  tables, see Figure 1.

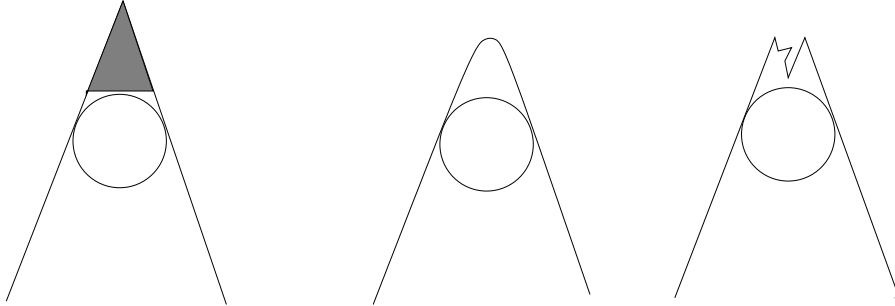


FIGURE 1. (a) Invisible corners (b) smooth table and (c) fractal table

#### ACKNOWLEDGEMENTS

P.B. is grateful for the hospitality and the inspiring research atmosphere of CPT Marseille. The financial support of the Hungarian National Foundation for Scientific Research (OTKA), grants T32022 and TS040719 is thankfully acknowledged.

#### REFERENCES

- [B] P. Bálint, *Chaotic and Ergodic Properties of Cylindric Billiards*, Erg. Th. Dyn. Sys. **19** (1999), 1127–1156.
- [BCST] P. Bálint, N. Chernov, D. Szász and I.P. Tóth, *Multi-dimensional semi-dispersing billiards: singularities and the fundamental theorem*, Ann. Henri Poincaré **3** (2002) 451–482.
- [BuFKo] D. Burago, S. Ferleger and A. Kononenko, *Uniform estimates on the number of collisions in semi-dispersing billiards*, Ann. of Math. **147** (1998) 695–708.
- [CH] N. Chernov and C. Haskell, *Nonuniformly Hyperbolic K-systems are Bernoulli*, Erg. Th. Dyn. Sys. **16** (1996) 19–44 .
- [G1] G. Galperin, *On Systems of Locally Interacting and Repelling Particles Moving in Space*, Trudy MMO **43** (1981) 142–196.
- [G2] G. Galperin *A concept of the mass center of a system of material points in the constant curvature spaces*, Comm. Math. Phys. **154** (1993) 63–84.
- [KS] A. Katok and J.-M. Strelcyn, *Invariant Manifolds, Entropy and Billiards; Smooth Maps with Singularities*, Lecture Notes in Mathematics **1222**, Springer, 1986
- [KSSz1] A. Krámli, N. Simányi and D. Szász, *Ergodic Properties of Semi-Dispersing Billiards I. Two cylindric scatterers in the 3-D torus*, Nonlinearity **2** (1989) 311–326.
- [KSSz2] A. Krámli, N. Simányi and D. Szász, *A "Transversal" Fundamental Theorem for Semi-Dispersive Billiards*, Comm. Math. Phys. **129** (1990) 535–560.
- [MT] H. Masur and S. Tabachnikov, *Rational billiards and flat structures*, Handbook of dynamical systems, Vol. **1A**, 1015–1089, North-Holland, Amsterdam, 2002.

- [OW] D. Ornstein and B. Weiss, *On the Bernoulli nature of systems with some hyperbolic structure*, Erg. Th. Dyn. Sys. **18** (1998) 441-456.
- [S1] N. Simányi, *The K-Property of N Billiards Balls I*, Invent. Math. **108** (1992) 521-548; *II*, ibidem **110** (1992) 151-172.
- [S2] N. Simányi, *The Complete Hyperbolicity of Cylindric Billiards*, Erg. Th. Dyn. Sys. **22** (2002) 281-302.
- [S3] N. Simányi, *Ergodicity of hard spheres in a box*, Erg. Th. Dyn. Sys. **19** (1999) 741-766.
- [S4] N. Simányi, *Proof of the Boltzmann-Sinai Ergodic Hypothesis for Typical Hard Disk Systems*, Invent. Math. **154** (2003) 123-178.
- [S5] N. Simányi, *Proof of the Ergodic Hypothesis for Typical Hard Ball Systems*, to appear in Ann. Henri Poincaré, arXiv:math.DS/0210280.
- [SSz] N. Simányi and D. Szász, *Non-Integrability of Cylindric Billiards and Transitive Lie-Group Actions*, Erg. Th. Dyn. Sys. **20** (2000) 593-610.
- [SiC] Ya.G. Sinai and N.I. Chernov, *Ergodic Properties of Certain Systems of 2-D Discs and 3-D Balls*, Russ. Math. Surv. (**3**) **42** (1987) 181-201.
- [Sz1] D. Szász, *Ergodicity of Classical Billiard Balls*, Physica A **194** (1993) 86-92.
- [Sz2] D. Szász, *The K-Property of "Orthogonal" Cylindric Billiards*, Comm. Math. Phys. **160** (1994) 581-597.
- [Sz3] D. Szász, *Ball-Avoiding Theorems*, Erg. Th. Dyn. Sys. **20** (2000) 1821-1849.
- [Sz4] D. Szász, *Boltzmann's Ergodic Hypothesis, a Conjecture for Centuries?*, Studia Sci. Math. Hung. **31** (1996) 299-322.
- [V] L. Vaserstein, *On Systems of Particles with Finite Range and/or Repulsive Interactions*, Comm. Math. Phys. **69** (1979) 31-56.

CENTRE DE PHYSIQUE THÉORIQUE AND, FEDERATION DE RECHERCHES DES UNITES DE MATHÉMATIQUE DE MARSEILLE, CNRS LUMINY, CASE 907, F-13288 MARSEILLE CEDEX 9, FRANCE, ON LEAVE FROM ALFRÉD RÉNYI INSTITUTE OF MATHEMATICS OF THE H.A.S., H-1053, REÁLTANODA U. 13-15, BUDAPEST, HUNGARY, AND FROM MATHEMATICAL INSTITUTE, TECHNICAL UNIVERSITY OF BUDAPEST, H-1111 EGRY JÓZSEF U. 1., BUDAPEST, HUNGARY

*E-mail address:* balint@cpt.univ-mrs.fr, bp@renyi.hu

*URL:* <http://www.renyi.hu/~bp/>

CENTRE DE PHYSIQUE THÉORIQUE, FEDERATION DE RECHERCHES DES UNITES DE MATHÉMATIQUE DE MARSEILLE, INSTITUT DE MATHÉMATIQUES DE LUMINY AND, UNIVERSITÉ DE LA MÉDITERRANÉE, LUMINY, CASE 907, F-13288 MARSEILLE CEDEX 9, FRANCE

*E-mail address:* troubetz@iml.univ-mrs.fr

*URL:* <http://iml.univ-mrs.fr/~troubetz/>